



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

MAY 14 2012

THE INSPECTOR GENERAL

The Honorable Charles E. Grassley
Ranking Member
Committee on Finance
United States Senate
Washington, D.C. 20510

Dear Senator Grassley:

Enclosed are listings of closed audits and investigations conducted by the U.S. Environmental Protection Agency (EPA) Office of Inspector General (OIG) not disclosed to the public for the period October 1, 2011, to March 31, 2012. This responds to your continuing request for biannual reports of this information. The OIG makes every attempt to publicly disclose its work within the parameters of the law and to the extent that it is practical. The audit reports listed were not disclosed because of concerns that they contain confidential business information or technical findings of a sensitive nature, or that the audits were conducted by other organizations. For the investigations listed, summary information has been provided identifying the allegations received and their resolution. Names and other identifying information have been redacted due to Privacy Act concerns. This format is similar to our last report to you.

Thank you for your continued support of the work we do as Inspectors General. If you would like additional information on any audit or investigation listed, please contact Eileen McMahon, Assistant Inspector General for Congressional and Public Affairs, at (202) 566-2391. An identical letter is being provided to Senator Coburn.

Sincerely,

A handwritten signature in dark ink, appearing to read "A. Elkins, Jr.", is written over the word "Sincerely,".
Arthur A. Elkins, Jr.

Enclosures

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Report No.	Report Title	Date Issued
Single Audit Reports		
12-3-0001	Dade City FL - City of FY 2010	Oct 05, 2011
12-3-0002	Graceville FL - City of FY 2010	Oct 05, 2011
12-3-0003	Pell AL - City of FY 2010	Oct 05, 2011
12-3-0004	Smith Utility District of Smith County TN - FY 2010	Oct 05, 2011
12-3-0005	Tioga Soil and Water Conservation District - FY 2010	Oct 11, 2011
12-3-0006	Huntingdon PA - Borough of FY 2010	Oct 11, 2011
12-3-0007	Cascade Sierra Solutions OR - FY 2010	Oct 11, 2011
12-3-0009	Nekoosa - City of (WI) FY 2010	Oct 13, 2011
12-3-0010	Oregon - City of (IL) FY 2011	Oct 13, 2011
12-3-0011	SRC, Inc. - FY 2010	Oct 13, 2011
12-3-0012	Puerto Rico - University of FY 2010	Oct 14, 2011
12-3-0014	Grand Portage Reservation Tribal Council (MN) - FY 2010	Oct 17, 2011
12-3-0015	Hualapai Nation (AZ) - FY 2009	Oct 17, 2011
12-3-0016	Stockbridge GA - City of FY 2010	Oct 20, 2011
12-3-0017	Southwest Allen Parish Water District No. 2 LA - FY 2010	Oct 20, 2011
12-3-0018	Harrisonville MO - City of FY 2010	Oct 20, 2011
12-3-0019	Lenexa KS - City of FY 2010	Oct 20, 2011
12-3-0020	Rathbun Regional Water Association, Inc. IA - FY 2010	Oct 20, 2011
12-3-0021	Johnsonburg Municipal Authority PA - FY 2010	Oct 21, 2011
12-3-0022	Mississippi Institutions of Higher Learning MS - State of FY 2010	Oct 21, 2011
12-3-0023	Missouri System MO - University of FY 2010	Oct 21, 2011
12-3-0024	Lawton OK - City of FY 2010	Oct 21, 2011
12-3-0025	Junction City KS - City of FY 2010	Oct 21, 2011
12-3-0026	National Tribal Environmental Council Inc NM - FY 2006	Oct 21, 2011
12-3-0027	Clarksville GA - City of FY 2010	Oct 21, 2011
12-3-0028	Illinois Institute of Technology FY 2010	Oct 28, 2011
12-3-0029	Leoni, Michigan, Township of - FY 2010	Oct 28, 2011
12-3-0030	Port Clinton, Ohio, City of - FY 2010	Oct 27, 2011
12-3-0031	Boston Public Health Commission, Massachusetts - FY 2010	Oct 28, 2011
12-3-0032	Rochester Borough Sewer Authority, Pennsylvania - FY 2010	Oct 28, 2011
12-3-0033	Liberty, Missouri, City of - FY 2010	Oct 28, 2011
12-3-0035	Auburn Board of Public Works, Nebraska - FY 2010	Oct 31, 2011
12-3-0036	Berrien, Michigan, County of - FY 2010	Oct 31, 2011
12-3-0037	Blue Earth, Minnesota, City of - FY 2010	Oct 31, 2011
12-3-0038	Deerfield, Wisconsin, Village of - FY 2010	Oct 31, 2011
12-3-0039	Minnesota Environmental Initiative, Inc. - FY 2010	Oct 31, 2011
12-3-0040	Elk Point, South Dakota, City of - FY 2010	Oct 31, 2011
12-3-0041	Cahuilla Band of Indians, California - FY 2009	Oct 31, 2011

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Report No.	Report Title	Date Issued
12-3-0042	Corrales, New Mexico, Village of - FY 2010	Oct 31, 2011
12-3-0043	Lakeville, Massachusetts, Town of - FY 2010	Oct 31, 2011
12-3-0044	Bristol, New Hampshire, Town of - FY 2010	Oct 31, 2011
12-3-0045	Putnam Public Service District--Water Fund, West Virginia - FY 2010	Oct 31, 2011
12-3-0046	Pueblo, Colorado, County of - FY 2010	Oct 31, 2011
12-3-0047	Seibert, Colorado, Town of - FY 2010	Oct 31, 2011
12-3-0048	Chamberlain, South Dakota, Municipality of - FY 2010	Oct 31, 2011
12-3-0049	Rapid Valley Sanitary District, South Dakota - FY 2010	Oct 31, 2011
12-3-0050	Hi-Land Acres Water and Sanitation District, Colorado - FY 2010	Oct 31, 2011
12-3-0051	East Lyme, Connecticut, Town of - FY 2010	Nov 01, 2011
12-3-0052	Zephyrhills, Florida, City of - FY 2010	Nov 01, 2011
12-3-0053	Haines, Florida, City of - FY 2009	Nov 01, 2011
12-3-0054	Olympia, Washington, City of - FY 2010	Nov 01, 2011
12-3-0055	South Fork Band Council, Nevada - FY 2008	Nov 01, 2011
12-3-0056	Indian Township, Maine, Tribal Government - FY 2010	Nov 07, 2011
12-3-0057	Moundville, Alabama, Town of - FY 2010	Nov 07, 2011
12-3-0058	Decatur, Mississippi, Town of - FY 2010	Nov 07, 2011
12-3-0059	Ohio State University FY 2010	Nov 07, 2011
12-3-0060	Peterborough, New Hampshire, Town of - FY 2010	Nov 07, 2011
12-3-0061	Pennsylvania - Commonwealth of FY 2010	Nov 08, 2011
12-3-0063	Charleston, Arkansas, City of - FY 2010	Nov 09, 2011
12-3-0064	Chemung County Library District, New York - FY 2010	Nov 09, 2011
12-3-0065	Evergreen Rural Water Association of Washington - FY 2010	Nov 09, 2011
12-3-0066	Galeton Borough Authority - FY 2010	Nov 09, 2011
12-3-0067	Galveston, Texas, City of - FY 2010	Nov 09, 2011
12-3-0068	Jefferson, Georgia, City of - FY 2010	Nov 09, 2011
12-3-0069	Loudoun County Sanitation District, Virginia - FY 2010	Nov 09, 2011
12-3-0070	Meadville Area Water Authority, Pennsylvania - FY 2010	Nov 09, 2011
12-3-0074	Sistemo Universitario Ana G Mendez, Puerto Rico - FY 2010	Nov 16, 2011
12-3-0075	Sparks, Nevada, City of - FY 2010	Nov 16, 2011
12-3-0076	Sundance, Wyoming, City of - FY 2010	Nov 16, 2011
12-3-0077	Vale, Oregon, City of - FY 2010	Nov 17, 2011
12-3-0078	Fort Independence Indian Reservation, California - FY 2010	Nov 17, 2011
12-3-0079	Kalispel Tribe of Indians, Washington - FY 2010	Nov 17, 2011
12-3-0080	Tangirnaq Native Village, Alaska - FY 2010	Nov 17, 2011
12-3-0081	Nanwalek IRA Council, Alaska - FY 2009	Nov 18, 2011
12-3-0082	Sun'Aq Tribe of Kodiak, Alaska - FY 2010	Nov 18, 2011
12-3-0084	Whitehall, Wisconsin, City of - FY 2010	Nov 21, 2011
12-3-0085	Hudson, New York, City of - FY 2010	Nov 21, 2011
12-3-0086	Laurel, Montana, City of - FY 2009	Nov 21, 2011
12-3-0087	Red Lodge, Montana, City of - FY 2010	Nov 21, 2011
12-3-0088	Upper-Lower River Road Co. Water & Sewer District, Montana - FY 2009	Nov 21, 2011

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Report No.	Report Title	Date Issued
12-3-0089	Willmar, Minnesota, City of - FY 2010	Nov 21, 2011
12-3-0091	Grand Rapids Public Utilities Corporation, Minnesota - FY 2010	Nov 22, 2011
12-3-0092	Gary, Indiana, City of - FY 2009	Nov 22, 2011
12-3-0093	Pocahontas, Iowa, County of - FY 2010	Nov 22, 2011
12-3-0094	Pueblo, Colorado, City of - FY 2010	Nov 22, 2011
12-3-0095	Barnstable, Massachusetts, City of - FY 2010	Nov 22, 2011
12-3-0096	Houston Authority of Harris County, Texas, Port of - FY 2010	Nov 29, 2011
12-3-0097	Palatka, Florida, City of - FY 2010	Nov 29, 2011
12-3-0098	Sleepy Eye, Minnesota, City of - FY 2010	Nov 29, 2011
12-3-0099	Consortium for Plant Biotechnology Research, Inc., Georgia - FY 2010	Nov 29, 2011
12-3-0100	Miami-Dade County, Florida - FY 2010	Nov 29, 2011
12-3-0101	Upper Sioux Community Federal and State Program Dept., Minnesota - FY 2010	Nov 29, 2011
12-3-0103	Redwood Falls, Minnesota, City of - FY 2010	Dec 06, 2011
12-3-0104	Albuquerque, New Mexico, City of - FY 2010	Dec 06, 2011
12-3-0105	Fontana-on-Geneva Lake, Wisconsin, Village of - FY 2010	Dec 06, 2011
12-3-0106	Nevada Irrigation District, California - FY 2010	Dec 07, 2011
12-3-0107	Owner-Operator Drivers Association Foundation, Inc., Missouri - FY 2010	Dec 07, 2011
12-3-0108	Onondaga County Soil and Water Conservation District, New York - FY 2010	Dec 07, 2011
12-3-0110	Turtle Mountain Band of Chippewa Indians, North Dakota - FY 2010	Dec 08, 2011
12-3-0111	Washburn, North Dakota, City of - FY 2010	Dec 08, 2011
12-3-0112	Tanana Native Village, Alaska - FY 2010	Dec 08, 2011
12-3-0114	Akiak Native Community, AK FY 2010	Dec 09, 2011
12-3-0115	Nooksack Indian Tribe, WA FY 2010	Dec 09, 2011
12-3-0116	Sherwood, Wisconsin, Village of - FY 2010	Dec 09, 2011
12-3-0117	Chubbuck, Idaho, City of - FY 2010	Dec 09, 2011
12-3-0118	Butternut, Wisconsin, Village of - FY 2010	Dec 09, 2011
12-3-0119	Arcadia, Louisiana, Town of - FY 2010	Dec 09, 2011
12-3-0120	Melba, Idaho, City of - FY 2010	Dec 09, 2011
12-3-0121	Presidio, Texas, City of - FY 2010	Dec 09, 2011
12-3-0122	Kimberly-City of (ID) FY 2010	Dec 12, 2011
12-3-0123	Bliss-City of (ID) FY 2010	Dec 13, 2011
12-3-0124	Anchorage-Municipality of AK FY 2010	Dec 13, 2011
12-3-0126	Kuskokwim Native Association, Alaska - FY 2009	Dec 16, 2011
12-3-0127	Ruidoso, New Mexico, Village of - FY 2010	Dec 16, 2011
12-3-0128	Dousman, Wisconsin, Village of - FY 2010	Dec 16, 2011
12-3-0129	Fontana Walworth Pollution Control Commission, Wisconsin - FY 2010	Dec 16, 2011
12-3-0130	Neenah, Wisconsin, City of - FY 2010	Dec 16, 2011
12-3-0131	Stevens Point, Wisconsin, City of - FY 2010	Dec 16, 2011
12-3-0132	Elkins, West Virginia, City of - FY2010	Dec 16, 2011
12-3-0133	Dorchester County Sanitation District, Maryland - FY 2011	Dec 19, 2011
12-3-0134	Rockford, Illinois, City of - FY 2010	Dec 19, 2011
12-3-0135	Villa Park, Illinois, Village of - FY 2011	Dec 19, 2011

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12-3-0136	Alexandria, Louisiana, City of - FY 2011	Dec 19, 2011
12-3-0137	Munich, North Dakota, City of - FY 2010	Dec 19, 2011
12-3-0138	Harrisburg, South Dakota, Municipality of - FY 2010	Dec 19, 2011
12-3-0139	Bayfield, Colorado, Town of - FY 2010	Dec 19, 2011
12-3-0140	Inkster, Minnesota, City of - FY 2009	Dec 19, 2011
12-3-0141	Glenview, Illinois, Village of - FY 2010	Dec 19, 2011
12-3-0142	Barry, Michigan, County of - FY 2010	Dec 19, 2011
12-3-0143	St. Paul, Nebraska, City of - FY 2009	Dec 19, 2011
12-3-0144	East Berlin Area Joint Authority, Pennsylvania - FY 2010	Dec 19, 2011
12-3-0145	Allegheny, Pennsylvania, County of - FY 2010	Dec 19, 2011
12-3-0146	Orbisonia-Rockhill Joint Municipal Authority, Pennsylvania - FY 2009	Dec 19, 2011
12-3-0147	Clark County, Indiana - FY 2010	Dec 20, 2011
12-3-0148	Darlington, Indiana, Town of - FY 2009	Dec 20, 2011
12-3-0149	Oak Creek, Wisconsin, City of - FY 2010	Dec 20, 2011
12-3-0150	Centertown, Kentucky, City of - FY 2010	Dec 20, 2011
12-3-0151	Burkesville, Kentucky, City of - FY 2010	Dec 20, 2011
12-3-0152	Falmouth, Kentucky, City of - FY 2009	Dec 20, 2011
12-3-0153	Moss Point, Mississippi, City of - FY2009	Dec 20, 2011
12-3-0154	Carolina Beach, North Carolina, Town of - FY 2010	Dec 20, 2011
12-3-0155	Orange Water and Sewer Authority, North Carolina - FY 2009	Dec 20, 2011
12-3-0156	Maynardville, Tennessee, City of - FY 2010	Dec 20, 2011
12-3-0157	Calhoun Falls, South Carolina, Town of - FY 2010	Dec 20, 2011
12-3-0158	Bartlesville, Oklahoma, City of - FY 2010	Dec 20, 2011
12-3-0159	Bonham, Texas, City of - FY 2009	Dec 20, 2011
12-3-0163	Monson Utilities District-ME FY 2010	Jan 04, 2012
12-3-0164	Canaan Fire District #2-VT	Jan 04, 2012
12-3-0165	Milwaukee Metropolitan Sewerage District Wisconsin - FY 2010	Jan 05, 2012
12-3-0166	Hammond Indiana City of - FY 2010	Jan 05, 2012
12-3-0167	Mecosta County Michigan - FY 2010	Jan 06, 2012
12-3-0168	Te-Moak Tribe of Western Shoshone Battle Mountain Band Council Nevada - FY 2010	Jan 06, 2012
12-3-0169	Yomba Shoshone Tribe Nevada - FY 2009	Jan 06, 2012
12-3-0170	Medford, New Jersey, Township of - FY 2010	Jan 10, 2012
12-3-0171	Britton-Macon Area School Michigan - FY 2011	Jan 10, 2012
12-3-0172	New Baden Illinois Village of - FY 2011	Jan 10, 2012
12-3-0173	Slatington Borough Authority Pennsylvania - FY 2011	Jan 10, 2012
12-3-0174	Hickory North Carolina City of - FY 2009	Jan 10, 2012
12-3-0175	Aberdeen Maryland City of - FY 2011	Jan 10, 2012
12-3-0176	Delhi Louisiana Town of - FY 2010	Jan 11, 2012
12-3-0179	Saugerties-Town of NY FY 2010	Jan 11, 2012
12-3-0180	Bellmawr-Borough of (NJ) FY 2010	Jan 11, 2012
12-3-0181	Urania Louisiana Town of - FY 2010	Jan 11, 2012
12-3-0182	Westlake Louisiana City of - FY 2010	Jan 11, 2012

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12-3-0183	Windsor Vermont Town of - FY 2010	Jan 11, 2012
12-3-0184	National Environmental Education Foundation DC FY 2010	Jan 11, 2012
12-3-0185	Alliance for the Chesapeake Bay Inc. (MD) FY 2010	Jan 11, 2012
12-3-0186	Watauga River Regional Water Authority of Carter County Tennessee - FY 2010	Jan 11, 2012
12-3-0187	Boones Mill Virginia Town of - FY 2010	Jan 11, 2012
12-3-0188	Municipal Authority of the Township of Washington Pennsylvania - FY 2010	Jan 11, 2012
12-3-0189	Hedrick Iowa City of - FY 2010	Jan 11, 2012
12-3-0190	Spencer, Iowa, City of - FY 2010	Jan 11, 2012
12-3-0191	Albany, Georgia, City of - FY 2010	Jan 11, 2012
12-3-0192	St. Charles, Iowa, City of - FY 2010	Jan 11, 2012
12-3-0193	Chautauqua County Rural Water District No. 4, Kansas - FY 2010	Jan 11, 2012
12-3-0194	Hualapai Nation, Arizona - FY 2010	Jan 11, 2012
12-3-0195	Sergeant Bluff, Iowa, City of - FY 2010	Jan 12, 2012
12-3-0196	O'ahu Resource Conservation & Development Council, Hawaii - FY 2010	Jan 12, 2012
12-3-0197	Ramona Band of Cahuilla, California - FY 2010	Jan 12, 2012
12-3-0198	Onondaga Environmental Institute New York - FY 2009	Jan 12, 2012
12-3-0199	Rock Falls, Illinois City of - FY 2011	Jan 12, 2012
12-3-0200	Wiyot Tribe California - FY 2010	Jan 12, 2012
12-3-0201	North American Association for Environmental Education (DC) FY 2010	Jan 12, 2012
12-3-0202	Snoqualmie Indian Tribe, Washington - FY 2010	Jan 12, 2012
12-3-0203	Berlin Water Works, New Hampshire - FY 2010	Jan 12, 2012
12-3-0204	Villanova University, Pennsylvania - FY 2010	Jan 12, 2012
12-3-0205	Wythe, Virginia, County of - FY 2010	Jan 12, 2012
12-3-0206	Mineral, Nevada, County of - FY 2010	Jan 12, 2012
12-3-0207	Tesuque-Pueblo of NM FY 2010	Jan 12, 2012
12-3-0208	Buchanan, Michigan, City of - FY 2011	Jan 12, 2012
12-3-0209	Dekalb Sanitary District, Illinois - FY 2011	Jan 12, 2012
12-3-0210	Grand Ledge, Michigan, City of - FY 2011	Jan 12, 2012
12-3-0211	Atka IRA Council Alaska - FY 2010	Jan 12, 2012
12-3-0212	Chambersburg-Borough of (PA)	Jan 12, 2012
12-3-0213	Harris County Water Control and Improvement District No. 36, Texas - FY 2011	Jan 12, 2012
12-3-0214	Leeds Domestic Water Users Association, Utah - FY 2010	Jan 12, 2012
12-3-0215	Broad Top Township (PA) FY 2010	Jan 12, 2012
12-3-0216	Chippewa Falls, Wisconsin, City of - FY 2009	Jan 12, 2012
12-3-0217	Tonto Apache Tribe (AZ) FY 2010	Jan 12, 2012
12-3-0218	Columbus-City of (NE) FY 2010	Jan 13, 2012
12-3-0219	Ohio, State of Interim Single Audit Review - FY 2011	Jan 13, 2012
12-3-0221	White Mountain Apache Tribe (AZ) FY 2009	Jan 20, 2012
12-3-0222	Fallon Paiute-Shoshone Tribe (NV) FY 2011	Jan 20, 2012
12-3-0223	Iliamna Village Council AK FY 2007	Jan 23, 2012
12-3-0225	Branch County of (MI) FY 2010	Jan 24, 2012
12-3-0226	Baraboo City of (WI) FY 2010	Jan 24, 2012

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12-3-0227	Bloomington City of (IL) FY 2010	Jan 24, 2012
12-3-0228	Bloomington City of (IN) FY 2010	Jan 24, 2012
12-3-0229	Calumet County WI FY 2010	Jan 24, 2012
12-3-0230	Chicago City of IL FY 2010	Jan 24, 2012
12-3-0232	Atmautluak Traditional Council Alaska - FY 2010	Jan 26, 2012
12-3-0233	Atlantic States Rural Water and Wastewater Association, Maine - FY 2010	Jan 27, 2012
12-3-0234	Blue Lake Rancheria, California - FY 2010	Jan 27, 2012
12-3-0235	Clean Energy Coalition MI FY 2010	Jan 30, 2012
12-3-0236	Vallejo City of CA FY 2010	Jan 30, 2012
12-3-0237	Chippewa Falls City of WI FY 2010	Jan 30, 2012
12-3-0238	Chautauqua, County of NY FY 2010	Jan 30, 2012
12-3-0239	Inter-Tribal Council of Arizona Inc. AZ FY 2010	Jan 30, 2012
12-3-0240	Trinidad Rancheria CA FY 2010	Jan 31, 2012
12-3-0241	Thomaston City of GA FY 2010	Jan 31, 2012
12-3-0242	Sardis City of GA FY 2010	Jan 31, 2012
12-3-0243	Lake Champlain Basin Science Center Inc. VT - FY 2011	Jan 31, 2012
12-3-0244	Cincinnati, University of, Ohio - FY 2011	Jan 31, 2012
12-3-0245	Kansas University of - Center for Research Inc. Kansas - FY 2011	Jan 31, 2012
12-3-0246	Bolivar County, Mississippi - FY 2010	Jan 31, 2012
12-3-0247	Torres Maritnez Desert Cahuilla Indians CA FY 2010	Jan 31, 2012
12-3-0248	Pickens County GA FY 2010	Jan 31, 2012
12-3-0250	Colorado Springs Utilities CO FY 2010	Jan 31, 2012
12-3-0252	Yurok Tribe California - FY 2010	Feb 06, 2012
12-3-0254	Chesterfield Town of IN FY 2010	Feb 06, 2012
12-3-0255	Alaska Rural Water Association AK FY 2010	Feb 07, 2012
12-3-0256	Centerville, Indiana, Town of - FY 2010	Feb 07, 2012
12-3-0257	Plainfield Town of IN FY 2010	Feb 07, 2012
12-3-0258	Pierce County WY FY 2010	Feb 07, 2012
12-3-0259	Osage Municipal Utilities IA FY 2010	Feb 07, 2012
12-3-0260	Iowa Regional Utilities Association IA FY 2010	Feb 08, 2012
12-3-0261	South Holland Village of IL FY 2011	Feb 08, 2012
12-3-0262	United States Virgin Islands FY 2009	Feb 08, 2012
12-3-0263	Will County IL FY 2010	Feb 08, 2012
12-3-0264	Rend Lake Conservancy District IL FY 2011	Feb 08, 2012
12-3-0265	Winchester City of FY 2011	Feb 08, 2012
12-3-0266	Sycamore City of IL FY 2011	Feb 09, 2012
12-3-0267	Fort Bidwell Indian Community Council CA FY 2010	Feb 09, 2012
12-3-0268	Fort Mojave Indian Tribe CA FY 2010	Feb 09, 2012
12-3-0269	Golden Beach Town of FL FY 2010	Feb 09, 2012
12-3-0270	Green River Valley Water District KY FY 2011	Feb 09, 2012
12-3-0271	San Manuel Band of Mission Indians California - FY 2010	Feb 09, 2012
12-3-0272	Martinsville, Indiana City of - FY 2010	Feb 10, 2012

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12-3-0273	Arvin Community Services District CA FY 2010	Feb 10, 2012
12-3-0274	Alexandria Indiana City of - FY 2009	Feb 13, 2012
12-3-0275	San Carlos Apache Tribe (AZ) FY 2010	Feb 13, 2012
12-3-0276	Ashland Wisconsin City of - FY 2010	Feb 13, 2012
12-3-0277	Glencoe Alabama City of - FY 2010	Feb 13, 2012
12-3-0278	La Jolla Band of Luiseno Indians California - FY 2010	Feb 13, 2012
12-3-0279	Madera County California - FY 2010	Feb 13, 2012
12-3-0280	Fulton County Illinois FY 2010	Feb 13, 2012
12-3-0281	Chadron Nebraska City of - FY 2010	Feb 13, 2012
12-3-0282	Ohio County Regional Wastewater District Inc. KY FY 2009	Feb 14, 2012
12-3-0283	Manitou Springs City of CO FY 2010	Feb 14, 2012
12-3-0285	Commonwealth Utilities Corporation MP FY 2010	Feb 15, 2012
12-3-0286	Canton City of IL FY 2010	Feb 15, 2012
12-3-0287	Saint Elmo City of IL FY 2011	Feb 15, 2012
12-3-0288	Wellington City of, KS FY 2010	Feb 15, 2012
12-3-0290	Byron, Georgia, City of - FY 2010	Feb 16, 2012
12-3-0291	Cold Springs Rancheria of the Mono Indians California FY 2010	Feb 23, 2012
12-3-0292	Milwaukee Community Services Corp. Inc. Wisconsin FY 2010	Feb 23, 2012
12-3-0293	Mooreville Town of Indiana - FY 2010	Feb 24, 2012
12-3-0294	Normal Town of Illinois - FY 2011	Feb 24, 2012
12-3-0296	Beaver Dam Wisconsin City of - FY 2010	Feb 27, 2012
12-3-0297	Lomira Wisconsin Village of - FY 2010	Feb 27, 2012
12-3-0298	Crow Wing County MN FY 2010	Feb 28, 2012
12-3-0299	Detroit Lakes City of MN FY 2010	Feb 28, 2012
12-3-0300	Rushford City of MN FY 2010	Feb 28, 2012
12-3-0301	Saint Peter City of MN FY 2010	Feb 28, 2012
12-3-0302	St. Cloud City of MN FY 2010	Feb 28, 2012
12-3-0303	Wilmont City of MN FY 2010	Feb 28, 2012
12-3-0304	Bedford Township Municipal Authority Pennsylvania - FY 2011	Feb 29, 2012
12-3-0305	Hinckley Illinois Village of - FY 2011	Feb 29, 2012
12-3-0306	Daviess County Public Schools Kentucky - FY 2011	Feb 29, 2012
12-3-0307	Dawson Springs Municipal Waterworks and Sewer System Kentucky - FY 2011	Feb 29, 2012
12-3-0308	Livingston County Illinois - FY 2010	Feb 29, 2012
12-3-0309	Logan County Illinois FY 2010	Feb 29, 2012
12-3-0310	New Market Virginia Town of - FY 2011	Feb 29, 2012
12-3-0313	Olney Illinois City of - FY 2011	Mar 01, 2012
12-3-0314	Madison County Industrial Development and Building Authority Georgia FY 2010	Mar 01, 2012
12-3-0315	Lake Lure, North Carolina, Town of - FY 2011	Mar 05, 2012
12-3-0316	Farmville, North Carolina, Town of - FY 2011	Mar 05, 2012
12-3-0317	Forest County, Wisconsin - FY 2010	Mar 05, 2012
12-3-0318	Green Bay Metropolitan Sewerage District, Wisconsin - FY 2010	Mar 05, 2012
12-3-0319	Iron County, Wisconsin - FY 2010	Mar 05, 2012

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12-3-0322	Great Bend Kansas City of FY 2010	Mar 08, 2012
12-3-0323	Assiniboine and Sioux Tribe of the Fort Peck Indian Reservation Montana	Mar 08, 2012
12-3-0324	Greenport New York Incorporated Village of FY 2010	Mar 08, 2012
12-3-0325	Lowell, Massachusetts, City of - FY 2011	Mar 08, 2012
12-3-0326	Maine Rural Water Association - FY 2010	Mar 08, 2012
12-3-0327	New England, University of Maine - FY 2011	Mar 08, 2012
12-3-0329	Greater St. Albans Public District, West Virginia - FY 2010	Mar 09, 2012
12-3-0330	California, Missouri, City of - FY 2011	Mar 09, 2012
12-3-0331	Greenville Sanitary District #1, Wisconsin - FY 2010	Mar 09, 2012
12-3-0332	Liberty, Missouri, City of - FY 2010	Mar 09, 2012
12-3-0333	Menasha, Wisconsin, Town of - FY 2010	Mar 12, 2012
12-3-0334	Stetsonville, Wisconsin, Village of - FY 2010	Mar 12, 2012
12-3-0335	Turtle Lake, Wisconsin, Village of - FY 2010	Mar 12, 2012
12-3-0336	Walworth, Wisconsin, Village of - FY 2010	Mar 12, 2012
12-3-0337	Peru Illinois City of FY 2011	Mar 13, 2012
12-3-0338	Pontiac Michigan City of FY 2011	Mar 13, 2012
12-3-0339	Sault Ste. Marie Michigan City of FY 2011	Mar 13, 2012
12-3-0340	Taylor County Wisconsin FY 2010	Mar 13, 2012
12-3-0341	Tazewell County Illinois FY 2010	Mar 13, 2012
12-3-0342	Valley City North Dakota City of FY 2010	Mar 13, 2012
12-3-0343	Waupaca County Wisconsin FY 2010	Mar 13, 2012
12-3-0344	Woodford County Illinois FY 2010	Mar 13, 2012
12-3-0345	Alpine Wyoming Town of FY 2011	Mar 13, 2012
12-3-0346	Linn, Missouri, City of - FY 2011	Mar 13, 2012
12-3-0348	West Baraboo, Wisconsin, Village of - FY 2010	Mar 14, 2012
12-3-0349	Paris, Missouri, City of - FY 2011	Mar 14, 2012
12-3-0350	Withee, Wisconsin, Village of - FY 2010	Mar 14, 2012
12-3-0351	Lake Holcombe Sanitary District No. 1, Wisconsin - FY 2010	Mar 14, 2012
12-3-0352	Langlade County, Wisconsin - FY 2010	Mar 14, 2012
12-3-0353	Louisa, Kentucky, City of - FY 2010	Mar 14, 2012
12-3-0354	Nevada, Missouri, City of - FY 2010	Mar 14, 2012
12-3-0355	Jasper County, Missouri - FY 2010	Mar 14, 2012
12-3-0356	Clinton Community Schools Michigan - FY 2011	Mar 14, 2012
12-3-0357	Nickerson Kansas City of FY 2010	Mar 15, 2012
12-3-0358	Johnstown New York City of FY 2009	Mar 15, 2012
12-3-0359	Apache Tribe of Oklahoma Oklahoma FY 2010	Mar 15, 2012
12-3-0361	Manitowoc County, Wisconsin - FY 2010	Mar 19, 2012
12-3-0364	Cedar Bluffs Nebraska Village of FY 2011	Mar 21, 2012
12-3-0365	El Dorado Kansas City of FY 2010	Mar 21, 2012
12-3-0366	Garner Iowa City of FY 2011	Mar 21, 2012
12-3-0367	Hibbing Minnesota City of FY 2010	Mar 21, 2012
12-3-0368	Hibbing Public Utilities Commission Minnesota FY 2010	Mar 21, 2012

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0369	Howard Lake Minnesota City of FY 2010	Mar 21, 2012
12-3-0370	Kemmerer-Diamondville Water and Wastewater Joint Powers Board Wyoming FY 2011	Mar 21, 2012
12-3-0371	Laurel Montana City of FY 2010	Mar 21, 2012
12-3-0372	Dalles, Oregon, City of - FY 2011	Mar 22, 2012
12-3-0373	Mandan, North Dakota, City of - FY 2010	Mar 23, 2012
12-3-0374	Oconto Falls, Wisconsin, City of - FY 2010	Mar 26, 2012
12-3-0375	Reedsburg, Wisconsin, City of - FY 2010	Mar 26, 2012
Grants and Contracts Reports		
12-4-0008	CERCLA Claim - Bofors Nobel	Oct 12, 2011
12-4-0284	Agreed-Upon Procedures Applied to H&S Environmental, Inc.'s Accounting System	Feb 14, 2012
12-4-0295	Agreed-Upon Procedures Applied to Equipment Rate Proposals Submitted Under EPA Contract EP-S9-11-01 by SFS Chemical Safety, Inc., Emeryville, California	Feb 27, 2012
Information Resources Management Audits		
12-P-0220	Technical and Computer Room Security Vulnerabilities Increase Risk to EPA's Network	Jan 20, 2012

EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
October 1, 2011 – March 31, 2012

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-AR-2012-ADM-0001	10/3/2011	3/19/2012	<u>Allegation:</u> An EPA employee misused a government computer and email while operating a personal business on government time. (5 CFR 2635) <u>Resolution:</u> This investigation was referred to the Agency for administrative action.
OI-HQ-2012-ADM-0034	1/10/2012	3/19/2012	<u>Allegation:</u> An EPA employee used a Government vehicle for personal use. (5 CFR 2635) <u>Resolution:</u> The allegation was unfounded in that the employee used a personal vehicle at the time in question. The investigation was closed.
OI-CH-2011-ADM-1230	12/6/2010	3/15/2012	<u>Allegation:</u> A former EPA grantee made a threatening phone call to an EPA employee. (18 USC 115) <u>Resolution:</u> This investigation did not substantiate the allegation and the case was closed.
OI-AR-2011-ADM-2850	3/6/2006	3/15/2012	<u>Allegation:</u> An EPA employee used his government travel card for personal expenses, used his government email and work time for personal business, and used the government fax machine to send forged documents. (18 USC 641) <u>Resolution:</u> This investigation was declined for criminal prosecution. The employee received a verbal reprimand for the misuse of his government travel card. The use of the government email was determined to be within the EPA limited personal use policy and the allegation regarding forged documents was unfounded.
OI-RTP-2010-CFR-0184	2/11/2010	3/9/2012	<u>Allegation:</u> A contractor used another company's GSA Schedule authorization number in order to do business with EPA. (18 USC 1001, 18 USC 287) <u>Resolution:</u> There was no dollar loss to the government and the case was declined for civil action. Suspension and debarment actions were pursued but were cancelled when the company was no longer a viable entity.
OI-DA-2011-CAC-2806	6/2/2011	2/27/2012	<u>Allegation:</u> An ARRA funded contract was not executed before the ARRA deadline of February 17, 2010. (18 USC 287, 18 USC 1001) <u>Resolution:</u> The investigation disproved the allegations and the case was closed.
OI-DE-2012-CAC-0025	12/12/2011	2/14/2012	<u>Allegation:</u> A company may have submitted a fraudulent Opencut Mining Permit (OMP) to one of EPA's contractors; or provided fraudulent documentation to the State Department of Environmental Quality (DEQ) in order to obtain an exemption from the requirement to obtain an OMP. (18 USC 1001) <u>Resolution:</u> The investigation disclosed the company was exempted by the DEQ from having to obtain an OMP. The allegation was disproven and the investigation was closed.

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OI-WI-2010-CFR-0262	4/14/2010	2/13/2012	<p><u>Allegation:</u> A company invoiced the EPA for medical equipment and patient care outside the scope of the contract, and charged vacation time taken by its employees directly against the EPA contract. (18 USC 1001, 1035, 1341, 1343)</p> <p><u>Resolution:</u> The allegations were addressed and disproven. No further investigative activity was warranted and the case was closed.</p>
OI-DE-2011-CAC-0699	11/12/2010	2/1/2012	<p><u>Allegation:</u> A company self disclosed that it submitted false claims to the EPA in the amount of approximately \$9,000. (18 USC 666)</p> <p><u>Resolution:</u> The investigation revealed that a company employee embezzled approximately \$8,642 in the form of unauthorized international phone calls, which were then charged against U.S. Government contracts. The employee was terminated prior to the investigation. This case was presented for criminal prosecution, but was declined.</p>
OI-KA-2011-CFR-2852	9/1/2011	1/24/2012	<p><u>Allegation:</u> An accounting firm was hired by a State to review construction projects that were funded by the American Recovery and Reinvestment Act (ARRA). The firm generated a preliminary draft analysis of bidding data on 109 water infrastructure projects with an objective of identifying potential fraud, waste, and abuse. The work concentrated on data analysis and desk reviews, and did not include any work performed at the sub-grantee (recipient) level. The resulting draft analysis disclosed only baseline information that could be construed as possible indicators of Sherman Antitrust Act violations, specifically bid-rigging. The analysis did not identify with specificity any allegations or individuals or entities involved in criminal activity. (15 USC Sec 1-7)</p> <p><u>Resolution:</u> At the time the referral was made, the analysis had not developed sufficiently detailed information from which investigations could be pursued. This investigation was closed.</p>
OI-SA-2011-CFR-2490	1/6/2011	1/20/2011	<p><u>Allegation:</u> A tribal grantee may have fraudulently misused EPA grant funds for false work claims and for meals and hotels stays that are unallowable under the EPA grants. It was also alleged that grant monies were spent on other unallowable expenses such as a sponsorship to athletic games, travel and items purchased for personal use. Additionally, there were allegations of theft of property purchased with EPA grant funds. (18 USC 666, 18 USC 641)</p> <p><u>Resolution:</u> The investigation did not support the allegation that the grantee stole property purchased with EPA grant funds or the allegation relative to false work claims. Evidence was found to support the allegation that grant monies were expended for unallowable expenses; however the statute of limitations had expired and the monetary value was small. This investigation was closed.</p>

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OI-SA-2010-CFR-0582	9/3/2010	1/20/2012	<p><u>Allegation:</u> A city inspector allegedly misused his inspection authority and received kickbacks from several city contractors in return for steering contracts to their companies. The American Recovery and Reinvestment Act (ARRA) funded the contracts. (18 USC 874, 666, and 201)</p> <p><u>Resolution:</u> While part of the allegation was substantiated, (b) (5) and the case was declined.</p>
OI-DE-2011-CAC-2819	6/22/2011	1/13/2012	<p><u>Allegation:</u> A contractor may not have paid some of its employees the correct labor wage rate in accordance with their labor classification, and may not have paid some of its employees overtime when their daily work hours exceeded eight hours. The project was funded under the American Recovery and Reinvestment Act of 2009 (18 USC 1001, 1341, 1343)</p> <p><u>Resolution:</u> The contractor did not have a clear understanding of the Fair Labor Standards Act, in that overtime pay must be paid for work in excess of 40 hours during any given week resulting in nine employees not being paid overtime. Further, four employees were misclassified as to their job classification for a portion of work and they were not paid the correct prevailing wage rate. The contractor has agreed to pay back wages due to all employees. The allegations were proven. No prosecutive or administrative referrals were made based on (b) (5)</p>
OI-AR-2011-CAC-2839	8/3/2011	1/5/2012	<p><u>Allegation:</u> An EPA employee may have been untruthful and made false statements pertaining to earning a Bachelor's degree from a non-accredited university. The institution where the employee claimed to have gotten her degree is a "diploma mill." (18 USC 1001, 2302)</p> <p><u>Resolution:</u> The investigation disclosed that the alleged violations were unfounded. There are no federal criminal statutes that were violated, no violations of the Code of Federal Regulations, Standards of Ethical Conduct and no EPA internal policy and rules violations. This investigation was closed.</p>
OI-AR-2011-CFR-0240	10/29/2010	12/23/2011	<p><u>Allegation:</u> It was alleged that a town intended to use excess EPA American Recovery and Reinvestment Act (ARRA) funds on other town projects. (18 USC 286, 666)</p> <p><u>Resolution:</u> This investigation failed to disclose any evidence that EPA ARRA funds had been misappropriated. The investigation, which centered on allegations that a future misuse of EPA funds might occur, was unable to substantiate those allegations or that a previous misuse of funds had occurred. This investigation was not referred for any criminal, civil or administrative actions.</p>

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OI-RTP-2011-CFR-2846	8/19/2011	12/23/2011	<p><u>Allegation:</u> A city allegedly misappropriated EPA grant funds by diverting sewer capacity from low-income residents with existing needs to a new development. (18 USC 286, 666)</p> <p><u>Resolution:</u> Since no clear allegation or subject was identified, this investigation was closed.</p>
OI-DE-2011-CFR-2851	9/1/2011	11/25/2011	<p><u>Allegation:</u> A contractor had fraudulently obtained contracts funded by the American Recovery and Reinvestment Act (ARRA). The contractor misrepresented past default terminations from government contracts on their applications for ARRA contracts. Because of these misrepresentations, the contractor was awarded an ARRA contract from the EPA for \$6,478,000. (18 USC 1001)</p> <p><u>Resolution:</u> The Federal Acquisition Service (FAS) confirmed that the contractor did not submit false statements to the government when it applied for ARRA funds. FAS officials indicated the information in the Federal Procurement Data System (FPDS) was entered incorrectly and therefore was inaccurate. The investigation was closed.</p>
OI-SA-2011-CAC-2842	8/12/2011	11/25/2011	<p><u>Allegation:</u> It was alleged that between 2007 and 2008, the General Services Administration Federal Acquisition Service (GSA FAS) and the Department of the Army terminated contracts with a contractor for default. Based on reports of terminated contracts and Online Representations and Certifications Application (ORCA) records review, it appeared the contractor falsely certified several times that the contractor, had not, within a three year period, had a contract terminated for default. As a result, the contractor received 18 American Recovery and Reinvestment Act (ARRA) contracts totaling over \$32 million including three Environmental Protection Agency (EPA) contracts totaling \$25,900,000. (18 USC 1001, 1343)</p> <p><u>Resolution:</u> Contracting Officers for two of the three EPA contracts in question reported the contracts were not terminated for default but rather cancelled due to lack of sales. The remaining contract was reported as still active. Based on the interviews, it appears there was an error in the contract reporting system. The system defaults to "terminated" regardless if the contract was canceled or terminated. This investigation was closed.</p>
OI-SA-2011-CFR-2787	8/12/2011	11/25/2011	<p><u>Allegation:</u> A former contractor employee reported that he was terminated from his employment. He alleged that the contractor and a subcontractor billed EPA for activities outside the scope of their work. He also alleged they have billed EPA for the full amount of work that they only partially performed. (18 USC 1343, 287)</p> <p><u>Resolution:</u> No evidence of fraud involving EPA funds was substantiated. This investigation was closed.</p>
2009-CS-0103	6/19/2009	11/10/2011	<p><u>Allegation:</u> EPA grant funds may have been misappropriated to keep the grantee's other business ventures operational. (18 USC 1001)</p> <p><u>Resolution:</u> The investigative efforts disproved the allegation and the case was closed.</p>

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OI-HQ-2011-ADM-2793	5/5/2011	11/4/2011	<p><u>Allegation:</u> It was alleged that unfair hiring practices had occurred in an EPA program office. The complainant alleged that an EPA supervisor directed the office to hire a named individual. The complainant stated that the position was advertised; however, the named individual did not qualify for the certificate. This caused the supervisor to have the office cancel the announcement and issue another announcement so specific that only the named individual would have been qualified. The named individual was hired for the position.\ (5 U.S.C. Sec. 2302(b)(6))</p> <p><u>Resolution:</u> While there could have been an appearance of favoritism to an outsider there was no unauthorized preference or advantage given to the named individual. This case was closed.</p>
OI-WI-2011-CFR-0002	10/7/2010	10/28/2011	<p><u>Allegation:</u> A contractor provided bedding material used on an ARRA funded waterline project that was not in accordance with the contract specifications. (18 USC 1001)</p> <p><u>Resolution:</u> The allegation was unfounded and the case was closed.</p>
OI-CH-2011-CAC-0469	11/5/2010	10/27/2011	<p><u>Allegation:</u> A contractor provided materials that did not meet the substantial transformation requirements under ARRA requirements for a waterline project. (18 USC 1001)</p> <p><u>Resolution:</u> An EPA engineer reviewed the results of the investigation and determined the materials met the substantial transformation requirement. The allegation was unfounded and the case was closed.</p>
2009-CS-0074	12/2/2009	10/25/2011	<p><u>Allegation:</u> A state employee was fired due to accusations by her supervisors that she manipulated data with regard to the Particulate Matter (PM) 2.5 Ambient Air Monitoring Program. The employee took physical air samples from PM 2.5 network air monitors, in accordance with an approved Quality Assurance Project Plan (QAPP). 75% of the samples had to be "good", i.e., acceptable from a Quality Assurance standpoint. However, one of the monitors malfunctioned and the state could not get the monitor fixed. As a result of this malfunction, the 75% target was not met. Management accused the employee of manipulating the data because they were concerned with losing continued EPA grant funding, and they felt it sounded better to say an employee had manipulated data and was fired, rather than say a monitor was not working. (18 USC 1001)</p> <p><u>Resolution:</u> The employee was interviewed on several occasions and was unable to provide any specific allegations or any clear evidence that her allegations were true. EPA did identify that the air monitors had malfunctioned, but the state voided the data, and EPA program staff accepted the data. The allegations were unfounded and the case was closed.</p>
OI-DE-2011-CAC-2804	6/1/2011	10/13/2011	<p><u>Allegation:</u> A Company submitted fraudulent certifications pertaining to their status as a Disadvantaged Business Enterprise. The company did work funded by EPA ARRA. (18 USC 1001,1341,1343, 287)</p> <p><u>Resolution:</u> The allegation was disproven and no prosecutive or administrative referrals were made. This investigation was closed.</p>



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JAN 10 2013

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed are listings of closed audits and investigations conducted by the U.S. Environmental Protection Agency, Office of Inspector General. The audits and investigations were closed between April 1, 2012, and September 30, 2012, and were not disclosed to the public. We are providing these listings in response to your ongoing request for biannual reports of this information.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The audit reports listed were not disclosed because they may contain confidential business information or because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Thank you for your continued support of the work we do as inspectors general. If you would like additional information on any audit or investigation listed, please contact Eileen McMahon, assistant inspector general for the Office of Congressional and Public Affairs, at (202) 566-2391. An identical letter is being provided to Senator Grassley.

Sincerely,

A handwritten signature in blue ink, which appears to read "Arthur A. Elkins, Jr.", is positioned above the printed name.

Arthur A. Elkins, Jr.

Enclosures



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JAN 10 2013

THE INSPECTOR GENERAL

The Honorable Charles Grassley
Ranking Member
Committee on Finance
United States Senate
Washington, D.C. 20510

Dear Senator Grassley:

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Arthur A. Elkins, Jr.

Enclosures

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports
Issued April 1, 2012 – September 30, 2012
Not Available to the Public

Report No.	Report Title	Date Issued
Single Audit Reports		
12-3-0384	Superior, Wisconsin, City of - FY 2010	Apr 02, 2012
12-3-0385	Two Rivers, Wisconsin, City of - FY 2010	Apr 02, 2012
12-3-0386	Whitehall, Wisconsin, City of - FY 2010	Apr 02, 2012
12-3-0389	Owatonna, Minnesota, City of - FY 2010	Apr 03, 2012
12-3-0390	New Prague, Minnesota, City of - FY 2010	Apr 03, 2012
12-3-0391	Moose Lake, Minnesota, City of - FY 2010	Apr 03, 2012
12-3-0392	Minneota, Minnesota, City of - FY 2010	Apr 03, 2012
12-3-0393	Medford, Minnesota, City of - FY 2010	Apr 03, 2012
12-3-0394	Western Michigan Strategic Alliance, Michigan - FY 2009	Apr 03, 2012
12-3-0395	Salem, New Jersey, City of - FY 2010	Apr 03, 2012
12-3-0396	Newcomb, New York, Town of - FY 2010	Apr 03, 2012
12-3-0397	Sublette County School District No. 9, Wyoming	Apr 04, 2012
12-3-0398	St. Louis Junior College District of Missouri - FY 2011	Apr 04, 2012
12-3-0399	Buckeye, Arizona, Town of - FY 2011	Apr 05, 2012
12-3-0400	Astoria, Oregon, City of - FY 2011	Apr 05, 2012
12-3-0401	Osceola County, Michigan - FY 2011	Apr 05, 2012
12-3-0402	Lenoir, North Carolina, City of - FY 2011	Apr 05, 2012
12-3-0403	Munich, North Dakota, City of - FY 2010	Apr 06, 2012
12-3-0404	Northwood, North Dakota, City of - FY 2010	Apr 06, 2012
12-3-0405	Custer Health Organization, North Dakota - FY 2010	Apr 06, 2012
12-3-0406	Rhineland, Wisconsin, City of - FY 2010	Apr 06, 2012
12-3-0418	Jette Meadows Water and Sewer District, Montana - FY 2010	Apr 24, 2012
12-3-0419	Lavale Sanitary Commission, Maryland - FY 2011	Apr 24, 2012
12-3-0420	Pennsboro, West Virginia, City of - FY 2011	Apr 24, 2012
12-3-0421	Prentiss County, Mississippi - FY 2009	Apr 24, 2012
12-3-0422	Crossville, Tennessee, City of - FY 2011	Apr 24, 2012
12-3-0423	Putnam Public Service District-Wastewater Fund, West Virginia - FY 2011	Apr 24, 2012
12-3-0424	Putnam Public Service District-Water Fund, West Virginia - FY 2011	Apr 24, 2012
12-3-0425	Smyth, Virginia, County of - FY 2011	Apr 24, 2012
12-3-0426	Waushara County, Wisconsin - FY 2010	Apr 24, 2012
12-3-0428	Allegan, Michigan, City of - FY 2011	Apr 25, 2012
12-3-0429	Star Valley Ranch, Wyoming - FY 2011	Apr 26, 2012
12-3-0430	Dillingham, Alaska, City of - FY 2011	Apr 26, 2012
12-3-0431	Madison School District, Michigan - FY 2011	Apr 26, 2012
12-3-0432	Lawton, Michigan, Village of - FY 2011	Apr 26, 2012
12-3-0433	Mitchell County, North Carolina - FY 2011	Apr 26, 2012
12-3-0435	Lancaster, New Hampshire, Town of - FY 2009	Apr 30, 2012
12-3-0436	Andrews, North Carolina, Town of - FY 2011	Apr 30, 2012

EPA Office of Inspector General - Office of Audit
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Issued April 1, 2012 – September 30, 2012
Not Available to the Public

Report No.	Report Title	Date Issued
12-3-0437	Tilton Northfield Water District, New Hampshire - FY 2011	Apr 30, 2012
12-3-0438	R&T Water Supply, North Dakota - FY 2010	Apr 30, 2012
12-3-0439	Lower Big Blue Natural Resources District, Nebraska - FY 2011	Apr 30, 2012
12-3-0440	Henderson County, Tennessee - FY 2011	Apr 30, 2012
12-3-0441	McMinnville, Tennessee, City of - FY 2011	Apr 30, 2012
12-3-0442	Frederick County, Maryland - FY 2011	May 02, 2012
12-3-0443	Bluefield Sanitary District, West Virginia - FY 2011	May 02, 2012
12-3-0444	New Jersey, State of - FY 2011	May 03, 2012
12-3-0445	Pennsylvania, Commonwealth of - FY 2011	May 03, 2012
12-3-0446	Montour County, Pennsylvania - FY 2010	May 03, 2012
12-3-0447	Independence-Cross Creek Joint Sewer Authority, Pennsylvania - FY 2010	May 03, 2012
12-3-0448	Foxburg Area Water & Sewer Authority, Pennsylvania - FY 2010	May 03, 2012
12-3-0449	Ironton, Ohio, City of - FY 2010	May 03, 2012
12-3-0450	Lester Prairie, Minnesota, City of - FY 2011	May 03, 2012
12-3-0451	Murray City, Ohio, Village of - FY 2010	May 03, 2012
12-3-0452	Pittsfield, Illinois, City of - FY 2011	May 03, 2012
12-3-0454	Dayton, Ohio, City of - FY 2010	May 04, 2012
12-3-0456	Brookneal, Virginia, Town of - FY 2011	May 06, 2012
12-3-0457	Loyalsock Township, Pennsylvania - FY 2010	May 07, 2012
12-3-0458	Brooke County Public Service District, West Virginia - FY 2011	May 09, 2012
12-3-0459	Clean Fuels Ohio, Ohio - FY 2011	May 09, 2012
12-3-0460	Chicago Metropolitan Agency for Planning, Illinois - FY 2011	May 09, 2012
12-3-0461	Aiken County, South Carolina - FY 2011	May 14, 2012
12-3-0462	Cape Fear Public Utility Authority, North Carolina - FY 2011	May 14, 2012
12-3-0463	Carolina Beach, North Carolina, Town of - FY 2011	May 14, 2012
12-3-0464	Orangeburg, South Carolina, City of - FY 2011	May 14, 2012
12-3-0465	Robbinsville, North Carolina, Town of - FY 2011	May 14, 2012
12-3-0466	Wisconsin Rapids, Wisconsin, City of - FY 2010	May 14, 2012
12-3-0467	Knox County, Tennessee, West Knox Utility, District of - FY 2011	May 16, 2012
12-3-0468	Benton Harbor, Michigan, City of - FY 2010	May 16, 2012
12-3-0469	Butler, Ohio, County of - FY 2010	May 16, 2012
12-3-0470	Buffalo Island Regional Water District, Arkansas - FY 2011	May 17, 2012
12-3-0471	Gardner Community Water Association Inc., Louisiana - FY 2011	May 16, 2012
12-3-0472	Shoshone Joint School District #312, Idaho - FY 2011	May 16, 2012
12-3-0473	Union Grove, Wisconsin, Village of - FY 2010	May 16, 2012
12-3-0474	Richland, New York, Town of - FY 2009	May 16, 2012
12-3-0475	Brandon, South Dakota, City of - FY 2010	May 16, 2012
12-3-0476	Ocean Shore, Washington, City of - FY 2010	May 16, 2012
12-3-0477	Eagle Nest, New Mexico, Village - FY 2011	May 16, 2012
12-3-0478	Elephant Butte, New Mexico, City of - FY 2011	May 16, 2012
12-3-0479	Hammond, Louisiana, City of - FY 2011	May 17, 2012
12-3-0480	Oakdale, Louisiana, City of - FY 2011	May 17, 2012

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Issued April 1, 2012 – September 30, 2012
Not Available to the Public

Report No.	Report Title	Date Issued
12-3-0481	Ville Platte, Louisiana, City of - FY 2011	May 17, 2012
12-3-0482	Youngsville, Louisiana, City of - FY 2011	May 17, 2012
12-3-0483	Ulen, Minnesota, City of - FY 2011	May 17, 2012
12-3-0484	Port Byron, Illinois, Village of - FY 2011	May 17, 2012
12-3-0485	Tulsa, Oklahoma, City of - FY 2011	May 17, 2012
12-3-0486	Pinedale, Wyoming, Town of - FY 2010	May 17, 2012
12-3-0487	Orono-Veazie Water District, Maine - FY 2010	May 17, 2012
12-3-0488	Southern Maine Regional Planning Commission, Maine - FY 2011	May 17, 2012
12-3-0489	New Hampshire, State of - FY 2011	May 21, 2012
12-3-0491	New Berlin Municipal Authority, Pennsylvania - FY 2010	May 21, 2012
12-3-0492	Greene, North Carolina, County of - FY 2011	May 21, 2012
12-3-0493	High Point, North Carolina, City of - FY 2011	May 21, 2012
12-3-0494	Montgomery, Pennsylvania, Redevelopment Authority, County of - FY 2010	May 21, 2012
12-3-0495	Florida, State of - FY 2011	May 22, 2012
12-3-0496	Tennessee, State of - FY 2011	May 22, 2012
12-3-0497	Zuni, New Mexico. Pueblo - FY 2010	May 22, 2012
12-3-0498	North Carolina, State of - FY 2011	May 22, 2012
12-3-0500	Madison Lake, Minnesota, City of - FY 2010	May 23, 2012
12-3-0501	Norwich Township, Pennsylvania - FY 2010	May 23, 2012
12-3-0502	Charles City, Iowa, City of - FY 2011	May 23, 2012
12-3-0503	Granville, New York, Village of - FY 2010	May 23, 2012
12-3-0504	Claywood Park Public Service District, West Virginia - FY 2011	May 23, 2012
12-3-0505	Beckley, West Virginia, City of - FY 2011	May 23, 2012
12-3-0506	Enid, Oklahoma, City of - FY 2010	May 24, 2012
12-3-0507	Lower Platte North Natural Resources District, Nebraska - FY 2011	May 24, 2012
12-3-0509	Hinsdale, New Hampshire, City of - FY 2010	May 30, 2012
12-3-0510	New Castle Conservation District, Delaware - FY 2011	May 30, 2012
12-3-0511	Southern New Hampshire Planning Commission, New Hampshire - FY 2011	May 30, 2012
12-3-0512	Windsor, Vermont, Town of - FY 2011	May 30, 2012
12-3-0513	Allenstown, New Hampshire, Town of - FY 2010	May 31, 2012
12-3-0514	Upper Blackstone Water Pollution Abatement District, Massachusetts - FY 2011	May 31, 2012
12-3-0517	Bath, West Virginia, Municipality of - FY 2011	Jun 04, 2012
12-3-0520	Wilmington, Delaware, City of - FY 2011	Jun 05, 2012
12-3-0525	Cape Charles, Virginia, Municipal Corporation of - FY 2011	Jun 06, 2012
12-3-0526	Bristol, Rhode Island, Town of - FY 2011	Jun 06, 2012
12-3-0527	Armstrong Conservation District, Pennsylvania - FY 2010	Jun 07, 2012
12-3-0528	Harrisburg, Illinois, City of - FY 2011	Jun 07, 2012
12-3-0529	Ipava, Illinois, Village of - FY 2011	Jun 07, 2012
12-3-0530	Payson, Illinois, Village of - FY 2011	Jun 08, 2012
12-3-0531	Saginaw, Michigan, City of - FY 2011	Jun 08, 2012
12-3-0532	Millsboro, Delaware, Town of - FY 2011	Jun 08, 2012
12-3-0533	Southern Maryland, Maryland College of - FY 2011	Jun 11, 2012

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12-3-0534	Highlands, North Carolina, Town of - FY 2011	Jun 12, 2012
12-3-0535	LaBarge, Wyoming, Town of - FY 2011	Jun 12, 2012
12-3-0537	Washakie County School District #1, Wyoming - FY 2011	Jun 12, 2012
12-3-0538	Tuckasee Water & Sewer Authority, North Carolina - FY 2011	Jun 12, 2012
12-3-0539	Warsaw, North Carolina, Town of - FY 2011	Jun 13, 2012
12-3-0540	Ypsilanti, Michigan, City of - FY 2011	Jun 13, 2012
12-3-0541	Delaware Center for Inland Bays Inc., Delaware - FY 2011	Jun 13, 2012
12-3-0542	Marlin, Texas, City of - FY 2010	Jun 14, 2012
12-3-0544	White Sulphur Springs, West Virginia, City of - FY 2011	Jun 18, 2012
12-3-0545	Lansing, Michigan, City of - FY 2011	Jun 18, 2012
12-3-0546	Seabrook, New Hampshire, Town of - FY 2010	Jun 19, 2012
12-3-0547	North Providence, Rhode Island, Town of - FY 2011	Jun 19, 2012
12-3-0549	Ludlow, Massachusetts, Town of - FY 2010	Jun 19, 2012
12-3-0550	Jay, Vermont, Town of - FY 2011	Jun 19, 2012
12-3-0551	Hardwick, Vermont, Town of - FY 2011	Jun 19, 2012
12-3-0552	Rutland Regional Planning Commission, Vermont - FY 2011	Jun 19, 2012
12-3-0553	Springfield, Vermont, Town of - FY 2011	Jun 19, 2012
12-3-0554	Grantsville, West Virginia, Town of - FY 2011	Jun 22, 2012
12-3-0555	Pedro Bay Village Council, Alaska - FY 2010	Jun 27, 2012
12-3-0556	Marmet, West Virginia, Municipality of - FY 2011	Jun 28, 2012
12-3-0557	Pound, Virginia, Town of - FY 2011	Jun 28, 2012
12-3-0558	Salem, West Virginia, Municipality of - FY 2011	Jun 28, 2012
12-3-0561	Davis, West Virginia, Municipality of - FY 2011	Jul 12, 2012
12-3-0562	East Franklin Township, Pennsylvania	Jul 12, 2012
12-3-0563	Nitro Regional Wastewater Utility, West Virginia	Jul 12, 2012
12-3-0564	Hinton, West Virginia, Municipality of - FY 2011	Jul 12, 2012
12-3-0565	Gratz Borough Municipal Authority, Pennsylvania	Jul 12, 2012
12-3-0566	Petersburg, West Virginia, Municipality of - FY 2011	Jul 12, 2012
12-3-0568	Brattleboro, Vermont, Town of - FY 2011	Jul 16, 2012
12-3-0569	Rutland, Vermont, City of - FY 2011	Jul 16, 2012
12-3-0570	St. Albans, Vermont, City of - FY 2011	Jul 16, 2012
12-3-0571	Republic City of Washington - FY 2010	Jul 18, 2012
12-3-0572	Water Environment Federation and Subsidiary - FY 2011	Jul 18, 2012
12-3-0573	Grand Isle, Louisiana, Town of - FY 2011	Jul 18, 2012
12-3-0574	Tulsa, University of, Oklahoma - FY 2011	Jul 18, 2012
12-3-0575	Wardensville, West Virginia, Town of - FY 2011	Jul 18, 2012
12-3-0576	Seattle, Washington, City of - FY 2010	Jul 18, 2012
12-3-0577	Machias, Maine, Town of - FY 2011	Jul 18, 2012
12-3-0578	Mount Union, Pennsylvania, Borough of - FY 2010	Jul 18, 2012
12-3-0580	East Providence, Rhode Island, City of - FY 2011	Jul 19, 2012
12-3-0581	Grafton, Illinois, City of - FY 2011	Jul 19, 2012
12-3-0582	Athabaskan Council of Tribal Governments, Alaska - FY 2011	Jul 19, 2012

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12-3-0583	Bay-Lake Regional Planning Commission, Wisconsin - FY 2011	Jul 19, 2012
12-3-0584	Children's Hospital of Philadelphia Foundation and Controlled Affiliates, Pennsylvania - FY 2011	Jul 20, 2012
12-3-0585	Schuylkill River Development Corporation, Pennsylvania - FY 2009	Jul 20, 2012
12-3-0586	Constructors Association of Western Pennsylvania, Pennsylvania - FY 2010	Jul 20, 2012
12-3-0587	Pine Creek Municipal Authority, Pennsylvania - FY 2011	Jul 20, 2012
12-3-0588	Broad Top City Water Authority, Pennsylvania - FY 2010	Jul 20, 2012
12-3-0589	Walton/Okaloosa/Santa Rosa Regional Utility Authority, Florida - FY 2010	Jul 20, 2012
12-3-0590	Washington, Louisiana, Town of - FY 2010	Jul 20, 2012
12-3-0591	National Center for Manufacturing Sciences and Subsidiaries - FY 2011	Jul 20, 2012
12-3-0592	Havre de Grace, Maryland, City of - FY 2011	Jul 23, 2012
12-3-0593	Moultrie, Georgia, City of - FY 2011	Jul 23, 2012
12-3-0594	Niles, Michigan, City of - FY 2011	Jul 23, 2012
12-3-0595	Amarillo, Texas, City of - FY 2011	Jul 23, 2012
12-3-0596	Morristown, Tennessee, Town of	Jul 24, 2012
12-3-0597	Charleston, Arkansas, City of - FY 2011	Jul 24, 2012
12-3-0598	Alvarado, Texas, City of - FY 2011	Jul 24, 2012
12-3-0599	Belen, New Mexico, City of - FY 2011	Jul 24, 2012
12-3-0602	Richmond, Vermont, Town of - FY 2011	Jul 26, 2012
12-3-0603	North Miami Beach, Florida, City of - FY 2011	Jul 26, 2012
12-3-0604	Marlborough, Massachusetts, City of - FY 2011	Jul 26, 2012
12-3-0605	St. Augustine Beach, Florida, City of - FY 2011	Jul 26, 2012
12-3-0606	Hedrick, Iowa, City of - FY 2011	Jul 26, 2012
12-3-0607	Port Allen, Louisiana, City of - FY 2011	Jul 26, 2012
12-3-0608	Cozad, Nebraska, City of - FY 2011	Jul 26, 2012
12-3-0609	LaPlata, Maryland, Town of - FY 2011	Jul 26, 2012
12-3-0610	Warsaw, Kentucky, City of - FY 2011	Jul 26, 2012
12-3-0611	Miami-Dade Water and Sewer Department, Florida - FY 2011	Jul 26, 2012
12-3-0612	Benton Harbor, Michigan, City of - FY 2011	Jul 26, 2012
12-3-0613	New York, State of - FY 2011	Jul 26, 2012
12-3-0614	Tredyffrin Township, Pennsylvania - FY 2010	Jul 26, 2012
12-3-0615	Dubuque, Iowa, City of - FY 2011	Jul 26, 2012
12-3-0616	Idaho, State of - FY 2011	Jul 26, 2012
12-3-0617	Lehigh County Authority, Pennsylvania - FY 2011	Jul 27, 2012
12-3-0618	Mansfield, Louisiana, City of - FY 2010	Jul 27, 2012
12-3-0619	Monroe, Louisiana, City of - FY 2011	Jul 27, 2012
12-3-0620	Pawnee, Oklahoma, City of - FY 2011	Jul 27, 2012
12-3-0621	Shawnee ,Oklahoma, City of - FY 2011	Jul 27, 2012
12-3-0622	Martinville, Louisiana, City of - FY 2011	Jul 27, 2012
12-3-0623	Bloomington Utility District of Sullivan County, Tennessee - FY 2011	Jul 30, 2012
12-3-0624	Windham Regional Commission, Vermont - FY 2011	Jul 31, 2012
12-3-0625	Afton, Wyoming, Town of - FY 2011	Jul 31, 2012
12-3-0626	Meeteetse, Wyoming, Town of - FY 2011	Jul 31, 2012

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12-3-0627	Franklin, Louisiana, City of - FY 2011	Aug 01, 2012
12-3-0628	Washtenaw County, Michigan - FY 2011	Aug 01, 2012
12-3-0629	Yucaipa Valley Water District, California - FY 2011	Aug 01, 2012
12-3-0630	Santee Sioux Nation NE Organizational Unit - FY 2011	Aug 01, 2012
12-3-0631	South Lyons Township Sanitary District, Illinois - FY 2011	Aug 03, 2012
12-3-0632	Riverton, Wyoming, City of - FY 2011	Aug 03, 2012
12-3-0633	Scappoose, Oregon, City of - FY 2011	Aug 06, 2012
12-3-0634	Tigard, Oregon, City of - FY 2011	Aug 06, 2012
12-3-0635	Chilhowee, Missouri, City of - FY 2011	Aug 06, 2012
12-3-0636	Potlatch, Idaho, City of - FY 2010	Aug 08, 2012
12-3-0637	Taos, New Mexico, Town of - FY 2011	Aug 08, 2012
12-3-0638	Rio Rancho, New Mexico, City of - FY 2011	Aug 08, 2012
12-3-0639	San Miguel County, New Mexico - FY 2011	Aug 08, 2012
12-3-0640	Opelousas, Louisiana, City of - FY 2011	Aug 08, 2012
12-3-0641	Perkins, Oklahoma, City of - FY 2011	Aug 08, 2012
12-3-0642	Questa, New Mexico, Village of - FY 2011	Aug 08, 2012
12-3-0643	Westlake, Louisiana, City of - FY 2011	Aug 08, 2012
12-3-0644	Iron River, Michigan, City of - FY 2011	Aug 08, 2012
12-3-0645	Northlake, Illinois, City of - FY 2011	Aug 08, 2012
12-3-0646	Spring Creek Joint Sewer Authority, Pennsylvania - FY 2010	Aug 08, 2012
12-3-0647	Winchester, New Hampshire, Town of - FY 2011	Aug 08, 2012
12-3-0648	Orbisonia-Rockhill Joint Municipal Authority, Pennsylvania - FY 2010	Aug 08, 2012
12-3-0649	Pleasantville Borough, Pennsylvania - FY 2010	Aug 08, 2012
12-3-0650	Williamsport Sanitary Authority, Pennsylvania - FY 2011	Aug 08, 2012
12-3-0651	Upper Pottsgrove Township, Pennsylvania - FY 2010	Aug 08, 2012
12-3-0652	College Park, Georgia, City of - FY 2011	Aug 08, 2012
12-3-0653	Gloucester City, New Jersey, City of - FY 2010	Aug 09, 2012
12-3-0654	West Milford Municipal Utilities Authority, New Jersey - FY 2011	Aug 09, 2012
12-3-0655	Albany County, New York - FY 2010	Aug 09, 2012
12-3-0656	Camden, New Jersey, City of - FY 2010	Aug 09, 2012
12-3-0657	Bridgeport, Nebraska, City of - FY 2011	Aug 09, 2012
12-3-0658	Chesapeake Research Consortium Inc., Maryland - FY 2011	Aug 09, 2012
12-3-0660	Grosse Point Woods, Michigan, City of - FY 2011	Aug 10, 2012
12-3-0661	Ottawa, Illinois, City of - FY 2011	Aug 10, 2012
12-3-0662	Three Rivers, Michigan, City of - FY 2011	Aug 10, 2012
12-3-0663	Northern Mariana Islands, Commonwealth of - FY 2010	Aug 10, 2012
12-3-0664	Edgewater, Florida, City of - FY 2011	Aug 10, 2012
12-3-0665	Plum Borough Municipal Authority, Pennsylvania - FY 2010	Aug 10, 2012
12-3-0666	Sheridan, Wyoming, City of - FY 2011	Aug 10, 2012
12-3-0667	Fremont County, Wyoming - FY 2011	Aug 10, 2012
12-3-0668	Wyoming, University of - FY 2011	Aug 10, 2012
12-3-0669	Puerto Rico Aqueduct and Sewer Authority - FY 2011	Aug 10, 2012

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12-3-0670	Richfield Springs, New York, Village of - FY 2011	Aug 10, 2012
12-3-0671	Lonsdale, Minnesota, City of - FY 2011	Aug 15, 2012
12-3-0672	Litchfield, Minnesota, City of - FY 2011	Aug 15, 2012
12-3-0673	Community Action Duluth Inc., Minnesota - FY 2011	Aug 15, 2012
12-3-0674	Galeton Area School District, Pennsylvania - FY 2011	Aug 16, 2012
12-3-0675	Lake Michigan Air Directors Consortium, Illinois - FY 2011	Aug 16, 2012
12-3-0676	Wyoming, State of - FY 2011	Aug 16, 2012
12-3-0677	Macatawa Area Coordinating Council, Michigan FY 2011	Aug 16, 2012
12-3-0678	New Mexico Environment Department - FY 2011	Aug 16, 2012
12-3-0679	Mercedes, Texas, City of - FY 2011	Aug 16, 2012
12-3-0680	Economic Development and Industrial Corporation of Boston, Massachusetts - FY 2011	Aug 16, 2012
12-3-0681	Newport, Oregon, Port of - FY 2011	Aug 16, 2012
12-3-0682	Onondaga County, New York - FY 2010	Aug 16, 2012
12-3-0683	St. Johns, Arizona, City of - FY 2011	Aug 16, 2012
12-3-0684	Ely, Nevada, City of - FY 2011	Aug 16, 2012
12-3-0685	Florence, Oregon, City of - FY 2011	Aug 16, 2012
12-3-0686	Bogalusa, Louisiana, City of - FY 2011	Aug 16, 2012
12-3-0687	Dallas, Texas, City of - FY 2011	Aug 16, 2012
12-3-0688	Alamo, Texas, City of - FY 2011	Aug 16, 2012
12-3-0689	Southwest Research Institute Inc., Texas - FY 2011	Aug 16, 2012
12-3-0690	Hawaii, Hawaii, County of - FY 2011	Aug 17, 2012
12-3-0691	Keokuk, Iowa, City of - FY 2011	Aug 17, 2012
12-3-0692	Knoxville, Iowa, City of - FY 2011	Aug 17, 2012
12-3-0693	Cuba, New York, Town of - FY 2010	Aug 17, 2012
12-3-0694	Erwin, New York, Town of - FY 2010	Aug 17, 2012
12-3-0695	Colorado, State of - FY 2011	Aug 17, 2012
12-3-0696	Passaic Valley Sewerage Commissioners, New Jersey - FY 2010	Aug 17, 2012
12-3-0697	Goodlettsville, Tennessee, City of - FY 2011	Aug 17, 2012
12-3-0698	Helen, Georgia, City of - FY 2011	Aug 17, 2012
12-3-0699	Paintsville, Kentucky, City of - FY 2011	Aug 17, 2012
12-3-0700	Sacramento, Kentucky, City of - FY 2011	Aug 17, 2012
12-3-0701	Montevallo, Alabama, Waterworks and Sewer Board, City of - FY 2011	Aug 20, 2012
12-3-0702	Spartanburg County, South Carolina - FY 2011	Aug 20, 2012
12-3-0703	Caswell Beach, North Carolina, Town of - FY 2011	Aug 20, 2012
12-3-0704	Adrian, Missouri, City of - FY 2011	Aug 20, 2012
12-3-0705	Ronda, North Carolina, Town of - FY 2011	Aug 20, 2012
12-3-0706	Waleska, Georgia, City of - FY 2011	Aug 20, 2012
12-3-0707	Holly Springs, North Carolina, Town of - FY 2011	Aug 20, 2012
12-3-0708	Watertown School District No. 14-4, South Dakota - FY 2011	Aug 20, 2012
12-3-0709	Bliss, Idaho, City of - FY 2011	Aug 20, 2012
12-3-0710	St. Helens, Oregon, City of - FY 2011	Aug 20, 2012
12-3-0711	Millersburg, Oregon, City of - FY 2011	Aug 20, 2012

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12-3-0712	Wickiup Water District, Oregon - FY 2010	Aug 20, 2012
12-3-0713	Asbury Park, New Jersey, City of - FY 2010	Aug 20, 2012
12-3-0714	Daniel, Utah, Town of - FY 2011	Aug 21, 2012
12-3-0715	Encampment, Wyoming, Town of - FY 2011	Aug 21, 2012
12-3-0716	Lennox, South Dakota, City of - FY 2010	Aug 21, 2012
12-3-0717	Pine Haven, Wyoming, Town of - FY 2011	Aug 21, 2012
12-3-0718	Ava, Missouri, City of - FY 2011	Aug 21, 2012
12-3-0719	Wisconsin, State of - FY 2011	Aug 21, 2012
12-3-0721	Canastota, New York, Village of - FY 2011	Aug 22, 2012
12-3-0722	Iberville Parish Waterworks District No. 2, St. Gabriel, Louisiana - FY 2011	Aug 22, 2012
12-3-0723	Bonham, Texas, City of - FY 2011	Aug 22, 2012
12-3-0725	Puerto Rico Environmental Quality Board, Government of - FY 2010	Aug 22, 2012
12-3-0726	Charleston Sanitary District, Oregon - FY 2011	Aug 22, 2012
12-3-0727	Houston, Missouri, City of - FY 2010	Aug 22, 2012
12-3-0728	Kansas City, Missouri, City of - FY 2011	Aug 22, 2012
12-3-0729	American Falls, Idaho, City of - FY 2011	Aug 22, 2012
12-3-0730	Grace, Idaho, City of - FY 2011	Aug 22, 2012
12-3-0731	Bayou Descannes Water System Inc., Basile, Louisiana - FY 2011	Aug 22, 2012
12-3-0732	Belmond, Iowa, City of - FY 2011	Aug 22, 2012
12-3-0733	Leon, Iowa, City of - FY 2011	Aug 23, 2012
12-3-0734	Onondaga Environmental Institute Inc., New York - FY 2010	Aug 23, 2012
12-3-0735	Stratford, Iowa, City of - FY 2011	Aug 23, 2012
12-3-0736	Main South Community Development Corporation, Massachusetts - FY 2011	Aug 23, 2012
12-3-0737	Kearney, Nebraska, City of - FY 2011	Aug 23, 2012
12-3-0738	Treasure Coast Regional Planning Council, Florida - FY 2011	Aug 23, 2012
12-3-0739	Wise County, Virginia - FY 2011	Aug 23, 2012
12-3-0740	Uinta County School District 6, Lyman, Wyoming - FY 2011	Aug 23, 2012
12-3-0741	Puerto Rico Water Pollution Control Revolving Fund - FY 2011	Aug 27, 2012
12-3-0742	Grass Valley, California, City of - FY 2011	Aug 27, 2012
12-3-0744	Sun Valley Public Service District, West Virginia - FY 2011	Aug 29, 2012
12-3-0745	Louisiana, State of - FY 2011	Aug 29, 2012
12-3-0746	Kansas, State of - FY 2011	Aug 29, 2012
12-3-0748	Delaware, State of - FY 2011	Aug 31, 2012
12-3-0750	Wayne, Nebraska, City of - FY 2011	Sep 04, 2012
12-3-0751	California, State of - FY 2011	Sep 04, 2012
12-3-0752	Nevada, State of - FY 2011	Sep 04, 2012
12-3-0753	Washington, State of - FY 2011	Sep 04, 2012
12-3-0754	Texas, State of - FY 2011	Sep 04, 2012
12-3-0755	Barnesville, Minnesota, City of - FY 2011	Sep 05, 2012
12-3-0756	Mecosta County, Michigan - FY 2011	Sep 05, 2012
12-3-0757	Howard Lake, Minnesota, City of - FY 2011	Sep 05, 2012

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12-3-0758	Austin, Texas, City of - FY 2011	Sep 05, 2012
12-3-0760	Pilot Grove, Missouri, City of - FY 2011	Sep 06, 2012
12-3-0761	Sergeant Bluff, Iowa, City of - FY 2011	Sep 06, 2012
12-3-0762	Miles, Montana, City of - FY 2011	Sep 06, 2012
12-3-0763	Michigan Department of Environmental Quality - FY 2010 and 2011	Sep 06, 2012
12-3-0764	Selby, South Dakota, City of - FY 2010	Sep 06, 2012
12-3-0765	Southeast Water Users District, North Dakota - FY 2011	Sep 06, 2012
12-3-0766	Shelby, Montana, City of - FY 2011	Sep 06, 2012
12-3-0767	Boston Redevelopment Authority, Massachusetts - FY 2011	Sep 06, 2012
12-3-0768	Sioux Falls, South Dakota, City of - FY 2011	Sep 06, 2012
12-3-0769	East Berlin Area Joint Authority, Pennsylvania - FY 2011	Sep 06, 2012
12-3-0770	Narragansett, Rhode Island, Town of - FY 2011	Sep 06, 2012
12-3-0771	Apalachicola, Florida, City of - FY 2011	Sep 07, 2012
12-3-0772	Louisville, Georgia, City of - FY 2011	Sep 07, 2012
12-3-0773	Arcadia, Florida, City of - FY 2011	Sep 07, 2012
12-3-0774	Graceville, Florida, City of - FY 2011	Sep 07, 2012
12-3-0775	Indian Township Tribal Government, Maine - FY 2011	Sep 07, 2012
12-3-0776	Neosho, Missouri, City of - FY 2011	Sep 10, 2012
12-3-0777	South Sioux City, Nebraska, City of - FY 2011	Sep 10, 2012
12-3-0778	Newburg, Missouri, City of - FY 2011	Sep 10, 2012
12-3-0779	Alexandria, Minnesota, City of - FY 2011	Sep 10, 2012
12-3-0780	Hampton Roads Clean Cities Corporation, Virginia - FY 2011	Sep 10, 2012
12-3-0781	Hollywood, Florida, City of - FY 2011	Sep 10, 2012
12-3-0782	Hennepin County, Minnesota - FY 2011	Sep 10, 2012
12-3-0783	Johnsonburg Municipal Authority, Pennsylvania - FY 2011	Sep 10, 2012
12-3-0784	Benson, Minnesota, City of - FY 2011	Sep 10, 2012
12-3-0785	Darlington, Indiana, Town of - FY 2011	Sep 11, 2012
12-3-0786	Tipton, Missouri, City of - FY 2011	Sep 11, 2012
12-3-0787	Stuart, Iowa, City of - FY 2011	Sep 11, 2012
12-3-0788	Lead, South Dakota, City of - FY 2011	Sep 11, 2012
12-3-0790	Pittsboro, North Carolina, Town of - FY 2011	Sep 12, 2012
12-3-0791	Sharpsville, Pennsylvania, Borough of - FY 2011	Sep 12, 2012
12-3-0792	Polson, Montana, City of - FY 2010	Sep 12, 2012
12-3-0793	Ray, North Dakota, City of - FY 2011	Sep 12, 2012
12-3-0794	First Tennessee Development District, Tennessee - FY 2011	Sep 12, 2012
12-3-0795	Natchez Water Works, Mississippi - FY 2011	Sep 12, 2012
12-3-0796	Lula, Georgia, City of - FY 2011	Sep 12, 2012
12-3-0797	Butte-Silver Bow, Montana, City and County of - FY 2011	Sep 13, 2012
12-3-0798	Baggs, Wyoming, Town of - FY 2011	Sep 13, 2012
12-3-0799	Sunbury, Pennsylvania, City of - FY 2010	Sep 13, 2012
12-3-0800	Prince's Lake, Indiana, Town of - FY 2011	Sep 13, 2012
12-3-0801	Flushing, Ohio, Village of - FY 2011	Sep 13, 2012
12-3-0802	Clinton Elementary School District #32, Montana	Sep 13, 2012
12-3-0803	Metropolitan Sewer Subdistrict, South Carolina - FY 2011	Sep 13, 2012
12-3-0804	North Hudson Sewerage Authority, New Jersey - FY 2011	Sep 13, 2012
12-3-0805	Lake County, Michigan - FY 2011	Sep 13, 2012

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12-3-0807	Red Bay, Alabama, City of - FY 2011	Sep 13, 2012
12-3-0808	Russellville, Kentucky, City of - FY 2011	Sep 13, 2012
12-3-0809	Watauga River Regional Authority of Carter County, Tennessee - FY 2011	Sep 14, 2012
12-3-0810	Tioga County Soil and Water Conservation District, New York - FY 2011	Sep 14, 2012
12-3-0811	SRC Inc., New York - FY 2011	Sep 14, 2012
12-3-0812	Augusta, Georgia, City of - FY 2011	Sep 14, 2012
12-3-0813	Upper-Lower River Road County Water and Sewer District, Montana - FY 2012	Sep 14, 2012
12-3-0814	Sheridan, Montana, Town of - FY 2011	Sep 17, 2012
12-3-0815	Superior, Montana, Town of - FY 2011	Sep 17, 2012
12-3-0816	Big Bear Lake, California, City of - FY 2011	Sep 17, 2012
12-3-0817	Ute Mountain Ute Tribe, Colorado - FY 2011	Sep 17, 2012
12-3-0818	Elko County, Nevada - FY 2011	Sep 17, 2012
12-3-0819	Santa Cruz County Resources Conservation District, California - FY 2011	Sep 17, 2012
12-3-0820	Hermosa Beach, California, City of - FY 2011	Sep 17, 2012
12-3-0821	Hughson, California, City of - FY 2011	Sep 17, 2012
12-3-0822	Lemoore, California, City of - FY 2011	Sep 17, 2012
12-3-0823	Titusville, Florida, City of - FY 2011	Sep 17, 2012
12-3-0824	Brodhead Creek Regional Authority, Pennsylvania - FY 2011	Sep 18, 2012
12-3-0825	Canistota, South Dakota, Municipality of - FY 2010-2011	Sep 18, 2012
12-3-0826	Newell, South Dakota, Municipality of - FY 2010	Sep 18, 2012
12-3-0827	Partners HealthCare System Inc. and Affiliates, Massachusetts	Sep 18, 2012
12-3-0828	Roslyn, South Dakota, Municipality of - FY 2011	Sep 18, 2012
12-3-0829	Ogden City Corporation, Utah - FY 2011	Sep 18, 2012
12-3-0830	Chicago, Illinois, City of - FY 2011	Sep 19, 2012
12-3-0831	Maui County, Hawaii - FY 2011	Sep 19, 2012
12-3-0832	Walton, Indiana, Town of - FY 2011	Sep 19, 2012
12-3-0833	Placer County, California - FY 2011	Sep 19, 2012
12-3-0834	Rio Dell, California, City of - FY 2011	Sep 19, 2012
12-3-0837	Allegan, Michigan, County of - FY 2011	Sep 20, 2012
12-3-0838	Carrabelle, Florida, City of - FY 2011	Sep 20, 2012
12-3-0839	BDW Water System Association, North Dakota - FY 2011	Sep 20, 2012
12-3-0840	Mosinee, Wisconsin, City of - FY 2011	Sep 20, 2012
12-3-0841	Saint Peter, Minnesota, City of - FY 2011	Sep 20, 2012
12-3-0842	Hibbing, Minnesota, City of - FY 2011	Sep 20, 2012
12-3-0844	Durham, New Hampshire, Town of - FY 2011	Sep 21, 2012
12-3-0845	Payson, Arizona, Town of - FY 2011	Sep 21, 2012
12-3-0846	Inland Empire Utilities Agency, California	Sep 21, 2012
12-3-0848	Ripley, Tennessee, City of - FY 2011	Sep 21, 2012
12-3-0849	Central New York Regional Planning and Development Board, New York - FY 2011	Sep 21, 2012
12-3-0850	Alliance for the Chesapeake Bay Inc., Maryland - FY 2011	Sep 21, 2012
12-3-0851	Woodburn, Oregon, City of - FY 2011	Sep 21, 2012
12-3-0852	Elgin, Oregon, City of - FY 2011	Sep 20, 2012
12-3-0853	Durand, Illinois, Village of - FY 2011	Sep 24, 2012
12-3-0854	California, University of, California - FY 2011	Sep 24, 2012
12-3-0855	L'anse, Michigan, City of - FY 2011	Sep 24, 2012

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Report No.	Report Title	Date Issued
12-3-0857	Churchill County, Nevada - FY 2011	Sep 24, 2012
12-3-0858	Eastern Municipal Water District, California - FY 2011	Sep 24, 2012
12-3-0859	Woodland, California, City of - FY 2011	Sep 24, 2012
12-3-0860	Commonwealth Utilities Corporation MP - FY 2011	Sep 24, 2012
12-3-0861	Miami, Arizona, Town of - FY 2010	Sep 24, 2012
12-3-0862	Aurora, South Dakota, City of - FY 2010	Sep 24, 2012
12-3-0863	Hawaii Department of Health - FY 2011	Sep 24, 2012
12-3-0865	Valdosta, Georgia, City of - FY 2011	Sep 25, 2012
12-3-0866	Tampa, Florida, City of - FY 2011	Sep 25, 2012
12-3-0867	Pell City, Alabama, City of - FY 2011	Sep 25, 2012
12-3-0868	Forsyth County, Georgia - FY 2011	Sep 25, 2012
12-3-0869	Cameron, Missouri, City of - FY 2011	Sep 25, 2012
12-3-0870	Coachella Valley Water District, California - FY 2010	Sep 25, 2012
12-3-0871	North Bay Village, Florida, City of - FY 2011	Sep 25, 2012
12-3-0872	Fargo, North Dakota, City of - FY 2011	Sep 25, 2012
12-3-0873	Richmond Hill, Georgia, City of - FY 2011	Sep 25, 2012
12-3-0874	Duquesne, Missouri, City of - FY 2011	Sep 25, 2012
12-3-0875	Dixie County, Florida - FY 2011	Sep 25, 2012
12-3-0876	Boone County Regional Sewer District, Missouri - FY 2011	Sep 25, 2012
12-3-0877	Springfield, Missouri, City of - FY 2011	Sep 25, 2012
12-3-0878	Bonifay, Florida, City of - FY 2011	Sep 25, 2012
12-3-0880	Upton, Wyoming, Town of - FY 2011	Sep 26, 2012
12-3-0881	Duluth, Minnesota, City of - FY 2011	Sep 26, 2012
12-3-0882	Madison County Industrial Development and Building Authority, Georgia - FY 2011	Sep 26, 2012
12-3-0883	Pinedale, Wyoming, Town of - FY 2011	Sep 26, 2012
12-3-0884	Milbank, South Dakota, City of - FY 2011	Sep 26, 2012
12-3-0885	Minneapolis, Minnesota, City of - FY 2011	Sep 26, 2012
12-3-0886	Minong, Wisconsin, Village of - FY 2011	Sep 26, 2012
12-3-0887	Illinois, State of - FY 2011	Sep 26, 2012
12-3-0888	Stevens Point, Wisconsin, City of - FY 2011	Sep 26, 2012
12-3-0889	Waldo, Wisconsin, Village of - FY 2011	Sep 26, 2012
12-3-0890	Iva, South Carolina, Town of - FY 2010	Sep 26, 2012
12-3-0891	Park County, Wyoming - FY 2011	Sep 26, 2012
12-3-0892	Dassel, Minnesota, City of - FY 2009	Sep 26, 2012
12-3-0893	Jonesboro, Louisiana, Town of - FY 2011	Sep 26, 2012
12-3-0894	Nebraska, State of - FY 2011	Sep 26, 2012
12-3-0895	Council Bluff, Iowa, City of - FY 2011	Sep 26, 2012
12-3-0896	Ohio, State of - FY 2011	Sep 26, 2012
12-3-0897	Fort Madison, Iowa - FY 2011	Sep 26, 2012
12-3-0901	Iowa, State of - FY 2011	Sep 27, 2012
12-3-0902	Faribault, Minnesota, City of - FY 2011	Sep 27, 2012
12-3-0903	Truckee Meadows Water Authority, Nevada - FY 2011	Sep 28, 2012
12-3-0904	Angels, California, City of - FY 2011	Sep 28, 2012
12-3-0905	West Florida Regional Planning Council, Florida - FY 2011	Sep 28, 2012

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Report No.	Report Title	Date Issued
Grants and Contracts Reports		
12-4-0490	Application of Agreed-Upon Procedures to Costs Proposed by Industrial Economics, Inc., EPA Solicitation No. SOL-HQ-12-00003	May 21, 2012
12-4-0499	Costs Claimed by the North Carolina Rural Economic Center, Inc., Under EPA Grant No. X96418405	May 23, 2012
12-4-0515	Agreed-Upon Procedures Applied to the Option Period 2 Cost Proposal Submitted Under EPA Contract EP-S9-11-01 by SFS Chemical Safety, Inc., Emeryville, California	June 04, 2012
12-4-0548	Agreed-Upon Procedures Applied to the Option Period 1 Cost Proposal Submitted Under EPA Contract EP-S9-11-01 by SFS Chemical Safety, Inc., Emeryville, California	June 19, 2012

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CASE NUMBER	DATE OPEN	DATE CLOSED	<u>ALLEGATION AND RESOLUTION</u>
OI-NE- 2011-CAC- 2766	3/21/2011	9/30/2012	<p><u>Allegation:</u> An EPA employee may have impersonated a federal law enforcement officer for personal gain. (5 CFR 2635, 18 USC 912)</p> <p><u>Resolution:</u> The investigation disclosed the employee was an environmental enforcement officer and did not claim to be a law enforcement officer. This case was closed.</p>
OC-NE- 2011-CAC- 2755	2/11/2011	9/30/2012	<p><u>Allegation:</u> A former employee of a grantee alleged that two EPA managers demanded kickbacks in order to extend the grantee's employment agreement. During the investigation, it was discovered that the grantee's employee had an extensive criminal background that included being charged in connection with a scheme to defraud the EPA, as well as unpaid court-ordered restitution to EPA. (EPA Policy 3120.1(16), 18 USC SEC 1001)</p> <p><u>Resolution:</u> The allegation concerning kickbacks was not pursued since the employee's credibility was questioned. The court-ordered restitution expired in 2007 and could not be pursued, so this case was closed.</p>
OI-NE- 2011-ADM- 2773	3/29/2011	9/30/2012	<p><u>Allegation:</u> An EPA employee took inappropriate foreign travel that was funded by the government. Specifically, it was alleged that the employee had traveled to Europe to visit (b) (6), (b) (7)(C). (5 CFR PART 2635)</p> <p><u>Resolution:</u> This investigation determined that the employee may have misused her position as a federal employee to solicit invitations, as well as travel expenses, for speaking engagements at two foreign universities. This travel would have enabled the employee to visit (b) (6), (b) (7)(C). Due to the fact that the entire trip was subsequently cancelled, this matter was closed.</p>
OI-CR-	9/3/2010	9/30/2012	<p><u>Allegation:</u> It was alleged that a company was given</p>

2010-CFR-0583			<p>federal funding to construct a water distribution system. The company owner also owned property that would be served by the water distribution system. The owner stood to benefit because of the property's proximity to the upgraded water system placed in the area. It was also alleged that an employee of another company assisted in writing a request for proposal, which was ultimately awarded to his employer. (18 USC SEC 666)</p> <p><u>Resolution:</u> The investigation determined that the owner did not influence the award to gain personal benefits through his company. The award was widely competed and the owner's lots were part of a much larger project. It was also determined that the employee of the other company was not involved with writing the request for proposal. The investigation was closed.</p>
2009-CS-0122	8/4/2009	9/28/2012	<p><u>Allegation:</u> Between 2001 and 2005, an EPA On-Scene Coordinator and an EPA contractor engaged in contract fraud (timesheet and travel), computer crimes, theft of government property, and conflict of interest. (18 USC SEC 641)</p> <p><u>Resolution:</u> This investigation determined that there were no anomalies or patterns found that would indicate possible contract fraud. The contractor was not issued any EPA computers, and the contractor did not have access to the EPA network. Based on documentation, the contractor inaccurately reported government property on their books when the property was not in their possession. However, there was no indication of theft of government property. The conflict-of-interest issue was referred to another office for review.</p>
OI-AT-2011-CFR-0021	10/26/2010	9/27/2012	<p><u>Allegation:</u> A grantee inflated subcontractor invoices relative to an American Recovery and Reinvestment Act grant. The grant contained pre-established billing rates for personnel, equipment, laboratory analysis, etc. For items with no pre-established rate, the grantee was required to bill the actual cost, including work performed by subcontractors. (18 USC 1001, 18 USC 287)</p> <p><u>Resolution:</u> The investigation disclosed the grantee submitted invoices for subcontractor costs that had</p>

			<p>been "whited out," and that costs were fraudulently inflated and should have billed at actual cost. Additionally, the grantee submitted claims for reimbursement of work performed by an employee of another company. The labor category was developed post-contract award; therefore, there was no labor rate established by the contract. As such, the charges should have been at the subcontractor's actual cost. This case was declined for prosecution due to (b) (5)</p>
OI-DA-2010-CFR-0228	3/8/2010	9/24/2012	<p><u>Allegation:</u> A company violated the Buy American Act provisions of ARRA by misrepresenting their water meters as being made in America, when in fact they were not. (18 USC 1001, 31 USC 3729)</p> <p><u>Resolution:</u> It was determined that the water meters met the substantial transformation requirements of ARRA and no violation occurred. The case was closed.</p>
OI-NE-2011-CFR-2790	5/2/2011	9/21/2012	<p><u>Allegation:</u> There were allegations of potential laboratory fraud associated with fuel oil purchased by an electric and power authority, and members of the electric and power authority may be receiving kickbacks. (18 USC 287)</p> <p><u>Resolution:</u> After clarifying the allegation, it was determined this case fell within the jurisdiction of the EPA Criminal Investigation Division, which declined to pursue these issues.</p>
OI-SE-2011-CFR-0007	10/13/2010	9/14/2012	<p><u>Allegation:</u> An individual alleged that a contracting company attempted to extort money in connection with EPA-funded remediation for lead and arsenic contamination on his property. The contractor claimed that the estimate to asphalt the individual's driveway was \$9,500 over the initial bid and what could be reimbursed under the federal funding. After explaining he could not afford \$9,500, the contractor offered to do the job for \$2,500, but said he would need to be paid directly in cash to avoid a paper trail. (18 USC 1951)</p> <p><u>Resolution:</u> It was determined that there was no ongoing scheme to extort property owners in the area. Owners had the option to accept or reject the initial estimate, including any amounts over what would be paid under the federal funding. It was further</p>

			determined that although the contracting company collected additional funds from the property owners, they took a loss on the costs of asphaltting the driveways. This case was declined for criminal prosecution.
OI-SA-2012-CFR-0063	2/27/2012	9/13/2012	<p><u>Allegation:</u> It was alleged that due to fiscal hardships, EPA grant monies awarded to a tribe may have been susceptible to misuse. (18 USC 1001)</p> <p><u>Resolution:</u> Interviews did not disclose any misappropriation of grant funds. A referral was made to the Office of Audit.</p>
OI-SA-2010-CFR-0193	3/3/2010	9/13/2012	<p><u>Allegation:</u> An ARRA contractor's records identified employees with suspect Social Security numbers. It was also alleged that the employees may not be receiving appropriate compensation due to them under the terms of the contract. (18 USC 1001)</p> <p><u>Resolution:</u> The Social Security Administration OIG reported that they were not interested in investigating the possible fraudulent use of SSNs. Immigration and Customs Enforcement reported that the information would likely not result in an investigation due to (b) (5) [REDACTED] The EPA OIG, Office of Audit, will complete a review of the compensation.</p>
OCI-AR-2012-CAC-0100	4/27/2012	9/12/2012	<p><u>Allegation:</u> A threatening email was received through EPA's mobile website.</p> <p><u>Resolution:</u> The sender of the email admitted to sending an email to the EPA website; however, he did not intend the email to be a threat against the government. No further action was taken.</p>
OI-SA-2012-ADM-0051	2/13/2012	9/10/2012	<p><u>Allegation:</u> An EPA employee may have falsified leave documents in order to operate an outside business when the employee was allegedly incapacitated and not working at EPA.</p> <p><u>Resolution:</u> No information was found indicating employment outside of EPA. No further investigative activity was necessary.</p>
OI-PH-2012-ADM-	6/11/2012	9/10/2012	<u>Allegation:</u> During a concert, an EPA employee, who appeared to be inebriated, attempted to use his EPA-

0128			<p>issued Facility Access Card to enter areas of the concert venue, which were restricted from the general public. (18 USC 701)</p> <p><u>Resolution:</u> The allegation was substantiated and a report was sent to EPA management.</p>
OI-NE-2012-CAC-0138	7/2/2012	9/10/2012	<p><u>Allegation:</u> An inmate serving 15 years for rape sent a threatening letter to the Office of Civil Rights. The inmate described a scenario in which he stabs a person in the throat. He also referred to death or dying 18 times in his letter. (18 USC 876)</p> <p><u>Resolution:</u> Prison officials took a "Negative Correspondence" action against the inmate, which prohibits the inmate from sending or receiving letters in the mail and also takes away all telephone call privileges. The restriction will be in place until the inmate is released in 2020 or until someone at the facility makes a formal recommendation to restore his communication privileges.</p>
2009-CS-0086	4/27/2009	9/10/2012	<p><u>Allegation:</u> A company was providing fraudulent lead sample reports to the New York City Department of Health and Mental Hygiene under an EPA-funded program. (18 USC 287, 18 USC 1001)</p> <p><u>Resolution:</u> This investigation proved that the company owner falsified lead and asbestos inspection and testing reports for residences and other locations throughout the New York City area. Due to the fact that a direct OIG nexus was not established, the matter was pursued by EPA's Criminal Investigation Division, the New York City Department of Investigation, and the U.S. Department of Labor OIG. EPA's OIG ceded all involvement in the case and did not claim any of the statistics.</p>
OI-CH-2010-CFR-0574	8/30/2010	9/7/2012	<p><u>Allegation:</u> A loan between a city and a nonprofit group caused suspicion due to the loan being created by a city official, who was listed as the lender and borrower, and also listed as the president of the nonprofit. The money in question was part of an EPA grant and drew suspicion of a conflict of interest. (18 USC 286)</p> <p><u>Resolution:</u> Based upon all of the information and evidence, there was insufficient support to prove a conflict of interest or other fraudulent activity was</p>

			committed.
OCI-RTP-2011-CAC-2802	5/31/2011	9/6/2012	The findings that pertain to this investigation are considered sensitive or classified in nature and are stored under a separate hardcopy file for integrity protection and control, and in accordance with all appropriate National Security Information guidelines.
2009-CS-0080	6/30/2009	9/6/2012	The findings that pertain to this investigation are considered sensitive or classified in nature and are stored under a separate hardcopy file for integrity protection and control, and in accordance with all appropriate NSI guidelines.
OI-CH-2012-CAC-0008	10/18/2011	9/5/2012	<u>Allegation:</u> An EPA employee received a threatening email from an individual who had unsuccessfully applied for EPA grants. <u>Resolution:</u> Based upon the information obtained during the investigation, the allegation was unfounded and the case was closed.
OI-PH-2012-CAC-0091	4/18/2012	9/5/2012	<u>Allegation:</u> Without authorization, a company used the EPA seal on its website. (18 USC 1017) <u>Resolution:</u> This investigation showed that the company was using the EPA seal without authorization. A cease-and-desist letter was issued, and the company removed the seal from its website.
OI-PH-2012-CAC-0090	4/18/2012	9/5/2012	<u>Allegation:</u> Without authorization, a company used the EPA seal on its website. (18 USC 1017) <u>Resolution:</u> This investigation showed that the company was using the EPA seal without authorization. A cease-and-desist letter was issued, and the company removed the seal from its website.
OI-CH-2012-ADM-0078	3/23/2012	9/4/2012	<u>Allegation:</u> An EPA employee misused a government credit card. (18 USC 641) <u>Resolution:</u> The employee agreed to repay \$4,013 within 18 months and resigned.
OI-BO-2012-CAC-0106	5/7/2012	8/31/2012	<u>Allegation:</u> An individual sent threatening emails to an EPA employee regarding a former EPA regional administrator. <u>Resolution:</u> The individual admitted that he had authored the emails. The investigation did not disclose any evidence that the individual posed a threat to the former EPA regional administrator. A Bar Notice was issued. Criminal prosecution was declined.

OI-SA-2012-CAC-0120	5/30/2012	8/31/2012	<p><u>Allegation:</u> An EPA employee allegedly stole hard-sided cases and foam sheets from an EPA Lab. In addition, the EPA employee may have misused EPA vehicle credit cards. (18 USC 641)</p> <p><u>Resolution:</u> It was determined that there was insufficient evidence to prove that the employee stole EPA property or misused EPA vehicle credit cards.</p>
OCI-HQ-2011-CAC-0721	11/15/2010	8/16/2012	<p><u>Allegation:</u> The OIG was notified about suspicious port activity, and the creation of hidden shares was detected on the EPA computer network. (18 USC 1029, 18 USC 1030)</p> <p><u>Resolution:</u> The compromise of an EPA domain administrator account was substantiated; however, the identity of the individual(s) involved remains unknown.</p>
OCI-RTP-2011-CAC-1308	12/14/2010	8/16/2012	<p><u>Allegation:</u> The OIG was notified of suspicious traffic from a suspected hostile Internet Protocol Site. (18 USC 1029, 18 USC 1030)</p> <p><u>Resolution:</u> The investigation disclosed that unidentified individual(s) gained access to EPA's data networks and systems in an effort to steal or maintain access to EPA data and computer resources. Detailed information pertaining to this activity, suspected individuals involved, and subsequent investigative actions are stored under separate cover and are sensitive or classified in nature.</p>
OCI-AR-2011-CAC-2800	5/23/2011	8/14/2012	<p><u>Allegation:</u> The OIG was notified that an unknown subject had gained access to EPA's network. (18 USC 1029, 18 USC 1030)</p> <p><u>Resolution:</u> The investigation did not identify a subject. No loss or damage to EPA or the federal government was discovered during the course of the investigation. The OIG was subsequently notified that the FBI indentified the subject, who was arrested by United Kingdom authorities.</p>
OI-SA-2010-CFR-0243	3/22/2010	8/13/2012	<p><u>Allegation:</u> The director of a grant recipient may be receiving dual compensation as both the director and a consultant on a project funded by ARRA. (18 USC 666)</p> <p><u>Resolution:</u> No impact or loss to the agency was identified stemming from the allegations. Criminal</p>

			prosecution was declined.
OI-RTP-2012-ADM-0133	6/26/2012	8/13/2012	<p><u>Allegation:</u> An EPA employee committed numerous administrative violations against her supervisor and the EPA. In addition, the employee may be receiving funds from nonprofit organizations and foreign governments. (18 USC 208)</p> <p><u>Resolution:</u> Criminal prosecution was declined, and EPA terminated the employee.</p>
OI-DA-2011-CAC-1275	12/9/2010	8/8/2012	<p><u>Allegation:</u> A laboratory was providing questionable laboratory results to EPA regarding chemical dispersants used to clean oil spills. (18 USC 1001)</p> <p><u>Resolution:</u> The allegations were unsubstantiated, and the case was closed.</p>
OI-AT-2011-CAC-2801	5/31/2011	8/6/2012	<p><u>Allegation:</u> A state employee claimed to be an EPA special agent. (18 USC 912)</p> <p><u>Resolution:</u> The case was accepted for state prosecution; however, the employee resigned and moved to another state. No further action was taken.</p>
OI-AR-2012-CAC-0113	5/16/2012	8/6/2012	<p><u>Allegation:</u> An EPA contractor was attempting to rig a current EPA contract bidding process. (Anti-Kickback Act)</p> <p><u>Resolution:</u> It was determined that this allegation was unfounded.</p>
OI-CH-2010-CAC-0569	8/22/2010	8/3/2012	<p><u>Allegation:</u> An EPA contractor did not comply with contract specifications, which resulted in false reporting. (18USC 666, 18 USC 641, 18 USC 287, 18 USC 1343, 18 USC 1341, 18 USC 1001)</p> <p><u>Resolution:</u> The allegations were disproved and the case was closed.</p>
OI-BO-2012-CFR-0033	1/6/2012	7/27/2012	<p><u>Allegation:</u> A recipient of ARRA funds may not be in compliance with the Buy American Act provisions. (18 USC 1001)</p> <p><u>Resolution:</u> The recipient agreed to donate the non-compliant components. In addition, EPA issued a Non-Compliance Memorandum that included a \$158,774 credit to the contract, which represented the value of the non-compliant components.</p>
OI-CH-2010-CFR-0254	4/1/2010	7/26/2012	<p><u>Allegation:</u> An EPA contractor was overcharging the government for remediation services at Leaking Underground Storage Tank sites located on an Indian</p>

			<p>reservation. The EPA program manager alleged removal from the position of program manager because of an unwillingness to approve the alleged improper billing. The program manager further alleged that EPA headquarters officials were directing regional employees to intentionally circumvent requirements under the Davis-Bacon Act, as it applies to LUST sites receiving ARRA funding. (18USC 1001, 18 USC 287, 18 USC 1341, 18 USC 1343)</p> <p><u>Resolution:</u> The investigation concluded that the contractor was not involved in any fraudulent activity relating to the impacted EPA contract. Additionally, the investigation determined that the program manager was not subject to any reduction in grade or pay, and was reassigned to comparable duties in a different area of responsibility. No improper influence was associated with the employee's immediate superiors relative to the reassignment. The investigation found no evidence supporting the allegation that EPA officials wrongfully directed regional employees to circumvent the application of the DBA, where such application was appropriate.</p>
OI-KA-2012-CAC-0043	1/26/2012	7/24/2012	<p><u>Allegation:</u> While serving a Clean Water Act Administrative Order, two EPA inspectors were threatened with bodily harm and government property was damaged. (18 USC 111)</p> <p><u>Resolution:</u> The investigation was declined for criminal prosecution due to resource considerations.</p>
OI-RTP-2012-CAC-0081	4/3/2012	7/23/2012	<p><u>Allegation:</u> An EPA employee received threatening email messages from an unknown person. (18 USC 875)</p> <p><u>Resolution:</u> The email message originated in Nigeria, and additional information could not be obtained. The employee has not received any additional threats. This investigation was closed.</p>
OC-NE-2010-ADM-0573	8/26/2010	7/16/2012	<p><u>Allegation:</u> An EPA employee may have participated in the engagement of vendor services with an entity that employed his wife. In addition, the employee may have failed to disclose all relevant information on the annual Office of Government Ethics Financial Disclosure (OGE-450) forms. (18 USC 208, 18 USC 371, 5 CFR 2635)</p>

			<u>Resolution:</u> This investigation was declined for criminal prosecution and referred to EPA management for resolution. The employee received a written warning for not reporting information correctly on the OGE-450 form.
OI-SE-2012-CAC-0042	1/26/2012	7/16/2012	<p><u>Allegation:</u> Two EPA employees received numerous alarming telephone messages from an individual regarding EPA's actions or inactions in relation to the individual's property.</p> <p><u>Resolution:</u> The individual was barred from all EPA offices in the area based upon the threats. Three days later, the individual was shot and killed by an officer from another law enforcement agency.</p>
OI-DE-2012-CAC-0103	5/4/2012	7/16/2012	<p><u>Allegation:</u> An inflammatory and threatening submission against a regional administrator was received on an EPA hotline. (18 USC 875)</p> <p><u>Resolution:</u> When interviewed, the subject denied making any threats and stated he would never physically harm anyone. A Bar Notice was issued, banning the subject from EPA buildings in the area.</p>
OCI-AR-2012-CAC-0074	3/16/2012	7/6/2012	<p><u>Allegation:</u> EPA's OIG was notified about a threatening electronic message received by EPA.</p> <p><u>Resolution:</u> The investigation determined that the sender of the electronic message was a state employee. The state employee felt he was expressing his personal views and was not making an outward threat. The employee resigned his position with the state, and the investigation was closed.</p>
OI-DE-2012-CAC-0024	12/15/2011	7/3/2012	<p><u>Allegation:</u> The owner of a trucking company was using uncertified truck drivers to haul asbestos contaminated material. At least one driver did not possess a Commercial Drivers License, and had not completed the Hazardous Material or the Hazardous Waste Operations and Emergency Response training. (18 USC 1001)</p> <p><u>Resolution:</u> The investigation disclosed the company allowed several truck drivers to transport asbestos-contaminated material. This case was declined for criminal prosecution at both the federal and state levels. EPA's Suspension and Debarment Division also declined action.</p>
OCI-AR-	4/4/2011	6/29/2012	The findings that pertain to this investigation are

2011-CAC-2774			considered sensitive or classified in nature and are stored under a separate hardcopy file for integrity protection and control, and in accordance with all appropriate NSI guidelines.
OI-WI-2012-CAC-0122	6/1/2012	6/29/2012	<p><u>Allegation:</u> Due to the large volume of participants and the potential for disruption, EPA's OIG was asked to protect EPA employees and contractors during a series of public hearings that were predicted to be very controversial.</p> <p><u>Resolution:</u> The combined attendance at the hearings was estimated at 3,500 people. Although the hearings were very spirited, law enforcement intervention was unnecessary. No arrests were made at any of the three hearings held during the week.</p>
OI-NE-2011-CFR-2807	6/3/2011	6/25/2012	<p><u>Allegation:</u> During an audit of a recipient receiving ARRA funds, potential violations of the Davis-Bacon Act were noted. The grantee issued certified payroll records to DOL, which did not match the hours reported on individual employee's pay stubs. (18 USC 1001, 18 USC 287)</p> <p><u>Resolution:</u> Due to a lack of substantial evidence generated by the EPA OIG, Office of Investigations, the audit findings of the inaccurate reporting of employee hours worked appear to be minimal. (b) (5)</p> <p>[REDACTED] s a result, this investigation was closed.</p>
OCI-RTP-2011-CAC-2803	5/31/2011	6/21/2012	The findings that pertain to this investigation are considered sensitive or classified in nature and are stored under a separate hardcopy file for integrity protection and control, and in accordance with all appropriate NSI guidelines.
OI-SA-2010-CFR-0235	3/12/2010	6/20/2012	<p><u>Allegation:</u> A tribe awarded an ARRA-funded contract to a company owned by (b) (6), (b) (7)(C) [REDACTED] since their bid was lower than the other bids. After awarding the contract, the tribe requested additional funding for project oversight. (18 USC 1001, 18 USC 666)</p> <p><u>Resolution:</u> No evidence was obtained to support the allegations and the case was closed.</p>
OCI-HQ-2010-CAC-	9/22/2010	6/19/2012	<u>Allegation:</u> It was reported that the EPA computer system may have experienced an alleged computer

0590			<p>intrusion incident involving the unauthorized access and subsequent unauthorized password reset of an account. (18 USC 1030, 18 USC 1029)</p> <p><u>Resolution:</u> The investigation disclosed that all allegations were proved; however, criminal prosecution was declined due to (b) (5).</p>
OI-NE-2011-CFR-2789	5/2/2011	6/17/2012	<p><u>Allegation:</u> An EPA On-Scene Coordinator received numerous allegations of fraudulent samples being collected, issues with "bids and hotels," and the possibility of another OSC being involved in fraudulent activity at an EPA-funded clean-up project. (18 USC 287)</p> <p><u>Resolution:</u> Based on information provided by the FBI, no evidence was found and the case was closed.</p>
OI-CH-2010-CFR-0236	3/15/2010	6/8/2012	<p><u>Allegation:</u> It was alleged that foreign-manufactured products would be utilized on the water tank retention units of an ARRA-funded project, in violation of the Buy American Act Provision under section 1605, Substantially Transformed Products.</p> <p><u>Resolution:</u> After a review by EPA, it was determined that substantial transformation did not take place. The contract with the vendor was terminated.</p>
OI-DE-2011-CAC-2835	7/28/2011	6/7/2012	<p><u>Allegation:</u> A program coordinator for an Indian reservation may have submitted fraudulent timesheets reflecting educational leave. He was not attending school or conducting any type of work related to the reservation during some or all of those hours. His salary was paid by an EPA grant. (18 USC 1001 and 18 USC 666)</p> <p><u>Resolution:</u> The investigation disclosed the subject did request educational leave on at least eight occasions, during which he departed from work but did not attend classes. The subject was subsequently paid using EPA funds. The subject admitted to only conducting reservation-related work about 60 percent of the time during any given workday. This case was declined for criminal prosecution; however, the subject was debarred for 8 years.</p>
OI-CH-2011-CAC-2813	6/15/2011	6/6/2012	<p><u>Allegation:</u> An individual operated a front company to obtain 8(a) contracts funded by EPA. The company allegedly had been previously debarred for the same activity. (18USC 1001, 18 USC 1031, and 18 USC</p>

			<p>1343)</p> <p><u>Resolution:</u> The investigation determined that the company did not receive any funding from EPA and that they have never been debarred from receiving any federal grants. This allegation was disproven and the case was closed.</p>
OI-NE-2010-CFR-0274	4/29/2010	6/6/2012	<p><u>Allegation:</u> A grantee may have misused ARRA grant funds. Specifically, the grantee purchased an expensive piece of equipment for solid-waste recycling, but the equipment remained unused in the original box. In addition, there may be kickbacks in the award of the EPA grant. (18 USC 287 and 18 USC 1001)</p> <p><u>Resolution:</u> The complainant could not provide any specific information that the grantee conducted itself in an illegal manner. An examination of the EPA grants found no wrongdoing. As a result, the case was closed but could be reopened if additional information is received.</p>
OI-SA-2011-CAC-2817	6/21/2011	6/4/2012	<p><u>Allegation:</u> An EPA employee allegedly misused administrative access rights to view email messages in the account of an EPA manager.</p> <p><u>Resolution:</u> The employee admitted to the misuse of administrative rights in Lotus Notes to access the email account of an EPA manager. Criminal prosecution of the employee was declined. EPA management suspended the employee for 7 days.</p>
OI-AT-2012-CAC-0068	3/9/2012	6/1/2012	<p><u>Allegation:</u> EPA inspectors conducted an inspection and noted several environmental violations. EPA inspectors reported that they were met by a company representative, who became hostile and made threats against the employee. (18 USC 111)</p> <p><u>Resolution:</u> Accounts about the exact wording differ, but a threat of physical assault of an EPA employee was made by a company employee. The case was briefed for criminal prosecution but was declined.</p>
OI-AT-2012-ADM-0028	12/22/2011	6/1/2012	<p><u>Allegation:</u> In an advertisement, a company stated that their product was approved by EPA. In addition, a company wrongfully displayed the EPA seal on its website. (18 USC 1017 and 18 USC 1343)</p> <p><u>Resolution:</u> The investigation determined that claims</p>

			asserting EPA's approval of the product were false. Prosecution was declined. EPA sent a cease-and-desist letter to the company to stop using the endorsement statement attributed to EPA. The other company also received a cease-and-desist letter relative to the misuse of EPA's seal.
OI-CH-2011-CFR-0005	10/8/2010	5/30/2012	<p><u>Allegation:</u> An EPA employee may have violated the Financial Integrity Act by awarding a contract to a friend. It was also alleged that the contractor was taking a portion of the contract funding and that a subcontractor was actually performing the work. (18 USC 208, 18 USC 287, and 18 USC 371)</p> <p><u>Resolution:</u> The allegation about the contract award to a friend was disproved. The other allegation was not substantiated.</p>
OI-NE-2010-CFR-0253	3/31/2010	5/24/2012	<p><u>Allegation:</u> It was alleged that a nonprofit entity may be funneling money to a for-profit company. The money in question was part of a grant awarded by a state receiving EPA funding. In addition, there is a potential conflict of interest as the mayor of the town was also on the board of directors for the nonprofit. (18 USC 287 and 18 USC 1001)</p> <p><u>Resolution:</u> Since the state attorney general indicated its office would close this investigation because (b) (5) this investigation was closed.</p>
OI-SA-2011-ADM-2818	6/21/2011	5/23/2012	<p><u>Allegation:</u> An EPA employee is falsifying leave charges on her own time-and-attendance records. The employee's duties include acting as the timekeeper for the office. (EPA Policy 3120.1(27), EPA Policy 3120.1(31))</p> <p><u>Resolution:</u> The investigation determined that the employee fraudulently modified time-and-attendance records, and submitted fraudulent requests for transit subsidy reimbursements. The employee provided a signed statement admitting culpability. This case was declined for criminal prosecution because (b) (5) he employee was allowed to retire in lieu of being terminated.</p>
OI-SA-	2/17/2012	5/23/2012	<u>Allegation:</u> It was alleged that members of a tribal

2012-CFR-0056			<p>council inappropriately used EPA grant funds provided under ARRA. Specifically, it was alleged that council members diverted funding to family members. (18 USC 641)</p> <p><u>Resolution:</u> The assistant U.S. attorney assigned to the case advised that his office would not pursue prosecution (b) (5)</p>
OI-SA-2011-ADM-2840	8/9/2011	5/22/2012	<p><u>Allegation:</u> Three advocacy groups claimed that EPA, along with a state public health department, conspired with a company to conceal asbestos-related dangers associated with the generation of dust at a construction site. (EPA Policy 3120.1(16))</p> <p><u>Resolution:</u> The investigation disproved the allegation that EPA conspired to conceal asbestos exposure dangers. There was no evidence that there was any effort to hide, misrepresent, or cover up asbestos-monitoring results.</p>
OI-WI-2011-CFR-2763	3/7/2011	5/22/2012	<p><u>Allegation:</u> An EPA Remedial Project Manager had a possible conflict of interest by going on hunting trips with a local business owner and contractor involved with work on a site that the RPM oversaw. (EPA Policy 3120.1(12))</p> <p><u>Resolution:</u> The EPA employee received verbal counseling regarding the perception of a conflict of interest when interacting with individuals who may have a perceived or actual connection to contractors conducting work for EPA on the site.</p>
OI-NE-2010-CFR-0249	3/29/2010	5/22/2012	<p><u>Allegation:</u> A company contacted an On-Scene Coordinator in an attempt to solicit hazardous waste removal work from EPA. Another OSC had provided the company with the OSC's contact information. It was alleged that the second OSC was specifically trying to use the vendor as the subcontractor for a hazardous waste removal project.</p> <p><u>Resolution:</u> The investigation was closed based on the lack of specific information regarding the allegations made against the OSC.</p>
OC-HQ-2010-CAC-0238	3/16/2010	5/17/2012	<p><u>Allegation:</u> A U.S. Chemical Safety and Hazard Investigation Board employee was alleged to have received a government salary but was not actually working. (18 USC 287)</p>

			<p><u>Resolution:</u> This investigation determined there are no requirements for USCSHB members to document their work hours. The only requirement is that they attend board meetings. The allegation was unsubstantiated and deemed unviable for criminal prosecution.</p>
OI-KA-2011-CFR-2857	9/19/2011	5/15/2012	<p><u>Allegation:</u> An ARRA contractor was not properly compensating subcontractors, was not following eligibility guidelines applicable to federal small business contracts, and was not in compliance with contractual requirements specified by EPA. (18 USC 1001, 18 USC 1341, 18 USC 1343, 18 USC 287)</p> <p><u>Resolution:</u> EPA does not have privity with subcontractors and a binding, legal relationship does not exist between the government and subcontractors. EPA was not subject to any monetary loss due to subcontractor compensation claims, and EPA had no reasonable justification on which to issue administrative action. The Small Business Administration confirmed that the company was eligible for small business contracts. Additionally, the investigation determined that the company was in violation of the Davis-Bacon Act relative to its EPA contracts. Based on a DOL inquiry, the company made full restitution. The investigation disproved that the company was not excavating to the required depths specified by EPA in order to save costs. Because of the investigative findings in this matter, there were no criminal, civil, or administrative actions to pursue.</p>
OI-PH-2010-CAC-0285	5/21/2010	5/9/2012	<p><u>Allegation:</u> An electronic message posted on an EPA website stated, “at 12 tomorrow there will be 3 gunmen. Don’t try to call the police. Or else.” (18 USC 1114)</p> <p><u>Resolution:</u> The investigation proved that a juvenile posted the threat during a school science research project. This case was declined for prosecution due to (b) (5)</p>
2009-CS-0019	12/9/2008	4/19/2012	<p><u>Allegation:</u> An EPA employee was running a business using his government computer and sharing copyrighted intellectual property in the form of movie files. The employee may have deleted some software from his computer prior to giving the computer to EPA managers. (18 USC 2232, 5 CFR 2635)</p>

			<p><u>Resolution:</u> The employee admitted to using his EPA-issued computer for personal business. He also admitted to using DVD cracking programs to copy DVD content that had been copyright protected. He also admitted that he deleted files after he had been directed to surrender his computer. This matter was declined for criminal prosecution. The EPA employee was suspended for 2 days as the result of the OIG's investigation.</p>
OI-SE-2011-CAC-2859	9/22/2011	4/12/2012	<p><u>Allegation:</u> An EPA employee received threatening telephone messages at work.</p> <p><u>Resolution:</u> The subject was indentified and subsequently barred from the EPA office.</p>
OI-AT-2011-ADM-2836	7/29/2011	4/11/2012	<p><u>Allegation:</u> An EPA employee may have misused a government vehicle. (41 CFR 301)</p> <p><u>Resolution:</u> The allegation was substantiated and a report was provided to the employee's manager, who verbally counseled the employee on the proper use of a government vehicle.</p>
OI-CH-2012-ADM-0011	10/27/2011	4/10/2012	<p><u>Allegation:</u> An EPA contract employee has an outstanding arrest warrant for aggravated driving under the influence, and has a license suspended or revoked. The local police requested assistance as the contract employee was physically in the EPA regional office space.</p> <p><u>Resolution:</u> The arrest was made without incident, and the contract employee was terminated by his employer.</p>
OC-SE-2010-ADM-0594	9/24/2010	4/10/2012	<p><u>Allegation:</u> The OIG provided assistance to the FBI relative to an EPA grantee who was under investigation for activities deemed suspicious by the FBI.</p> <p><u>Resolution:</u> The FBI closed their investigation and OIG assistance was not required.</p>
OI-SE-2011-CAC-2837	8/1/2011	4/4/2012	<p><u>Allegation:</u> A U.S. Department of Agriculture employee may have made false statements to EPA regarding the discharge of pollutants directly into a river. (18 USC 1001)</p> <p><u>Resolution:</u> The allegations were unsubstantiated and the case was closed.</p>

Duplicate records of the enclosures for Letter dated January 10, 2013 not included. See pages 19 – 47.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

AUG 06 2013

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security
and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed are listings of closed investigations conducted by the U.S. Environmental Protection Agency, Office of Inspector General and the closed single audits performed by third parties on behalf of the OIG. The investigations and audits were closed between October 1, 2012, and March 31, 2013, and these audits and investigations were not disclosed to the public. We are providing these listings in response to your ongoing request for biannual reports of this information.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Thank you for your continued support of the work done by the inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, counsel to the inspector general, at (202) 566-2391. An identical letter is being provided to Senator Grassley.

Sincerely,

A handwritten signature in blue ink, which appears to read "Arthur A. Elkins Jr.", is positioned above the printed name.

Arthur A. Elkins Jr.

Enclosures



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

AUG 06 2013

THE INSPECTOR GENERAL

The Honorable Charles Grassley
Committee on Finance
United States Senate
Washington, D.C. 20510

Dear Senator Grassley:

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Arthur A. Elkins Jr.

Enclosures

U.S. Environmental Protection Agency Office of Inspector General - Office of Audit
Reports of Single Audits Performed by Third Parties
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Report No.	Report Title	Date Closed
Single Audit Reports		
12-3-0056	Indian Township, Maine, Tribal Government - FY 2010	Mar 29, 2013
12-3-0322	Great Bend, Kansas, City of - FY 2010	Nov 19, 2012
12-3-0346	Linn, Missouri, City of - FY 2011	Nov 15, 2012
12-3-0398	St. Louis Junior College, District of Missouri - FY 2011	Nov 15, 2012
12-3-0421	Prentiss County, Mississippi - FY 2009	Oct 19, 2012
12-3-0435	Lancaster, New Hampshire, Town of - FY 2009	Nov 5, 2012
12-3-0445	Pennsylvania, Commonwealth of - FY 2011	Mar 1, 2013
12-3-0458	Brooke County Public Service District, West Virginia - FY 2011	Nov 5, 2012
12-3-0488	Southern Maine Regional Planning Commission, Maine - FY 2011	Dec 10, 2012
12-3-0495	Florida, State of - FY 2011	Jan 8, 2013
12-3-0497	Zuni, New Mexico, Pueblo - FY 2010	Jan 18, 2013
12-3-0498	North Carolina, State of - FY 2011	Mar 20, 2013
12-3-0520	Wilmington, Delaware, City of - FY 2011	Nov 5, 2012
12-3-0525	Cape Charles, Virginia, Municipal Corporation of - FY 2011	Oct 12, 2012
12-3-0526	Bristol, Rhode Island, Town of - FY 2011	Dec 20, 2012
12-3-0539	Warsaw, North Carolina, Town of - FY 2011	Mar 15, 2013
12-3-0542	Marlin, Texas, City of - FY 2010	Oct 5, 2012
12-3-0547	North Providence, Rhode Island, Town of - FY 2011	Jan 2, 2013
12-3-0552	Rutland Regional Planning Commission, Vermont - FY 2011	Jan 2, 2013
12-3-0555	Pedro Bay Village Council, Alaska - FY 2010	Jan 8, 2013
12-3-0572	Water Environment Federation and Subsidiary - FY 2011	Mar 21, 2013
12-3-0604	Marlborough, Massachusetts, City of - FY 2011	Feb 4, 2013
12-3-0610	Warsaw, Kentucky, City of - FY 2011	Mar 15, 2013
12-3-0611	Miami-Dade Water and Sewer Department, Florida - FY 2011	Mar 20, 2013
12-3-0617	Lehigh County Authority, Pennsylvania - FY 2011	Dec 18, 2012
12-3-0622	Martinville, Louisiana, City of - FY 2011	Jan 23, 2013
12-3-0624	Windham Regional Commission, Vermont - FY 2011	Jan 25, 2013
12-3-0629	Yucaipa Valley Water District, California - FY 2011	Mar 26, 2013
12-3-0658	Chesapeake Research Consortium Inc. Maryland - FY 2011	Feb 6, 2013
12-3-0662	Three Rivers, Michigan, City of - FY 2011	Dec 4, 2012
12-3-0663	Northern Mariana Islands, Commonwealth of - FY 2010	Mar 28, 2013
12-3-0665	Plum Borough Municipal Authority, Pennsylvania - FY 2010	Mar 25, 2013
12-3-0678	New Mexico Environment Department - FY 2011	Mar 20, 2013
12-3-0680	Economic Development and Industrial Corporation of Boston, Massachusetts - FY 2011	Mar 6, 2013
12-3-0687	Dallas, Texas, City of - FY 2011	Feb 27, 2013
12-3-0695	Colorado, State of - FY 2011	Nov 5, 2012
12-3-0736	Main South Community Development Corporation, Massachusetts - FY 2011	Mar 6, 2013
12-3-0738	Treasure Coast Regional Planning Council, Florida - FY 2011	Mar 15, 2013
12-3-0742	Grass Valley, California, City of - FY 2011	Mar 21, 2013
12-3-0745	Louisiana, State of - FY 2011	Oct 1, 2012
12-3-0746	Kansas, State of - FY 2011	Nov 28, 2012
12-3-0751	California, State of - FY 2011	Mar 27, 2013
12-3-0752	Nevada, State of - FY 2011	Oct 31, 2012
12-3-0753	Washington, State of - FY 2011	Oct 5, 2012
12-3-0754	Texas, State of - FY 2011	Nov 26, 2012
12-3-0763	Michigan Department of Environmental Quality - FY 2010 and 2011	Mar 11, 2013
12-3-0767	Boston Redevelopment Authority, Massachusetts - FY 2011	Jan 2, 2013
12-3-0788	Lead, South Dakota, City of - FY 2011	Mar 11, 2013
12-3-0794	First Tennessee Development District, Tennessee - FY 2011	Mar 20, 2013

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12-3-0811	SRC Inc., New York - FY 2011	Mar 25, 2013
12-3-0837	Allegan, Michigan, County of - FY 2011	Oct 11, 2012
12-3-0839	BDW Water System Association, North Dakota FY 2011	Mar 11, 2013
12-3-0850	Alliance for the Chesapeake Bay Inc., Maryland - FY 2011	Jan 18, 2013
12-3-0860	Commonwealth Utilities Corporation, Northern Mariana Islands - FY 2011	Nov 26, 2012
12-3-0861	Miami, Arizona, Town of - FY 2010	Mar 15, 2013
12-3-0863	Hawaii Department of Health - FY 2011	Nov 8, 2012
12-3-0875	Dixie County, Florida - FY 2011	Mar 20, 2013
12-3-0887	Illinois, State of - FY 2011	Oct 4, 2012
12-3-0894	Nebraska, State of - FY 2011	Mar 29, 2013
12-3-0896	Ohio, State of - FY 2011	Oct 11, 2012
12-3-0901	Iowa, State of - FY 2011	Nov 26, 2012
13-3-0002	Fred Hutchinson Cancer Research Center, Washington - FY 2011	Oct 9, 2012
13-3-0003	Granite Reeder Water and Sewer District, Idaho - FY 2011	Oct 9, 2012
13-3-0004	Nome Joint Utility System, Alaska - FY 2010	Oct 9, 2012
13-3-0005	Oak Lodge Sanitary District, Oregon - FY 2011	Oct 9, 2012
13-3-0006	Maniilaq Association, Alaska - FY 2011	Oct 10, 2012
13-3-0009	Sarpy County, Nebraska, Sanitary and Improvement District No. 101- FY 2011	Oct 10, 2012
13-3-0010	Upper Explorerland Regional Planning Commission, Iowa - FY 2011	Jan 8, 2013
13-3-0011	Pittsburgh Commission, Pennsylvania, Port of - FY 2011	Mar 15, 2013
13-3-0012	Port Authority of the City of St. Paul, Minnesota - FY 2011	Nov 6, 2012
13-3-0016	United South and Eastern Tribes Inc., Tennessee - FY 2011	Oct 11, 2012
13-3-0017	Columbus, Nebraska, City of - FY 2011	Oct 11, 2012
13-3-0018	Marion, Iowa, City of - FY 2011	Oct 11, 2012
13-3-0019	Community Development and Improvement Corporation and Subsidiary, South Carolina - FY 2010	Oct 11, 2012
13-3-0020	Genesee County, Land Bank Authority, Michigan - FY 2011	Nov 5, 2012
13-3-0021	Osceola County, Michigan - FY 2011	Nov 5, 2012
13-3-0022	St. James, Minnesota, City of - FY 2011	Oct 12, 2012
13-3-0023	Atoka, Tennessee, Town of - FY 2011	Oct 12, 2012
13-3-0024	Aztec, New Mexico, City of - FY 2010	Oct 15, 2012
13-3-0025	Crystal City, Texas, City of - FY 2011	Oct 15, 2012
13-3-0026	Arlington, Texas, City of - FY 2011	Oct 15, 2012
13-3-0027	Fort Worth, Texas, City of - FY 2011	Oct 15, 2012
13-3-0029	Washington, Georgia, City of - FY 2011	Oct 23, 2012
13-3-0030	Pascagoula, Mississippi, City of - FY 2011	Oct 23, 2012
13-3-0031	Centreville, Mississippi, Town of - FY 2011	Oct 23, 2012
13-3-0034	Lake Pontchartrain Basin Foundation, Louisiana - FY 2011	Oct 30, 2012
13-3-0036	West Wendover, Nevada, City of - FY 2011	Nov 1, 2012
13-3-0037	Douglas County, Nevada - FY 2011	Nov 1, 2012
13-3-0038	Arcola, Illinois, City of - FY 2012	Nov 5, 2012
13-3-0039	Morrison, Illinois, City of - FY 2012	Nov 5, 2012
13-3-0040	Sparks, Nevada, City of - FY 2011	Nov 5, 2012
13-3-0041	Groom Creek Water Users Association, Arizona - FY 2010	Nov 6, 2012
13-3-0043	Pit River Tribe, California - FY 2011	Nov 6, 2012
13-3-0044	South Tahoe Public Utility District, California - FY 2011	Nov 6, 2012
13-3-0045	Newcastle Sanitary District, California - FY 2011	Nov 6, 2012
13-3-0046	Broken Bow, Nebraska, City of - FY 2011	Nov 7, 2012
13-3-0047	Rock Island Economic Growth Corporation, Illinois - FY 2011	Nov 8, 2012
13-3-0048	Cedar Lake, Indiana, City of - FY 2011	Nov 8, 2012
13-3-0049	Tahoe Regional Planning Agency, Nevada - FY 2011	Nov 8, 2012
13-3-0051	Lacrosse, Kansas City of - FY 2011	Nov 9, 2012
13-3-0052	Moberly, Missouri, City of - FY 2010	Nov 9, 2012
13-3-0053	Gonzales, Louisiana, Parish of Ascension - FY 2011	Nov 9, 2012

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13-3-0055	Nye County, Nevada - FY 2010	Nov 27, 2012
13-3-0058	Johnstown, Pennsylvania, City of - FY 2011	Nov 27, 2012
13-3-0059	Steelton Borough Authority, Pennsylvania - FY 2011	Nov 27, 2012
13-3-0061	San Diego, California, City of - FY 2010	Nov 27, 2012
13-3-0065	Milford, Delaware, City of - FY 2011	Nov 29, 2012
13-3-0066	Grand Portage Band of Chippewa Indians, Minnesota - FY 2011	Nov 29, 2012
13-3-0067	Lac Courte Oreilles Band of Lake Superior Chippewa Indians, Wisconsin - FY 2011	Dec 5, 2012
13-3-0068	Yurok Tribe, Klamath, California - FY 2011	Dec 3, 2012
13-3-0069	Minto, North Dakota, City of - FY 2011	Dec 6, 2012
13-3-0070	Stetsonville, Wisconsin, Village of - FY 2011	Dec 6, 2012
13-3-0071	Nekoosa, Wisconsin, City of - FY 2011	Dec 6, 2012
13-3-0073	Marinette, Wisconsin, City of - FY 2011	Dec 10, 2012
13-3-0074	Darlington, Indiana, Town of - FY 2011	Dec 10, 2012
13-3-0075	Jefferson County, Ohio - FY 2011	Jan 16, 2013
13-3-0076	Trenton, New Jersey, City of - FY 2011	Dec 13, 2012
13-3-0077	Puerto Rico, University of - FY 2011	Dec 13, 2012
13-3-0078	Yankton, South Dakota, City of - FY 2011	Dec 18, 2012
13-3-0081	Central Shoshone County Water District, Idaho - FY 2011	Dec 19, 2012
13-3-0082	Fort Bend County Fresh Water Supply District No. 1, Houston, Texas - FY 2011	Dec 19, 2012
13-3-0083	Madison, Wisconsin, Town of - FY 2011	Jan 22, 2013
13-3-0084	Pueblo of Tesuque, New Mexico - FY 2011	Dec 21, 2012
13-3-0085	Billings, Montana, City of - FY 2011	Dec 27, 2012
13-3-0086	Faulkton, South Dakota, City of - FY 2010	Dec 27, 2012
13-3-0087	Chippewa Cree Tribe, Montana - FY 2011	Dec 28, 2012
13-3-0088	Bigfork County Water and Sewer District, Bigfork, Montana - FY 2011	Dec 28, 2012
13-3-0089	Lake Metigoshe Recreation Service District North Dakota - FYs 2009 and 2010	Dec 28, 2012
13-3-0090	United Water System Inc., Louisiana - FY 2012	Jan 3, 2013
13-3-0091	Chicago Park District, Chicago, Illinois - FY 2011	Jan 25, 2013
13-3-0093	Crow Creek Sioux Tribe, South Dakota - FY 2010	Jan 8, 2013
13-3-0095	Tifton, Georgia, City of - FY 2011	Jan 10, 2013
13-3-0097	Northern Cheyenne Tribe, Montana - FY 2011	Jan 14, 2013
13-3-0098	Duncan, Oklahoma, City of - FY 2010	Jan 14, 2013
13-3-0099	Pechanga Band of Luiseno Mission Indians, California - FY 2011	Jan 14, 2013
13-3-0100	Anson Water District, Maine - FY 2011	Jan 22, 2013
13-3-0102	Enterprise Rancheria of Maidu Indians of California - FY 2011	Jan 16, 2013
13-3-0103	Northwest Regional Planning Commission, Wisconsin - FY 2011	Jan 16, 2013
13-3-0104	Alliance to Save Energy and Affiliate, DC - FY 2011	Jan 16, 2013
13-3-0105	Manitowoc County, Wisconsin - FY 2011	Jan 18, 2013
13-3-0106	Hudson, New York, City of - FY 2011	Jan 16, 2013
13-3-0107	Lovelace Respiratory Research Institute, New Mexico - FY 2011	Jan 16, 2013
13-3-0108	Southern Ute Indian Tribe, Colorado - FY 2011	Jan 16, 2013
13-3-0109	Leonardo Academy Inc., Wisconsin - FY 2011	Jan 18, 2013
13-3-0110	Prairie du Chien, Wisconsin, City of - FY 2011	Jan 18, 2013
13-3-0111	Greenville Sanitary District #1, Wisconsin - FY 2011	Jan 17, 2013
13-3-0112	Rockford, Illinois, City of - FY 2011	Jan 17, 2013
13-3-0113	Snow Hill, Maryland, Town of - FY 2012	Jan 18, 2013
13-3-0114	Aberdeen, Maryland, City of - FY 2012	Jan 18, 2013
13-3-0117	Slatington Borough Authority, Pennsylvania - FY 2012	Jan 23, 2013
13-3-0118	Ottawa County, Ohio - FY 2011	Jan 25, 2013
13-3-0126	Smith River Rancheria, California - FY 2011	Jan 31, 2013
13-3-0129	Waynesville, Missouri City of - FY 2012	Feb 1, 2013
13-3-0130	Belmond, Iowa, City of - FY 2012	Feb 1, 2013
13-3-0131	Alexandria, Louisiana, City of - FY 2012	Feb 1, 2013

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Not Available to the Public

13-3-0134	Valley City, North Dakota, City of - FY 2011	Feb 1, 2013
13-3-0138	Lawton, Oklahoma, City of - FY 2011	Feb 11, 2013
13-3-0139	Minneapolis Park and Recreation Board, Minnesota - FY 2011	Feb 11, 2013
13-3-0140	Keokuk Municipal Water Works, Iowa - FY 2011	Feb 11, 2013
13-3-0141	Flushing, Ohio, Village of - FY 2011	Feb 11, 2013
13-3-0142	Pittston, Pennsylvania, City of - FY 2011	Feb 12, 2013
13-3-0143	Winchester, Virginia, City of - FY 2012	Feb 11, 2013
13-3-0144	Okemah, Oklahoma, City of - FY 2012	Feb 12, 2013
13-3-0147	Tahoe Regional Planning Agency, Nevada - FY 2012	Feb 13, 2013
13-3-0148	Douglas County, Nevada - FY 2012	Feb 13, 2013
13-3-0150	Show Low, Arizona, City of - FY 2012	Feb 13, 2013
13-3-0151	Illinois, University of - FY 2011	Feb 14, 2013
13-3-0155	Erwin, New York, Town of - FY 2010	Feb 15, 2013
13-3-0156	Virginia, Minnesota, City of - FY 2011	Feb 15, 2013
13-3-0157	Warren, Minnesota, City of - FY 2011	Feb 19, 2013
13-3-0158	Three River, Michigan, City of - FY 2012	Feb 19, 2013
13-3-0169	Tyler, Minnesota, City of - FY 2011	Feb 28, 2013
13-3-0170	Rushford Minnesota City of - FY 2011	Feb 28, 2013
13-3-0171	Olivia Minnesota City of - FY 2011	Feb 28, 2013
13-3-0172	New London, Minnesota, City of - FY 2011	Feb 28, 2013
13-3-0173	Blooming Prairie, Minnesota, City of - FY 2011	Feb 28, 2013
13-3-0181	Monroe, Michigan, City of - FY 2012	Mar 21, 2013
13-3-0182	Northland College, Wisconsin - FY 2012	Mar 29, 2013
13-3-0185	Oahu Resource Conservation and Development Council, Hawaii - FY 2011	Mar 22, 2013
13-3-0188	Atlantic States Rural Water and Wastewater Association, Maine - FY 2011	Mar 25, 2013
13-3-0189	Algood, Tennessee, City of - FY 2011	Mar 25, 2013
13-3-0190	Milton, Indiana, Town of - FY 2011	Mar 25, 2013
13-3-0191	Kauai, Hawaii, County of - FY 2012	Mar 26, 2013
13-3-0192	Great Lakes Observing System-Regional Association, Michigan - FY 2012	Mar 26, 2013
13-3-0193	Roxboro, North Carolina, City of - FY 2010	Mar 26, 2013
13-3-0194	Helen, Georgia, City of - FY 2012	Mar 26, 2013
13-3-0195	Troy, Vermont, Town of - FY 2010	Mar 27, 2013
13-3-0196	Minersville Sewer Authority, Pennsylvania - FY 2011	Mar 27, 2013
13-3-0197	North East, Maryland, Town of - FY 2010	Mar 27, 2013
13-3-0198	Hammond, Indiana, City of - FY 2011	Mar 27, 2013
13-3-0199	Taylor County, Wisconsin - FY 2011	Mar 27, 2013
13-3-0202	Independence, Oregon, City of - FY 2012	Mar 27, 2013
13-3-0203	Litchfield, Illinois, City of - FY 2012	Mar 27, 2013
13-3-0204	Selma, North Carolina, Town of - FY 2012	Mar 27, 2013
13-3-0205	Nunakauyak Traditional Council, Alaska - FY 2011	Mar 28, 2013

Report No.	Report Title	Date Closed
Grant, Contract and Performance Reports		
12-1-0412	SAIC – Company 1 – Compensation Follow-up	Nov 16, 2012
12-1-0414	SAIC – Companies 1, 6 & 9 – FY 2006 Floorchecks	Feb 12, 2013

U.S. Environmental Protection Agency Office of Inspector General
List of Closed Investigations
October 1, 2012 – March 31, 2013
Not Disclosed to the Public

CASE NUMBER	DATE OPEN	DATE CLOSED	<u>ALLEGATION AND RESOLUTION</u>
OI-DA- 2011- CFR-2856	9/19/2011	10/4/2012	<p><u>Allegation:</u> A vendor alleged several U.S. companies used foreign manufactured automatic meter readers for American Recovery and Reinvestment Act of 2009 projects, which violated the Buy American provisions of ARRA.</p> <p><u>Resolution:</u> This case was declined for criminal prosecution because (b) (5)</p>
OI-AT- 2012- CFR-0040	1/24/2012	10/10/2012	<p><u>Allegation:</u> A contractor breached its contract when it walked away from an EPA-funded construction project without completing the work.</p> <p><u>Resolution:</u> Due to an ongoing lawsuit in federal court and no monetary loss to the government, this case was closed.</p>
OI-CR- 2010- CFR-0570	8/23/2010	10/11/2012	<p><u>Allegation:</u> This is a proactive initiative to determine whether there were any inappropriate relationships between representatives of the coal ash industry and EPA employees.</p> <p><u>Resolution:</u> Management decided that it would not further pursue this proactive investigation and that the findings to date did not merit referral to any outside parties.</p>
OI-PH- 2011- CFR-0009	10/18/2010	10/11/2012	<p><u>Allegation:</u> A subcontractor manager directed its employees to dump a product into performance-monitoring wells near the end of the performance cycle to indicate that the product reached the performance wells, when in fact it had not. The alleged actions would provide fraudulent readings of contaminants at the site in violation of the specifications of the EPA-funded contract.</p> <p><u>Resolution:</u> This investigation was declined for prosecution due to (b) (5)</p>

OI-SA-2011-CAC-2768	3/22/2011	10/17/2012	<p><u>Allegation:</u> An individual, who has a history of making threats against the EPA, reportedly telephoned a Deputy U.S. Marshal and threatened to take over an EPA Superfund site "by force" if he did not hear from the (b) (6), (b) (7)(C) Circuit Court of Appeals regarding his appeal on a civil court case.</p> <p><u>Resolution:</u> The investigation was declined in lieu of appropriate (b) (6), (b) (7)(C). The individual has been barred from the EPA facilities.</p>
OI-DE-2012-CAC-0130	6/12/2012	10/18/2012	<p><u>Allegation:</u> An individual contacted the National Response Center, the state and EPA to report a 1000-barrel spill of production water in a creek. It was alleged the individual may have made a false spill report in order to videotape EPA employees responding to the location of the spill for his documentary.</p> <p><u>Resolution:</u> The allegation of a false report was disproven and this case was closed.</p>
OI-NE-2010-CAC-0597	9/29/2010	10/19/2012	<p><u>Allegation:</u> A contractor violated the Buy American provision under ARRA by using valves produced in Europe on an EPA-funded project.</p> <p><u>Resolution:</u> A project modification was approved to allow the valves in question. As a result of this approved modification, the government ultimately has accepted the valves as adhering to the criteria set forth in the original contract.</p>
2007-CS-0007	1/5/2005	10/19/2012	<p><u>Allegation:</u> A testing laboratory, which performed multiple peak shavings, had missing laboratory data and performed poor laboratory instrument maintenance. In addition, there were complaints from other labs that this lab was underbidding local sample analysis jobs by 70 percent.</p> <p><u>Resolution:</u> The investigation disclosed that the lab was conducting inappropriate manipulations, including peak reduction or shaving, peak augmentation and creating peaks from instrument noise. The lab participated in fraudulent practices when analyzing air samples. The allegations were substantiated; however, it was declined for prosecution.</p>

OI-SE-2012-CAC-0012	10/28/2011	10/19/2012	<p><u>Allegation:</u> An EPA employee's son was suspected to have stolen the employee's government travel card and made fraudulent charges.</p> <p><u>Resolution:</u> The son pled guilty to theft and possession of stolen property. He was sentenced to five years probation and ordered to pay \$1,243 in court costs.</p>
OI-SE-2012-CAC-0105	5/4/2012	10/19/2012	<p><u>Allegation:</u> An individual sent a former EPA regional administrator two threatening e-mail communications.</p> <p><u>Resolution:</u> The individual confirmed he had sent the two e-mails and explained that they were not intended to be threatening or violent in nature and admitted he made an error in judgment. The case was declined for prosecution.</p>
OCI-RTP-2012-CFR-0007	10/17/2011	10/24/2012	<p><u>Allegation:</u> Source selection and contractor bid/proposal information were inappropriately released.</p> <p><u>Resolution:</u> The complainant was anonymous and the allegation could not be proven without additional information.</p>
OI-HQ-2012-CAC-0142	7/10/2012	10/31/2012	<p><u>Allegation:</u> The bank advised an EPA employee that her government credit card had been compromised and there were a number of fraudulent charges that had been attempted in the previous two days.</p> <p><u>Resolution:</u> No charges were ever posted to the government account and there was no financial loss to the government. The case was closed.</p>
OI-NE-2012-CAC-0101	5/1/2012	11/5/2012	<p><u>Allegation:</u> Threatening emails were sent to a former high-level EPA employee.</p> <p><u>Resolution:</u> This investigation identified the individual who was responsible for sending the threatening email. Criminal prosecution was declined. The individual was barred from federal and EPA buildings in the local area.</p>

2010-CS-0007	10/16/2009	11/6/2012	<p><u>Allegation:</u> It was alleged that a \$2M grant payment from EPA for sewer work was deposited into a municipality's general fund account and was used to fund general operations.</p> <p><u>Resolution:</u> In order to receive the earmark grant, the municipality had to submit documentation showing that it had installed at least \$2,667,000 in collector sewers, in order to cover the 25 percent match that was required under the terms of the grant program. When they received the grant, they had three outstanding loans for the construction of collector sewers and two of these loans were used to finance the projects they submitted to EPA in order to receive the additional \$2M in EPA grant funding. The terms of the loan agreement required the municipality to apply the \$2M EPA grant to these loans. The municipality did not do this and instead applied the grant to its general fund. This case was declined for criminal and civil prosecution, in part because (b) (5)</p>
OI-HQ-2011-ADM-2814	6/15/2011	11/13/2012	<p><u>Allegation:</u> A senior EPA official violated the ethics pledge she signed by interacting with her former employer regarding official EPA business during the period in which she was recused.</p> <p><u>Resolution:</u> The official admitted to violating her ethics pledge, but stated that she did not intend to violate the ethics pledge and that her actions were not for personal or professional gain. The employee received a verbal reprimand.</p>
OI-HQ-2012-CAC-0145	7/23/2012	11/15/2012	<p><u>Allegation:</u> A citizen faxed threatening posters directed at a regional administrator.</p> <p><u>Resolution:</u> The citizen was not deemed a threat and prosecution of these types of threats have been declined based on (b) (5)</p>

OI-DA-2012-CAC-0021	11/22/2011	11/20/2012	<p><u>Allegation:</u> An EPA employee's enforcement badge and credentials were stolen from a hotel conference room.</p> <p><u>Resolution:</u> The employee notified hotel security and the EPA security office. No additional steps were taken.</p>
OI-DA-2010-CFR-0330	7/21/2010	11/20/2012	<p><u>Allegation:</u> A city, which received ARRA funding, planned to spend a portion of this funding on unnecessary financial advisory and bond counsel fees to potential consultants to assist with grant administrative work.</p> <p><u>Resolution:</u> The investigation determined no fraud had occurred.</p>
OI-AT-2012-CAC-0178	9/6/2012	11/21/2012	<p><u>Allegation:</u> An EPA employee's personal vehicle was burglarized and her laptop computer and special EPA credentials were stolen.</p> <p><u>Resolution:</u> The employee was verbally counseled regarding the possession of personally identifiable information at an off-site location. The stolen EPA credentials and computer were entered into the National Crime Information Center and the EPA will be notified upon their recovery. There were no leads and the case was closed.</p>
OI-AT-2011-CAC-2860	9/26/2011	11/26/2012	<p><u>Allegation:</u> An EPA employee received official mail at work that emanated a strong chemical odor and had yellowish marks on the document, which irritated her respiratory system. This event had potential to compromise the health and safety of EPA employees.</p> <p><u>Resolution:</u> The investigation disclosed that the employee shredded the documents because he/she did not want them to contaminate the office. The employee also claimed a K-9 officer confirmed (b) (6), (b) (7) symptoms via a canine. The employee said a federal agency ordered tests and claimed he/she tried to obtain the records from the test, but could not. Based on investigative steps, evidence gathered and interviews conducted, this allegation is proven to be false.</p>
OI-RTP-2012-CAC-0104	5/4/2012	11/27/2012	<p><u>Allegation:</u> An unknown individual(s) gained unauthorized access to the EPA's Voice Over Internet Protocol network placing numerous free calls.</p> <p><u>Resolution:</u> EPA was charged approximately \$200 for these calls. Due to (b) (5) this case was declined for prosecution.</p>

OI-DA-2010-CFR-0321	7/20/2010	11/30/2012	<p><u>Allegation:</u> A city, which received ARRA funding, planned to spend a portion of this funding on unnecessary financial advisory and bond counsel fees to potential consultants to assist with grant administrative work.</p> <p><u>Resolution:</u> The investigation determined no fraud had occurred.</p>
OI-SA-2010-CFR-0173	1/25/2010	12/3/2012	<p><u>Allegation:</u> Counterfeit surety bonds were submitted by a company to win approximately \$3.5M in contracts on an ARRA-funded water meter retrofit project.</p> <p><u>Resolution:</u> The company owner pled guilty and was sentenced to 60 months probation, three months home confinement, a \$200 special assessment fee and a fine of \$500. The owner was also debarred from participation in federal procurement and non-procurement programs until March 2014.</p>
OI-HQ-2012-ADM-0124	6/4/2012	12/11/2012	<p><u>Allegation:</u> An EPA manager yelled at another employee potentially creating a violent workplace.</p> <p><u>Resolution:</u> The allegation was unfounded.</p>
OI-DA-2012-CAC-0005	10/13/2011	12/12/2012	<p><u>Allegation:</u> An EPA employee was also a "deputy" for a local county. He and other officials would enter private properties without a search warrant. Although the EPA employee was not a federal officer, he threatened homeowners with federal arrest and fines and forced individuals to remove unregistered vehicles and to haul off garbage.</p> <p><u>Resolution:</u> A person with the same name as the alleged EPA employee was employed as a county environmental enforcement officer. The investigation determined that the named individual never impersonated an EPA or federal agent.</p>

OI-CH-2011-CAC-2848	8/25/2011	12/14/2012	<p><u>Allegation:</u> This office was advised of an ongoing local criminal investigation of a company that received a \$1M EPA revolving loan fund grant. While the company was performing the contract, employees stole copper and sold it to a salvage yard. Allegedly, they stole and sold the copper to offset unpaid Davis-Bacon wages. The employees have since pled guilty and are awaiting sentencing.</p> <p><u>Resolution:</u> The company agreed to pay the two employees who did not receive appropriate wages the difference between the incorrect wage and Davis-Bacon wage.</p>
OI-DA-2012-CFR-0174	8/31/2012	12/14/2012	<p><u>Allegation:</u> Contractor employees were not paid prevailing wages in accordance with the Davis-Bacon Act and requirements imposed by ARRA.</p> <p><u>Resolution:</u> It was determined that the failure to pay prevailing wages resulted from the state's failure to update the contract requirements with the current wage determination in 2009. The EPA provided additional funding to the state to provide the contractor employees with the additional wages that should have been paid at the time. No indications of fraud were presented and the case was closed.</p>
OI-AR-2012-CFR-0098	4/26/2012	12/17/2012	<p><u>Allegation:</u> Workers from a federal agency were conducting demolition projects in a manner that was not compliant with national standards.</p> <p><u>Resolution:</u> The agency was required to have an approved work plan before the demolition process could begin. While drafting the work plans, the EPA employees were consulted and the EPA personnel approved the final work plans which included unapproved language for demolition of asbestos containing buildings. Since the discovery of this issue, future work plans have been changed to contain proper asbestos removal language. There were no criminal violations and no information of conspiracy, collusion and/or employee misconduct.</p>

OI-CI- 2012- CAC-0139	7/3/2012	12/18/2012	<p><u>Allegation:</u> Two individuals received suspicious letters written on fictitious EPA letterhead signed by an individual later identified as an EPA employee. The letters claimed that tests of wetland sites disclosed violations of the Resource Conservation and Recovery Act for which the letter recipients were financially responsible.</p> <p><u>Resolution:</u> The EPA employee explained his office did not issue correspondence relating to enforcement actions, or related regulatory activity. The letters contained no forensic data and no other relevant information surfaced regarding this matter. The case was closed.</p>
OI-AR- 2012- CFR-0003	10/5/2011	12/19/2012	<p><u>Allegation:</u> A company was identified as potentially misusing the EPA seal by including it on the company's website.</p> <p><u>Resolution:</u> A message was left at the company regarding their use of the EPA seal. Upon later review, the seal was taken off the website.</p>
OI-AR- 2012- CAC-0069	3/12/2012	12/19/2012	<p><u>Allegation:</u> Fraudulent charges were made to an EPA employee's government purchase card.</p> <p><u>Resolution:</u> Approximately \$8,908 was charged to the purchase card. However, a subject could not be identified and this case was closed.</p>
OI-SA- 2011- CFR-2776	4/5/2011	12/19/2012	<p><u>Allegation:</u> An individual fraudulently received an EPA ARRA sub-award from a state while being debarred from all federal procurement and non-procurement programs and from receiving contracts under federal programs.</p> <p><u>Resolution:</u> The individual had been debarred, which would have prohibited him from receiving EPA grant funds. This investigation was declined for prosecution because (b) (5) [REDACTED] The state cancelled the grant, but was unable to retrieve the funds spent by the individual.</p>
OI-DA- 2010- CFR-0329	7/21/2010	12/21/2012	<p><u>Allegation:</u> A city, which received ARRA funding, planned to spend a portion of this funding on unnecessary financial advisory and bond counsel fees to potential consultants to assist with grant administrative work.</p> <p><u>Resolution:</u> The investigation determined no fraud had occurred.</p>

OI-SE-2012-CFR-0088	4/12/2012	12/26/2012	<p><u>Allegation:</u> A municipality received two EPA ARRA grants, but did not seek competitive bids for the projects resulting in greatly inflated labor and material costs. The municipality instead completed the work using municipal workers paid Davis-Bacon wages and stockpiled materials in a municipal warehouse.</p> <p><u>Resolution:</u> The investigation disclosed that municipalities receiving ARRA funding are not required to competitively bid contracts and may use force account labor. Also municipalities are not prohibited from paying municipal workers Davis-Bacon wages. The investigation further determined that excess materials were not purchased using EPA ARRA funds. The allegations were disproven.</p>
OI-DE-2012-ADM-0143	7/13/2012	12/26/2012	<p><u>Allegation:</u> A company may have received “no bid” contracts at the direction of an EPA employee. The work completed by the company was of such poor quality that it will need to be redone by the EPA at a cost of \$750,000-\$1M. The company may also have thrown reactive waste material down a mine shaft and “spent huge amounts renting a luxury house for staff for months.”</p> <p><u>Resolution:</u> The allegations have been addressed and disproven.</p>
OI-SA-2012-CAC-0177	9/6/2012	12/26/2012	<p><u>Allegation:</u> A tribe received EPA grant funds that it was not entitled to and that documents were submitted to EPA which contained false statements and claims.</p> <p><u>Resolution:</u> The investigation revealed that the tribe's actions and the draw downs of EPA grants were not fraudulent.</p>
2009-CS-0138	9/15/2009	12/27/2012	<p><u>Allegation:</u> The OIG’s Office of Audit alleged they found potential bid rigging patterns in ARRA contracts awarded to a city.</p> <p><u>Resolution:</u> No additional substantive investigative leads or relevant allegations of wrongdoing were developed during this investigation.</p>

OI-CH-2010-CFR-0256	4/1/2010	1/2/2013	<p><u>Allegation:</u> For several years, a vendor sold modified propane units that did not meet the EPA standards under the auspices of being "EPA and California Air Resources Board certified." The modifications make them substandard and outside the scope of EPA certification. The vendor sold approximately 700 uncertified propane units throughout the United States that bear the EPA approved and certified stickers.</p> <p><u>Resolution:</u> During the period of the allegations, the small engines were not regulated by the EPA and certifications were not required for alternative fuel conversions to small engines. No discrepancies or wrongdoings were committed by the vendor.</p>
OI-NE-2012-CFR-0077	3/22/2012	1/4/2013	<p><u>Allegation:</u> An EPA employee alleged there was a potential for a company manager to offer him a bribe during an upcoming meeting to influence an official act.</p> <p><u>Resolution:</u> During the meeting and subsequent communications, the manager did not overtly offer the employee a bribe, but did imply some form of compensation was available if the employee would name what he wanted in exchange for his cooperation. While (b) (5)</p>
OI-AR-2012-CAC-0015	11/3/2011	1/4/2013	<p><u>Allegation:</u> An EPA office completed an internal investigation into missing Toxic Substances Control Act Confidential Business Information documents and the documents were not located. Based on the fact that the documents were not recovered, the facility had controlled access and a search of the area met with negative results, there was credible information to believe the documents may have been stolen.</p> <p><u>Resolution:</u> The investigation failed to identify the person(s) involved. However, the investigation did develop sufficient information to believe that the (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) violated provisions of the TSCA CBI Protection Manual. (b) (6), (b) (7)(C) was counseled concerning the appropriate requirements.</p>

OI-SA-2012-ADM-0071	3/12/2012	1/9/2013	<p><u>Allegation:</u> An EPA employee falsified her time-and-attendance records.</p> <p><u>Resolution:</u> The investigation proved the employee falsified her time cards. The employee retired and was ordered to reimburse the EPA \$15,569 for overpayments she received.</p>
OCI-AR-2011-CAC-2783	4/15/2011	1/10/2013	<p><u>Allegation:</u> An EPA computer system belonging to the (b) (6), (b) (7)(C) in the United Nations was compromised and his system communicated with known hostile foreign domains associated with advanced persistent threats.</p> <p><u>Resolution:</u> The investigation substantiated the allegation; however, it was not able to identify the individuals who were responsible for the compromise.</p>
OI-KA-2012-ADM-0019	11/18/2011	1/16/2013	<p><u>Allegation:</u> An EPA employee did not show up for work on time on multiple occasions and has been late for meetings and training.</p> <p><u>Resolution:</u> The allegation was substantiated and the employee resigned.</p>
OI-HQ-2012-ADM-0135	6/28/2012	1/17/2013	<p><u>Allegation:</u> Two EPA employees were involved in a verbal altercation.</p> <p><u>Resolution:</u> Both employees admitted to using aggressive language and being confrontational. They were verbally counseled by their management.</p>
OI-HQ-2012-ADM-0140	7/5/2012	1/17/2013	<p><u>Allegation:</u> An EPA employee violated the EPA's Senior Environmental Employment contract policy and misused a SEE Contract employee.</p> <p><u>Resolution:</u> The investigation found no merit to the allegations.</p>
OI-AR-2012-CAC-0046	1/30/2012	1/17/2013	<p><u>Allegation:</u> A contractor submitted six false invoices totaling approximately \$1M for payment to a municipality, which received an ARRA grant.</p> <p><u>Resolution:</u> The false invoices were discovered before there was any loss to the government. The company owner was suspended for nine months.</p>

OI-HQ-2011-ADM-2812	6/14/2011	1/22/2013	<p><u>Allegation:</u> A senior EPA official committed ethics violations by allegedly pressuring staff to hire his girlfriend.</p> <p><u>Resolution:</u> The investigation disclosed the allegation was unfounded and the official did not instruct anyone to hire his girlfriend.</p>
OI-DE-2012-CAC-0013	10/31/2011	1/23/2013	<p><u>Allegation:</u> A subcontractor submitted false invoices for work that had not been performed.</p> <p><u>Resolution:</u> It was determined that the subcontractor's request for payment was not false and the prime contractor did not present a false claim to the government for payment. The subcontractor ultimately completed its work and the government suffered no loss.</p>
OI-DA-2010-CFR-0331	7/22/2010	1/24/2013	<p><u>Allegation:</u> A city, which received ARRA funding, planned to spend a portion of this funding on unnecessary financial advisory and bond counsel fees to potential consultants to assist with grant administrative work.</p> <p><u>Resolution:</u> The investigation determined no fraud had occurred.</p>
OI-DE-2012-ADM-0087	4/11/2012	1/30/2013	<p><u>Allegation:</u> As reported, an employee EPA had large amounts of cash moving in and out of a bank account that is not commensurate with her possible income. In addition, casino records indicate the employee has moved hundreds of thousands of dollars through the casino, lost thousands of dollars in 20-hour gambling sessions and cashed hundreds of thousands of dollars in checks.</p> <p><u>Resolution:</u> The investigation into possible criminal activity on the part of the EPA employee produced no conclusive evidence of wrongdoing.</p>

2009-CS-0015	11/24/2008	1/30/2013	<p><u>Allegation:</u> Without authority, an EPA employee accessed and disclosed information from the "Confidential Financial Disclosure Report (OGE Form 450)" of another EPA employee during a public hearing. In addition, the employee and another co-worker were using the EPA office space and equipment to operate a personal business.</p> <p><u>Resolution:</u> The allegations against the two employees were substantiated. One employee resigned and the other employee was terminated from the EPA. During this investigation, it was discovered that the two employees, plus nine other employees, also exchanged or received explicit pornographic materials, videos and/or other prohibited illicit electronic files. Disciplinary action was taken against the remaining employees.</p>
OI-SA-2013-ADM-0002	10/11/2012	1/30/2013	<p><u>Allegation:</u> An EPA employee knowingly failed to comply with a civil litigation hold on discoverable documents by deleting emails, which should have been retained and by stating in email that he was refraining from using certain terms in an effort to prevent the email from being collected in the litigation.</p> <p><u>Resolution:</u> The employee failed to comply with the requirements of the litigation hold. The employee had not received training on proper compliance with litigation holds and had no previous experience with litigation holds. This case was declined for prosecution, citing (b) (5) [REDACTED]. The employee's manager concluded corrective action had already taken place and no further administrative action was necessary in regards to the employee.</p>

OI-SA-2010-CFR-0314	7/14/2010	1/30/2013	<p><u>Allegation:</u> A tribal employee was double charging his time to two grants and thus receiving pay for two full-time positions. The grants were funded by the Bureau of Indian Affairs and EPA.</p> <p><u>Resolution:</u> The employee reported that he worked 40 hours a week on EPA grants and 40 hours during the same time period on BIA grants. The employee would also occasionally report to have worked 23 hours in one day; 15 hours on a Saturday and 8 hours on a Sunday. Despite all of the hours claimed, there was very little work completed on the EPA grant. The employee and the chairman were suspended from government contracting and the chairman was also debarred. The OIG's Office of Audit recommended that the EPA disallow and recover unallowable costs of \$96,615.</p>
OI-PH-2012-ADM-0128	6/11/2012	2/1/2013	<p><u>Allegation:</u> An EPA employee was inebriated during a concert and tried to use his EPA credentials to gain access back stage.</p> <p><u>Resolution:</u> The employee received a written reprimand for his actions.</p>
OI-CH-2011-CAC-2782	4/14/2011	2/5/2013	<p><u>Allegation:</u> A village received bids on an ARRA-funded sanitary sewer improvement project. The project requirements specifically disallowed blasting, which is a significantly cheaper alternative to using mechanical means to remove rock. The contractor selected was the second-lowest bidder on the project. The contractor requested a "no cost" change order to receive permission to blast. The village approved the change order which would result in a \$300,000 savings; however, the village has not recovered the savings.</p> <p><u>Resolution:</u> The village reviewed and approved the change order which did not restrict the contractor to blasting only. As a result, the contractor simultaneously blasted and used mechanical methods to remove rock and other debris. They did not exceed the amount of the earmarked money to complete this project and there was no savings to the village. This investigation did not disclose discrepancies or wrongdoings committed by the contractor.</p>

OI-HQ-2012-ADM-0125	6/6/2012	2/5/2013	<p><u>Allegation:</u> An EPA employee was misusing government telephone service.</p> <p><u>Resolution:</u> The employee's telephone usage records were reviewed and the allegation was unsubstantiated.</p>
OI-HQ-2012-ADM-0022	12/5/2011	2/5/2013	<p><u>Allegation:</u> A high-level EPA employee was consuming and storing alcoholic beverages on government property.</p> <p><u>Resolution:</u> The EPA employee admitted to unauthorized possession and use of alcoholic beverages on government property. The EPA issued an agency-wide reminder concerning the policy for use of alcoholic beverages on government property.</p>
OI-HQ-2012-ADM-0009	10/28/2011	2/5/2013	<p><u>Allegation:</u> A high level EPA employee maintained a stocked bar of hard liquor in his office, which he consumed with other employees. In addition, the employee continually requested that staff help him with projects at his home, including: painting his house; installing information technology wireless equipment; building a fence; tutoring his children. Further, the employee recently engaged in improper relations with an employee.</p> <p><u>Resolution:</u> The EPA employee admitted to unauthorized possession and use of alcoholic beverages on government property. The EPA issued an agency-wide reminder concerning the policy for the use of alcoholic beverages on government property. All other allegations were unsubstantiated.</p>
OI-AR-2012-CAC-0160	8/6/2012	2/6/2013	<p><u>Allegation:</u> An EPA employee may have falsified an EPA parking permit application to receive subsidized carpool parking privileges when she actually drove to work alone.</p> <p><u>Resolution:</u> The investigation was unable to substantiate the allegation and was closed.</p>
OCI-AR-2012-CAC-0146	7/23/2012	2/12/2013	<p><u>Allegation:</u> An EPA employee reported a security breach of her pay account on the EPA's MyPay System; specifically, she reported that an unauthorized change to her direct-deposit designation was processed.</p> <p><u>Resolution:</u> The investigation disproved the allegation and determined that no criminal activity occurred. There were no attempts to defraud the government or government employees and there were no connected security breaches to the EPA MyPay System.</p>

OI-CH-2011-CFR-2827	7/13/2011	2/19/2013	<p><u>Allegation:</u> A state awarded a \$39,000 ARRA grant to a trucking company for the purchase and installation of five new auxiliary power units. The state withheld 10 percent of the grant pending installation of the units and paid the company \$35,910 based on invoices from the supplier of the auxiliary power units.</p> <p><u>Resolution:</u> The supplier advised that the trucking company did not purchase the auxiliary power units. The state repaid the EPA \$35,910.</p>
OC-HQ-2010-ADM-0259	4/9/2010	2/20/2013	<p><u>Allegation:</u> An EPA employee used a government-owned vehicle to make personal and unauthorized travel.</p> <p><u>Resolution:</u> The employee admitted to misusing the government-owned vehicle. The case was declined for prosecution.</p>
OC-HQ-2010-ADM-0231	3/11/2010	2/20/2013	<p><u>Allegation:</u> An employee on detail to the EPA failed to comply with the EPA ethics rules and administrative guidelines.</p> <p><u>Resolution:</u> The employee was returned to his parent agency.</p>
OC-HQ-2010-ADM-0232	3/11/2010	2/20/2013	<p><u>Allegation:</u> Two EPA employees created a "hostile work environment." In addition, there were allegations of death threats, denial of sick leave, denial of time related to the Family Medical Leave Act and an undocumented investigation where children were unnecessarily exposed to asbestos and retaliatory actions.</p> <p><u>Resolution:</u> One EPA employee was removed as a result of this investigation.</p>
OC-CR-2010-ADM-0585	9/9/2010	2/20/2013	<p><u>Allegation:</u> There was misconduct and a cover-up related to on-the-job injuries sustained by former EPA employee. The cover-up was conducted to prevent the employee from being able to sue EPA for his injuries.</p> <p><u>Resolution:</u> Due to lack of investigative merit, this investigation was closed.</p>
OC-HQ-2010-ADM-0261	4/14/2010	2/20/2013	<p><u>Allegation:</u> Several EPA employees misused government computers and machinery.</p> <p><u>Resolution:</u> The employees involved received a written warning or an oral admonishment.</p>

2007-CS-0126	4/18/2007	2/20/2013	<p><u>Allegation:</u> The EPA employees, who were involved in an agency procurement, violated the Office of Federal Procurement Policy Act by knowingly disclosing technical evaluation panel information before the award of a contract to which the information related. An EPA manager may have manipulated the EPA employees and subordinates towards influencing the technical evaluation panel and the source selection authority's final decision.</p> <p><u>Resolution:</u> The case was declined for criminal prosecution due to (b) (5) [REDACTED]</p> <p>The contractor involved terminated three employees and the EPA employee was debarred.</p>
OC-PH-2011-ADM-1783	12/23/2010	2/22/2013	<p><u>Allegation:</u> An EPA employee made inappropriate and unwanted sexual advances towards another employee. Additionally, the employee alleged her low performance evaluation may have been a result of her rejecting the other employee's advances.</p> <p><u>Resolution:</u> The subject employee resigned from the agency and no further action was required.</p>
OI-DE-2011-CAC-0020	10/22/2010	2/25/2013	<p><u>Allegation:</u> A company submitted false invoices for work that was not completed under two EPA tribal grants.</p> <p><u>Resolution:</u> The company subsequently cashed checks for the work, but did not complete the cleanup. This case was declined for prosecution and administrative action due to (b) (5) [REDACTED]</p>
OI-SA-2013-CFR-0012	11/15/2012	2/25/2013	<p><u>Allegation:</u> A tribe received an EPA grant to pay for the cleanup of live ordnance, but should not have been awarded the grant because the ordnances were sand-filled and not live.</p> <p><u>Resolution:</u> Due to strict security measures around an active military installation, the grant funds could not be used to study the extent of the cleanup necessary. The EPA reviewed the grant language and determined the funds could be used to study the cleanup of a lakebed instead. According to information received during the investigation, the unexploded ordnances were a mixture of both live and inert.</p>

OI-SA-2011-CFR-0008	10/15/2010	2/26/2013	<p><u>Allegation:</u> A contractor, which was hired to cleanup unexploded ordnances under an EPA Brownsfield grant, billed the government for costs in violation of the contract.</p> <p><u>Resolution:</u> It was determined the contract had conflicting information regarding the reimbursement of the costs. The case was declined citing (b) (5) [REDACTED]</p>
OI-AR-2012-CAC-0102	5/2/2012	2/28/2013	<p><u>Allegation:</u> Hazardous waste was illegally dumped by a transport company and the owner stated he would kill any EPA agent who responded to the scene.</p> <p><u>Resolution:</u> The investigation could not confirm that the owner threatened or meant to threaten the EPA or the EPA employees. The allegation regarding the illegal dumping of hazardous waste was referred to the EPA Criminal Investigation Division.</p>
OI-RTP-2012-CFR-0032	1/5/2012	3/1/2013	<p><u>Allegation:</u> A town submitted false paperwork as part of its application for an EPA-funded loan.</p> <p><u>Resolution:</u> Although the documents were inaccurate, they had no bearing on the outcome of the funding request and the loan would have still been provided. There was no loss to the government and the case was closed.</p>
2007-CS-0129	5/3/2007	3/4/2013	<p><u>Allegation:</u> A former state program employee, who received EPA funding, alleged he was wrongfully terminated because he would not mischarge his work time as directed by his supervisor.</p> <p><u>Resolution:</u> The state signed a settlement agreement and repaid \$107,014 to the EPA. In addition, the EPA will monitor the state more often and perform a strong level of review on all state programs that receive EPA funding.</p>
OI-DA-2012-CAC-0114	5/16/2012	3/7/2013	<p><u>Allegation:</u> An ARRA grantee and its contractors engaged in a scheme to submit false claims for payments.</p> <p><u>Resolution:</u> The investigation did not disclose evidence to support the allegations and the case was closed.</p>

2009-CS-0145	9/24/2009	3/8/2013	<p><u>Allegation:</u> A computer intrusion was detected on an EPA application development site.</p> <p><u>Resolution:</u> The investigation revealed attackers made 10,776 attempts to exploit vulnerability in 15 target systems. The inbound connection attempts were made from multiple Internet Protocol addresses that originated in Romania, the United Kingdom and the United States. Verification was obtained that no CBI or other sensitive data was available to the intruders on the compromised systems. The investigation failed to identify the individuals who penetrated the network and systems.</p>
2008-CS-0010	11/5/2007	3/8/2013	<p><u>Allegation:</u> An EPA employee was using EPA resources to support a personal business.</p> <p><u>Resolution:</u> This investigation substantiated the allegation that the employee used EPA office equipment while working in furtherance of two private business ventures. The employee failed to disclose the interest he and his wife held in one business on the Office of Government Ethics Form 450 (confidential financial disclosure report). The employee received a 30-day suspension without pay. The employee has been debarred from government contracts for 4 years. His wife and affiliated entities were debarred for 3 years.</p>
OI-HQ-2012-ADM-0199	9/19/2012	3/18/2013	<p><u>Allegation:</u> A company used ARRA funding to purchase foreign-made materials in violation of the Buy American provisions of ARRA.</p> <p><u>Resolution:</u> Records revealed that the company used \$57,730 in foreign-made materials, which represented approximately 2.2 percent of the company's total materials cost. The company exercised the de minimis use exception to the ARRA requirements and the EPA officials concurred that the use of the exception was appropriate.</p>
OC-KA-2011-CFR-1258	12/8/2010	3/19/2013	<p><u>Allegation:</u> An EPA employee allegedly received an \$8,000 discount, which was not available to the general public, on the purchase of a new vehicle.</p> <p><u>Resolution:</u> The joint EPA OIG and FBI investigation indicated that the employee did receive the non-public \$8,000 discount at issue; however, the investigation was declined for criminal prosecution. The employee retired from the EPA.</p>

OI-CI-2012-ADM-0096	4/24/2012	3/20/2013	<p><u>Allegation:</u> An EPA employee engaged in plagiarism regarding an EPA scientific study</p> <p><u>Resolution:</u> The investigation determined the employee was not involved in plagiarism regarding the specific manuscript and he followed all applicable protocols relating to the eventual publication of the manuscript. However, the employee failed to secure the required approval signatures from his branch chief and division director on eight separate occasions (b) (6), (b) (7)(C) [REDACTED] The EPA office made several process improvements affecting training, guidance, clear expectations and oversight in the area of research publication as a result of this investigation.</p>
OCI-AR-2011-CAC-2772	3/25/2011	3/21/2013	<p><u>Allegation:</u> Suspicious network logins to the EPA financial payment systems were occurring on several servers in (b) (7)(E) [REDACTED] The systems included a (b) (7)(E) [REDACTED]</p> <p>This incident had the potential to impact all the EPA financial systems and the physical security of environmental incident response and research facilities.</p> <p><u>Resolution:</u> The investigation was unable to substantiate the allegation. No conclusive evidence of criminal activity could be determined.</p>
OI-SE-2013-CAC-0004	10/18/2012	3/25/2013	<p><u>Allegation:</u> A company owner made threats towards a state inspector. Allegedly, the company owner stated that the inspector "needed to be introduced to Mr. Colt," referring to the Colt firearm that the company owner keeps in the glove box of his truck.</p> <p><u>Resolution:</u> During an interview, the owner made additional statements which caused concern and a referral was made to (b) (6), (b) (7)(C), (b) (7)(E) [REDACTED]</p> <p>(b) (5) [REDACTED]</p> <p>(b) (5) [REDACTED]</p> <p>(b) (5) [REDACTED] therefore, no prosecutive referral was made.</p>

2009-CS-0085	4/28/2009	3/25/2013	<p><u>Allegation:</u> Unknown EPA officials violated the civil rights of citizens by not performing required scientific testing; releasing potentially inaccurate written information to the public; and failing to provide honest services to the citizens in the cleanup.</p> <p><u>Resolution:</u> The EPA proceeded with the cleanup in violation of the Comprehensive Environmental Response, Compensation and Liability Act and performed remediation activities without conducting the required Baseline Risk Assessment. Although the EPA proposed (b) (5) the EPA and Office of Management and Budget officials made the decision to perform the cleanup without doing so. Criminal prosecution was declined because (b) (5)</p>
OI-AT-2013-CFR-0036	2/4/2013	3/27/2013	<p><u>Allegation:</u> A city official unfairly awarded a large contract to a vendor who failed to deliver the intended project that was allegedly funded by an EPA grant for \$5M.</p> <p><u>Resolution:</u> No information was located which showed that the EPA funded the city's program and the case was closed.</p>
OI-CH-2012-CFR-0132	6/20/2012	3/29/2013	<p><u>Allegation:</u> A local planning district received a \$609,000 grant under EPA's Brownfields Program and no work was completed. It was also alleged that the planning district's manager defrauded the EPA.</p> <p><u>Resolution:</u> The investigative steps did not disclose evidence to support the allegations and no indications of fraud were discovered. The investigation was closed.</p>

Duplicate records of the enclosures for Letter dated August 6, 2013 not included. See pages 51 – 75.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

DEC - 9 2013

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security
and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed are listings of closed investigations conducted by the U.S. Environmental Protection Agency, Office of Inspector General and the closed single audits performed by third parties on behalf of the OIG. The investigations and audits were closed between April 1, 2013, and September 30, 2013, and these audits and investigations were not disclosed to the public. We are providing these listings in response to your ongoing request for biannual reports of this information.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Thank you for your continued support of the work done by the inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, Counsel to the Inspector General, at (202) 566-2391. An identical letter is being provided to Senator Grassley.

Sincerely,

A handwritten signature in blue ink, which appears to read "Arthur A. Elkins Jr.", is positioned above the printed name.

Arthur A. Elkins Jr.

Enclosures

U.S. Environmental Protection Agency Office of Inspector General - Office of Audit
Reports of Single Audits Performed by Third Parties
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Report No.	Report Title	Date Closed
Single Audit Reports		
12-3-0494	Montgomery, Pennsylvania, Redevelopment Authority of the County of - FY 2010	Apr 4, 2013
12-3-0591	National Center for Manufacturing Sciences and Subsidiaries - FY 2011	Sep 26, 2013
12-3-0721	Canastota, New York, Village of - FY 2011	Jun 4, 2013
12-3-0748	Delaware, State of - FY 2011	Jun 25, 2013
12-3-0780	Hampton Roads Clean Cities Corporation, Virginia - FY 2011	May 2, 2013
12-3-0791	Sharpsville, Pennsylvania, Borough of - FY 2011	Apr 3, 2013
13-3-0001	Puerto Rico, Environmental Quality Board, Commonwealth of - FY 2011	Jun 28, 2013
13-3-0007	Pioneer Valley Planning Commission, Massachusetts - FY 2011	Aug 28, 2013
13-3-0008	Cabazon Band of Mission Indians, California - FY 2011	Jun 18, 2013
13-3-0013	Montana, State of - FY 2011	Apr 10, 2013
13-3-0014	American Samoa Power Authority, American Samoa - FY 2011	Jun 12, 2013
13-3-0015	Cleburne County Water District, Alabama - FY 2011	Jun 17, 2013
13-3-0033	Redevelopment Authority of the County of Montgomery, Pennsylvania - FY 2011	Jun 17, 2013
13-3-0050	Dewitt, New York, Town of - FY 2010	Jun 27, 2013
13-3-0056	Annapolis, Maryland, City of - FY 2011	Jul 9, 2013
13-3-0060	Orange County, California, Municipal Water District of - FY 2011	Jun 11, 2013
13-3-0063	Cahuilla Band of Indians, California - FY 2010	Jun 11, 2013
13-3-0064	Environmental Council of the States Inc. and Affiliates, DC - FY 2011	Sep 27, 2013
13-3-0072	Pine Lake, Georgia, City of - FY 2011	Jun 17, 2013
13-3-0079	Friends of the Cheat, West Virginia - FY 2011	Sep 27, 2013
13-3-0080	Native Village of Tununak, Alaska - FY 2009	Jun 18, 2013
13-3-0096	South Creek Township, Pennsylvania - FY 2010	Jul 2, 2013
13-3-0101	Chilkat Indian Village, Alaska - FY 2011	Jun 17, 2013
13-3-0115	Glades Utility Authority, Florida - FY 2010	Jun 24, 2013
13-3-0119	United States Virgin Islands - FY 2010	Sep 16, 2013
13-3-0122	Pacific Northwest Pollution Prevention Resource Center, Washington - FY 2011	May 21, 2013
13-3-0123	La Jolla Band of Luiseno Indians, California - FY 2011	Aug 6, 2013
13-3-0124	Pala Band of Mission Indians, California - FY 2011	Jul 25, 2013
13-3-0132	Cortina Indian Rancheria of Wintun Indians of California, California - FY 2011	Aug 19, 2013
13-3-0133	Hopi Tribe, Arizona - FY 2009	Aug 8, 2013
13-3-0135	Rapid City, South Dakota, City of - FY 2011	Jul 30, 2013
13-3-0136	Owner-Operator Independent Drivers Association Foundation Inc., Missouri - FY 2011	Apr 10, 2013
13-3-0137	Cold Springs Rancheria of Mono Indians, California - FY 2011	Aug 27, 2013
13-3-0146	Bishop Paiute Tribe, California - FY 2011	Aug 8, 2013
13-3-0149	Fort Bidwell Indian Community Council, California - FY 2011	Sep 26, 2013
13-3-0164	Nogales, Arizona, City of - FY 2011	Sep 19, 2013
13-3-0165	Pribilof Islands, Aleut Community of St. George Island, Alaska - FY 2006	Aug 26, 2013
13-3-0166	Southern Maine Regional Planning Commission, Maine - FY 2012	Aug 27, 2013
13-3-0174	Miami, Arizona, Town of - FY 2010	Sep 26, 2013
13-3-0179	Lewistown, Montana, City of - FY 2011	Sep 23, 2013
13-3-0180	Michigan Infrastructure & Transportation Association, Michigan - FY 2011	Jul 2, 2013
13-3-0183	Palau, National Government, Republic of - FY 2010	Jul 19, 2013
13-3-0184	King County, Washington - FY 2011	Aug 26, 2013
13-3-0186	Yomba Shoshone Tribe, Nevada - FY 2011	Sep 19, 2013
13-3-0187	Washington Terrace, Utah, City of - FY 2012	Jul 8, 2013
13-3-0210	The Nature Conservancy, Virginia - FY 2012	Apr 12, 2013
13-3-0211	Montevallo, Alabama, The Water Works & Sewer Board of the City of - FY 2012	Apr 12, 2013
13-3-0212	Port Allen, Louisiana, City of - FY 2012	Apr 12, 2013
13-3-0213	Oklahoma City, Oklahoma, City of - FY 2012	Apr 12, 2013

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13-3-0214	Washington College, Maryland - FY 2012	Sep 25, 2013
13-3-0215	Barnum, Minnesota, City of - FY 2011	Apr 12, 2013
13-3-0216	Harrington, Delaware, City of - FY 2011	Sep 18, 2013
13-3-0217	Belle Vernon, Pennsylvania, Municipal Authority of the Borough of - FY 2011	Apr 12, 2013
13-3-0218	Millboro, Delaware, Town of - FY 2012	Apr 12, 2013
13-3-0219	St. Johns, Arizona, City of - FY 2012	Apr 12, 2013
13-3-0222	Laurel, Mississippi, City of - FY 2012	Apr 23, 2013
13-3-0223	Moberly, Missouri, City of - FY 2011	Apr 24, 2013
13-3-0224	Verdigre, Nebraska, Village of - FY 2011	Apr 24, 2013
13-3-0225	Houston, Missouri, City of - FY 2011	Apr 24, 2013
13-3-0226	Osage County, Missouri, Public Water Supply District No. 3 of - FY 2011	Apr 24, 2013
13-3-0228	Ashland, Kentucky, City of - FY 2012	Apr 29, 2013
13-3-0229	Goodlettsville, Tennessee, City of - FY 2012	Apr 29, 2013
13-3-0230	Wilmington, Delaware, City of - FY 2012	Apr 29, 2013
13-3-0231	Mansfield, Louisiana, City of - FY 2011	Apr 29, 2013
13-3-0232	San Juan County, Washington - FY 2011	Apr 29, 2013
13-3-0233	Olivenhain Municipal Water District, California - FY 2012	Apr 29, 2013
13-3-0234	California, University of - FY 2012	Apr 29, 2013
13-3-0235	Woonsocket, South Dakota, Municipality of - FY 2011	May 2, 2013
13-3-0236	Confederated Tribes of the Goshute Reservation, Utah - FY 2010	May 2, 2013
13-3-0237	York, Nebraska, City of - FY 2011	May 2, 2013
13-3-0238	Park County, Wyoming - FY 2012	May 6, 2013
13-3-0239	Bear River Regional Joint Powers Board, Wyoming - FY 2011	May 6, 2013
13-3-0240	Scott's Run Public Service District, West Virginia - FY 2011	May 6, 2013
13-3-0241	Maddock, North Dakota, City of - FY 2012	May 6, 2013
13-3-0242	Superior, Montana, City of - FY 2012	May 6, 2013
13-3-0243	Ute Indian Tribe, Utah - FY 2011	May 6, 2013
13-3-0244	Robinson Rancheria Band of Pomo Indians, California - FY 2010	May 6, 2013
13-3-0245	Santa Nella County Water District, California - FY 2012	May 6, 2013
13-3-0246	Kingman, Arizona, City of - FY 2011	May 6, 2013
13-3-0247	Cook Endeavors DBA Fruitridge Vista Water Company, California - FY 2011	May 6, 2013
13-3-0248	Butte-Silver Bow, Montana, City & County - FY 2012	May 7, 2013
13-3-0250	Timbisha Shoshone Tribe, California - FY 2008	May 7, 2013
13-3-0251	Skagway, Alaska, Municipality of - FY 2012	May 7, 2013
13-3-0253	Colorado, State of - FY 2012	Jun 6, 2013
13-3-0254	Tehachapi, California, City of - FY 2012	May 10, 2013
13-3-0255	Arizona, State of - FY 2012	May 13, 2013
13-3-0256	Florida, State of - FY 2012	Jun 17, 2013
13-3-0258	Maui, Hawaii, County of - FY 2012	May 14, 2013
13-3-0259	Big Valley Rancheria Band of Pomo Indians, California - FY 2010	May 14, 2013
13-3-0260	Kentucky Infrastructure Authority, Kentucky - FY 2012	Jul 18, 2013
13-3-0263	Nevada, State of - FY 2012	Jun 12, 2013
13-3-0265	Delaware, State of - FY 2012	Sep 27, 2013
13-3-0266	North Carolina, State of - FY 2012	Sep 3, 2013
13-3-0274	New Jersey, State of - FY 2012	Jul 25, 2013
13-3-0276	Washington, State of - FY 2012	Sep 11, 2013
13-3-0277	Wisconsin, State of - FY 2012	Jun 7, 2013
13-3-0279	Davenport Iowa, City of - FY 2012	Jun 7, 2013
13-3-0280	Ottumwa, Iowa, City of - FY 2012	Jun 7, 2013
13-3-0281	Washington Iowa, City of - FY 2012	Jun 7, 2013
13-3-0282	Upper Explorerland Regional Planning Commission, Iowa - FY 2012	Aug 20, 2013
13-3-0283	Albion, Nebraska, City of - FY 2012	Jun 7, 2013
13-3-0284	Coralville, Iowa, City of - FY 2012	Jun 7, 2013

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13-3-0285	Ogallala, Nebraska, City of - FY 2012	Jun 7, 2013
13-3-0286	Uniontown, Washington, Town of - FY 2010	Jun 7, 2013
13-3-0288	Clackamas County, Oregon - FY 2012	Jun 10, 2013
13-3-0289	Clay Rural Water Systems, South Dakota - FY 2012	Jun 10, 2013
13-3-0290	North Dakota Stockmen's Association, North Dakota - FY 2012	Jun 10, 2013
13-3-0292	Winslow, Arizona, City of - FY 2012	Jun 11, 2013
13-3-0295	Coeur d'Alene, Idaho, City of - FY 2012	Jun 11, 2013
13-3-0301	Idaho, State of - FY 2012	Sep 4, 2013
13-3-0302	Beatty Water and Sanitation District, Nevada - FY 2012	Jun 25, 2013
13-3-0303	Galena, Alaska, City of - FY 2012	Jun 25, 2013
13-3-0304	Ouzinkie, Alaska, Native Village of - FY 2012	Sep 3, 2013
13-3-0305	Boise, Idaho, City of - FY 2012	Jun 25, 2013
13-3-0306	Gold Beach, Oregon, City of - FY 2012	Jun 25, 2013
13-3-0310	Taos, New Mexico, City of - FY 2012	Jul 3, 2013
13-3-0311	Houston, Texas, City of - FY 2012	Jul 3, 2013
13-3-0313	Donaldsonville, Louisiana, City of - FY 2012	Jul 8, 2013
13-3-0314	Harris County Municipal Utility District No. 148, Texas - FY 2012	Jul 9, 2013
13-3-0315	Mandeville, Louisiana, City of - FY 2012	Jul 9, 2013
13-3-0316	St. Francisville, Texas, Town of - FY 2012	Jul 9, 2013
13-3-0318	Westlake, Louisiana, City of - FY 2012	Jul 18, 2013
13-3-0319	Bonham, Texas, City of - FY 2012	Jul 18, 2013
13-3-0322	Redway Community Services District, California - FY 2012	Jul 22, 2013
13-3-0323	Galveston, Texas, City of - FY 2012	Jul 22, 2013
13-3-0324	Killeen, Texas, City of - FY 2012	Jul 22, 2013
13-3-0325	Nacogdoches, Texas, City of - FY 2012	Jul 22, 2013
13-3-0326	Livonia, Louisiana, Town of - FY 2012	Jul 22, 2013
13-3-0327	Plaquemine, Louisiana, City of - FY 2012	Jul 22, 2013
13-3-0328	Monroe, Louisiana, City of - FY 2012	Jul 23, 2013
13-3-0329	Leesville, Louisiana, City of - FY 2012	Jul 23, 2013
13-3-0330	Ward Two Water District of Livingston Parish, Louisiana - FY 2012	Jul 23, 2013
13-3-0331	Alabama-Quassarte Tribal Town, Oklahoma - FY 2012	Jul 23, 2013
13-3-0332	Robert Lee, Texas, City of - FY 2012	Jul 23, 2013
13-3-0333	Springtown, Texas, City of - FY 2012	Jul 23, 2013
13-3-0334	Grace Hill Settlement House, Missouri - FY 2011	Jul 24, 2013
13-3-0335	Ohio, State of - FY 2012	Aug 2, 2013
13-3-0336	Lamar County Water Supply District, Texas - FY 2012	Jul 25, 2013
13-3-0338	Fort Dodge, Iowa, City of - FY 2012	Aug 1, 2013
13-3-0339	Sac City, Iowa, City of - FY 2012	Aug 1, 2013
13-3-0340	Picuris Pueblo, New Mexico - FY 2009	Aug 2, 2013
13-3-0343	Erwin Town, Tennessee - FY 2012	Sep 19, 2013
13-3-0344	Proctor Town, Vermont - FY 2012	Aug 8, 2013
13-3-0345	Redlands, California, City of - FY 2012	Aug 12, 2013
13-3-0346	Nogales, Arizona, City of - FY 2012	Sep 19, 2013
13-3-0347	Lower Elwha Klallam Tribe, Washington - FY 2012	Aug 12, 2013
13-3-0348	St. Mary's, Alaska, City of - FY 2011	Aug 12, 2013
13-3-0354	Illinois, State of - FY 2012	Sep 3, 2013
13-3-0357	Oakdale, Louisiana, City of - FY 2011	Aug 22, 2013
13-3-0358	LaCrosse, Kansas, City of - FY 2012	Aug 22, 2013
13-3-0365	Newport Borough Water Authority, Pennsylvania - FY 2011	Aug 28, 2013
13-3-0368	El Dorado, Kansas, City of - FY 2012	Aug 30, 2013
13-3-0375	Crow Creek Sioux Tribe, South Dakota - FY 2011	Sep 5, 2013
13-3-0376	Hughson, California, City of - FY 2012	Sep 5, 2013
13-3-0377	Lemoore, California, City of - FY 2012	Sep 6, 2013

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Reports of Single Audits Performed by Third Parties
Issued April 1, 2013 – September 30, 2013
Not Available to the Public

13-3-0378	Clark County, Nevada - FY 2012	Sep 6, 2013
13-3-0379	Vacaville, California, City of - FY 2012	Sep 6, 2013
13-3-0380	Eagar, Arizona, Town of - FY 2012	Sep 6, 2013
13-3-0381	Houston, Missouri, City of - FY 2012	Sep 6, 2013
13-3-0382	Marin Municipal Water District, California - FY 2012	Sep 9, 2013
13-3-0384	Ulster Municipal Authority, Pennsylvania - FY 2010	Sep 9, 2013
13-3-0385	Raymore, Missouri, City of - FY 2012	Sep 9, 2013
13-3-0388	Rubidoux Community Services District, California - FY 2012	Sep 9, 2013
13-3-0390	Moorcroft, Wyoming, Town of - FY 2012	Sep 9, 2013
13-3-0391	Flint Hills Resource Conservation and Development Area Inc., Kansas - FY 2012	Sep 9, 2013
13-3-0392	Hildalgo Municipal Utility District No. One, Texas - FY 2012	Sep 9, 2013
13-3-0394	Portland, Tennessee, City of - FY 2012	Sep 11, 2013
13-3-0395	Charles Town Utility Board, West Virginia - FY 2012	Sep 11, 2013
13-3-0396	Winchester, Kentucky, City of - FY 2012	Sep 11, 2013
13-3-0397	Homer, Alaska, City of - FY 2012	Sep 13, 2013
13-3-0399	Aurora, Nebraska, City of - FY 2012	Sep 17, 2013
13-3-0400	Blair, Nebraska, City of - FY 2012	Sep 17, 2013
13-3-0402	Humphrey, Nebraska, City of - FY 2012	Sep 17, 2013
13-3-0403	Osceola, Nebraska, City of - FY 2012	Sep 17, 2013
13-3-0404	Schoolcraft, Michigan, County of - FY 2012	Sep 18, 2013
13-3-0405	Lenawee Conservation District, Michigan - FY 2012	Sep 17, 2013
13-3-0406	Van Buren, Michigan, County of - FY 2012	Sep 18, 2013
13-3-0407	Bois Forte Band of Chippewa, Minnesota - FY 2012	Sep 18, 2013
13-3-0408	Dover, Delaware, City of - FY 2012	Sep 17, 2013
13-3-0409	Fairmont, West Virginia, City of - FY 2012	Sep 17, 2013
13-3-0410	Huntington, West Virginia, City of - FY 2012	Sep 17, 2013
13-3-0411	DuPage County, Illinois, Water and Sewerage System of - FY 2012	Sep 23, 2013
13-3-0412	Bay-Lake Regional Planning Commission, Wisconsin - FY 2012	Sep 25, 2013
13-3-0415	Stevens Point, Wisconsin, City of - FY 2012	Sep 23, 2013
13-3-0416	Strum, Wisconsin, Village of - FY 2012	Sep 23, 2013
13-3-0417	Dover Eyota St. Charles Area Sanitary District, Minnesota - FY 2012	Sep 23, 2013
13-3-0418	Fairfax, Minnesota, City of - FY 2012	Sep 23, 2013
13-3-0419	Fosston, Minnesota, City of - FY 2012	Sep 23, 2013
13-3-0420	Lester Prairie, Minnesota, City of - FY 2012	Sep 23, 2013
13-3-0421	McIntosh, Minnesota, City of - FY 2012	Sep 23, 2013
13-3-0422	Wheaton, Minnesota, City of - FY 2012	Sep 24, 2013
13-3-0423	Twin City Water & Sewer District, Ohio - FY 2012	Sep 24, 2013
13-3-0424	Campbellsport, Wisconsin, Village of - FY 2012	Sep 24, 2013
13-3-0425	Litchfield, Minnesota, City of - FY 2012	Sep 24, 2013
13-3-0426	Ottawa County Public Utilities System, Michigan - FY 2012	Sep 24, 2013
13-3-0427	Mecosta, Michigan, County of - FY 2012	Sep 25, 2013
13-3-0428	Benson, Michigan, City of - FY 2012	Sep 24, 2013
13-3-0429	Jackson, Minnesota, City of - FY 2012	Sep 24, 2013

Report No.	Report Title	Date Closed
Grants and Contracts Reports		
13-4-0262	Agreed-Upon Procedures Applied to Proposal Submitted by Booz Allen Hamilton, Inc	May 22, 2013
13-4-0296	Labor-Charging Practices at the New Mexico Environment Department	Jun 17, 2013

U.S. Environmental Protection Agency Office of Inspector General
List of Closed Investigations
April 1, 2013 – September 30, 2013

CASE NUMBER	DATE OPEN	DATE CLOSED	<u>ALLEGATION AND RESOLUTION</u>
<u>OCI-AR-2012-CAC-0014</u>	11/1/2011	9/12/2013	<p><u>Allegation:</u> An unknown individual(s) gained physical access to the EPA computer network closets and utilized network resources without proper authorization.</p> <p><u>Resolution:</u> The investigation substantiated the allegations but failed to identify the individual(s) who gained unauthorized access to EPA's networks. This unauthorized activity has stopped, and all leads have been exhausted.</p>
<u>OCI-AR-2012-CAC-0035</u>	1/18/2012	4/16/2013	<p><u>Allegation:</u> EPA user accounts were compromised by the users navigating to foreign websites.</p> <p><u>Resolution:</u> An investigation determined that this was state-sponsored activity and the case was referred to the FBI.</p>
<u>OCI-AR-2012-CAC-0037</u>	1/18/2012	4/16/2013	<p><u>Allegation:</u> An EPA computer system was compromised by Focused Operations.</p> <p><u>Resolution:</u> An investigation determined that this was state-sponsored activity and the case was referred to the FBI.</p>
<u>OCI-AR-2012-CAC-0038</u>	1/18/2012	4/16/2013	<p><u>Allegation:</u> An EPA computer system was compromised by Focused Operations.</p> <p><u>Resolution:</u> An investigation determined that this was state-sponsored activity and the case was referred to the FBI.</p>

<u>OCI-AR-2012-CAC-0086</u>	4/11/2012	4/23/2013	<p><u>Allegation:</u> Numerous EPA computer systems were targeted and compromised by unknown individuals who programmed the compromised computers to communicate with known malicious websites.</p> <p><u>Resolution:</u> Access to the EPA network was gained (b) (7)(E) which installed malware. The malware was removed and the network was secured. There was no indication that data was removed and there were no reports of significant impacts to the agency. It was not possible to determine the perpetrator's identity.</p>
<u>OCI-AR-2012-CAC-0147</u>	7/25/2012	4/22/2013	<p><u>Allegation:</u> An unknown individual breached an EPA regional server and made unauthorized changes.</p> <p><u>Resolution:</u> The investigation determined that an EPA contractor inadvertently changed background settings. There was no criminal violation or compromise of EPA systems and the case was closed.</p>
<u>OCI-AR-2012-CAC-0153</u>	7/30/2012	8/2/2013	<p><u>Allegation:</u> Numerous EPA computer systems were targeted and compromised by unknown individuals. The compromised computers were communicating to known malicious websites. Personally identifiable information (PII) was resident on one of the compromised servers, and it was feared the PII could have been compromised.</p> <p><u>Resolution:</u> The EPA notified everyone who had PII in the system and offered credit monitoring services. After more than a year, none of the affected 2,400 employees reported any anomalies, suspect transactions or information on their credit report. There is no evidence that data left EPA systems and this advanced persistent threat was referred to the FBI.</p>
<u>OCI-HQ-2011-CAC-1229</u>	12/6/2010	5/31/2013	<p><u>Allegation:</u> Malicious key logger traffic was detected that contained EPA domain information and email pertaining to an EPA contractor.</p> <p><u>Resolution:</u> Forensic analysis was unable to identify any suspects or additional investigative leads, and this case was closed.</p>

<u>OCI-RTP-2011-ADM-2847</u>	8/24/2011	4/9/2013	<p><u>Allegation:</u> A contractor employee was suspected of possessing and maintaining illegally obtained copyrighted software on EPA servers for unknown purposes.</p> <p><u>Resolution:</u> No evidence of criminal activity was found, and the contractor employee was counseled by his superiors in regards to the possession of the files.</p>
<u>OCI-RTP-2012-CAC-0062</u>	2/24/2012	8/15/2013	<p><u>Allegation:</u> EPA systems had been compromised at the (b) (7)(E) systems. The systems then beamed to a foreign-control host.</p> <p><u>Resolution:</u> The systems affected were remediated and no damage was discovered to the EPA network. An investigation determined that this was state-sponsored activity and the case was referred to the FBI.</p>
<u>OC-KA-2010-ADM-0265</u>	4/23/2010	9/23/2013	<p><u>Allegation:</u> An EPA employee alleged that his GS-15 supervisor asked him to lie in support of allegations against another employee.</p> <p><u>Resolution:</u> The allegation was unsubstantiated and the case was closed.</p>
<u>OI-AR-2011-CFR-2696</u>	1/20/2011	9/5/2013	<p><u>Allegation:</u> A company may have received multiple small business innovation research awards for the same work products.</p> <p><u>Resolution:</u> The investigation determined there was "no significant overlap" in these products.</p>
<u>OI-AR-2012-CAC-0060</u>	2/22/2012	9/23/2013	<p><u>Allegation:</u> An EPA supervisor alleged that an employee made a false statement in a letter.</p> <p><u>Resolution:</u> The investigation determined that the employee made inconsistent and inaccurate statements in the letter. However, no action was taken because the employee retired.</p>
<u>OI-AR-2012-CAC-0186</u>	9/14/2012	9/3/2013	<p><u>Allegation:</u> A contractor used undocumented workers on a federally funded American Reinvestment and Recovery Act (ARRA) project.</p> <p><u>Resolution:</u> Based on the investigation, allegations of criminal activity regarding the undocumented employees could not be substantiated and the case was closed.</p>

<u>OI-AR-2012-CAC-0191</u>	9/18/2012	9/3/2013	<p><u>Allegation:</u> A company used undocumented workers on a federally funded ARRA project and violated the Buy American Act.</p> <p><u>Resolution:</u> Based on the investigation, criminal allegations regarding Buy American violation were disproven. Allegations regarding undocumented employees could not be substantiated.</p>
<u>OI-AR-2012-CAC-0198</u>	9/19/2012	9/3/2013	<p><u>Allegation:</u> A company used undocumented workers on a federally funded ARRA project and violated the Buy American Act.</p> <p><u>Resolution:</u> Based on the investigation, criminal allegations regarding Buy American violation were disproven. Allegations regarding undocumented employees could not be substantiated.</p>
<u>OI-AT-2012-CFR-0165</u>	8/14/2012	5/6/2013	<p><u>Allegation:</u> A contractor wrongly submitted requests for reimbursement for projects that were not completed. The city's project engineer certified and recommended payment be made for these projects knowing the work elements had not been completed.</p> <p><u>Resolution:</u> Criminal prosecution was declined due to (b) (5)</p> <p>Civil action was declined due to (b) (5)</p> <p>A recovery was further complicated by the city managers not appropriately supervising the contract and knowingly making payments not due under the contract.</p>
<u>OI-AT-2013-ADM-0088</u>	5/28/2013	9/3/2013	<p><u>Allegation:</u> An employee allegedly committed time card fraud.</p> <p><u>Resolution:</u> The investigation determined that the allegation was unsubstantiated. However, numerous deviations from the regional order governing the flexible work schedule were observed within a specific office. Based on information provided during the investigation, the office strengthened its practices on flexible work schedules.</p>

OI-AT-2013-CAC-0076	4/29/2013	9/3/2013	<p><u>Allegation:</u> A company wrongly affixed the EPA agency identifier logo to their website and advertisements.</p> <p><u>Resolution:</u> The company was sent a cease-and-desist letter. Subsequent review of the website verified that the logo had been removed.</p>
OI-AT-2013-CAC-0106	6/27/2013	7/11/2013	<p><u>Allegation:</u> An EPA employee's laptop computer was stolen from a relative's home.</p> <p><u>Resolution:</u> A local police department responded to a burglary in progress. Upon arriving, they observed a stolen vehicle and observed damage to the ignition and a laptop computer along with other items on the vehicle's floor. The suspect of this case is a juvenile and was prosecuted locally. The computer was returned to EPA.</p>
OI-BO-2013-CAC-0109	7/2/2013	8/1/2013	<p><u>Allegation:</u> An individual made a series of unwanted actions and deeds against an EPA contract employee. The individual is the employee's former boyfriend.</p> <p><u>Resolution:</u> The investigation revealed that the individual did not make any threats to the employee; however, a bar notice and no trespass order have been issued barring the individual from the EPA facility.</p>
OI-CI-2012-CFR-0184	9/12/2012	6/24/2013	<p><u>Allegation:</u> A sewer district certified and submitted false payment request forms and wrongfully received federal funding for non-eligible project costs.</p> <p><u>Resolution:</u> The investigation determined the reimbursement requests did not represent false claims and all costs were eligible for reimbursement.</p>

<u>OI-CR-2010-CFR-0335</u>	7/27/2010	6/13/2013	<p><u>Allegation:</u> A conflict of interest existed between EPA and a subcontractor. It was also alleged that EPA provided technical direction to the subcontractor without including the prime contractor; and that EPA released sensitive procurement information to the subcontractor.</p> <p><u>Resolution:</u> The investigation did not suggest an improper relationship existed between EPA and the subcontractor. The investigation did identify instances when EPA personnel had given technical direction to the subcontractor without including the prime contractor; however, upon review by the EPA contracting officer, no ratifications for unauthorized obligations were required. The investigation confirmed one instance in which a subcontractor's employee entered the office of an EPA employee and could have seen information written on a board behind the employee's desk. According to that EPA employee, there was no sensitive contract information on the board. The investigation did not develop sufficient information that any crime occurred.</p>
<u>OI-DA-2010-CFR-0318</u>	7/16/2010	5/13/2013	<p><u>Allegation:</u> A city violated EPA regulations and OMB Circular A-87 in their management of ARRA grant funds by spending \$327,000 in unnecessary financial advisory fees and \$357,000 in bond counsel fees. These fees are unallowable, unassignable, unreasonable and unnecessary.</p> <p><u>Resolution:</u> This case was declined for prosecution, because (b) (5) [REDACTED].</p>
<u>OI-DA-2011-CFR-2792</u>	5/5/2011	5/15/2013	<p><u>Allegation:</u> A company overcharged and double-billed potentially 100 cities for engineering services related to ARRA projects. A company vice president asked his staff to falsify credit card records and to use false military records. In addition, there were allegations for bid-rigging and violations of the Buy American Act.</p> <p><u>Resolution:</u> The case regarding double-billing and overcharging was declined for prosecution based upon (b) (5) [REDACTED]. The allegations of bid-rigging were not substantiated. The Buy American Act allegations were refuted by EPA officials, since products were later declared substantially transformed.</p>

<u>OI-DA-2013-ADM-0021</u>	12/17/2012	6/6/2013	<p><u>Allegation:</u> Cleanup activities did not meet the remedial goal identified in the Record of Decision (ROD). Among other things, it was alleged there had been a failure to remove all contaminated source material, including contaminated transition zone material.</p> <p><u>Resolution:</u> All allegations of fraud were disproven; however, investigative sampling conducted at the site revealed that high levels of zinc and cadmium contamination existed, which were well above the remedial goals specified by the ROD. A summary of findings was provided to EPA officials who intend to further review the matter and determine their course of action to properly address the contamination.</p>
<u>OI-DA-2013-CAC-0102</u>	6/20/2013	8/29/2013	<p><u>Allegation:</u> Approximately 400-500 promotional gift flashlights worth approximately \$1,000 were stolen from an EPA workstation.</p> <p><u>Resolution:</u> The investigation was unable to determine the identity of the subject and the case was closed.</p>
<u>OI-DE-2011-ADM-2815</u>	6/17/2011	4/29/2013	<p><u>Allegation:</u> An employee allegedly committed time-and-attendance fraud.</p> <p><u>Resolution:</u> The investigation determined there were errors made by the administrative assistant responsible for inputting the employee's time-and-attendance information and that no fraud was committed.</p>
<u>OI-DE-2013-CFR-0032</u>	1/31/2013	9/2/2013	<p><u>Allegation:</u> A government contractor did not pay their subcontractors for work performed at an EPA Superfund site.</p> <p><u>Resolution:</u> The complaint of nonpayment was a business dispute between the parties and there was no indication of fraud or other criminal activity that was subject to the jurisdiction of the OIG.</p>

<u>OI-HQ-2012-ADM-0058</u>	2/22/2012	9/23/2013	<p><u>Allegation:</u> An EPA supervisor allegedly made false statements on an employee's 2011 Performance Appraisal Report and also admonished the employee for using the EPA grievance procedure, causing the employee to receive a lower performance rating.</p> <p><u>Resolution:</u> During the investigation, neither the employee nor the supervisor were able to identify any statements in the employee's Performance Appraisal Report that showed any ratings that were negatively impacted because of the employee's use of the grievance process. In addition, there was no evidence to show that false statements had been made by the supervisor.</p>
<u>OI-HQ-2012-ADM-0134</u>	6/28/2012	7/10/2013	<p><u>Allegations:</u> (b) (6), (b) (7)(C) allegedly attempted to improperly influence the U.S. Attorney's Office to alter its decision to prosecute an environmental crime.</p> <p><u>Resolution:</u> Based on the investigation, the allegation was unsubstantiated.</p>
<u>OI-HQ-2012-ADM-0201</u>	9/19/2012	9/10/2013	<p><u>Allegation:</u> A contractor used undocumented workers on a federally funded ARRA project.</p> <p><u>Resolution:</u> Based on the investigation, criminal allegations regarding undocumented employees could not be substantiated.</p>
<u>OI-HQ-2012-CAC-0205</u>	9/21/2012	4/10/2013	<p><u>Allegation:</u> A company sold water filter pumps for an ARRA project that was not compliant with the Buy American Act.</p> <p><u>Resolution:</u> The allegations were substantiated in three instances; however, criminal and /or civil prosecution was declined based on (b) (5) [REDACTED].</p>
<u>OI-HQ-2013-ADM-0026</u>	1/17/2013	9/9/2013	<p><u>Allegation:</u> An EPA manager made false statements to OIG auditors and the manager engaged in ethics violations when her former employer was awarded an EPA contract.</p> <p><u>Resolution:</u> The investigation disclosed both allegations were unsubstantiated.</p>

<u>OI-HQ-2013-CAC-0023</u>	1/8/2013	7/10/2013	<p><u>Allegation:</u> EPA has a "Consent for Access to Property" agreement with (b) (6), (b) (7)(C) a superfund cleanup site. Cleanup contractors were threatened by the individual, who was carrying a firearm. In the past, the individual has made threats to EPA employees, specifically, that he would kill EPA employees if they came on his property.</p> <p><u>Resolution:</u> There is a credible risk to EPA employees and contractors while working at the superfund site. The investigation determined the individual is (b) (6), (b) (7)(C). The case was declined for prosecution, because (b) (5). EPA employees are working with state and local law enforcement to provide security at the superfund site while work continues.</p>
<u>OI-HQ-2013-CAC-0091</u>	5/31/2013	9/16/2013	<p><u>Allegation:</u> An EPA website server was compromised.</p> <p><u>Resolution:</u> Unsuccessful attempts were made to identify the perpetrators and the case was closed.</p>
<u>OI-NE-2011-CFR-2808</u>	6/3/2011	4/12/2013	<p><u>Allegation:</u> During an OIG audit, several potential violations of the Davis-Bacon Act were noted in which the contractor provided individual employees with cash payments due to "employee banking access issues." In addition, a comparison of payroll journals, along with the employee's Employment Eligibility Verification Forms, identified discrepancies with the signature of three employees.</p> <p><u>Resolution:</u> Investigation determined there were no violations against the Davis-Bacon Act and no other fraudulent activity occurred on this project.</p>
<u>OI-NE-2013-ADM-0098</u>	6/14/2013	7/31/2013	<p><u>Allegation:</u> Information was received that a counterfeit EPA CID badge was for sale on eBay.</p> <p><u>Resolution:</u> The allegation was proven. The OIG's Office of Counsel took steps to halt the future sale of this item on eBay. Due to the fact that the auction had ended, and there were no bidders, and the seller was in a foreign country, no further action could be taken in this matter.</p>

OI-PH-2012-ADM-0112	5/9/2012	7/31/2013	<p><u>Allegation:</u> An EPA employee, who was working at an alternate location, allegedly did not return telephone calls or emails in a timely manner and the office management allegedly did not know what the employee was working on.</p> <p><u>Resolution:</u> The investigation determined that the employee had been excused from coming into the office for (b) (6), (b) (7)(C) and that work performance had been satisfactory in the past. Employee's management is taking action concerning the recent poor performance. Subsequent to being interviewed by the OIG, the employee went on extended (b) (6), (b) (7)(C) and retired from EPA. The investigation was unable to substantiate the allegations.</p>
OI-RTP-2013-CFR-0052	3/7/2013	9/12/2013	<p><u>Allegation:</u> A contractor used undocumented workers on a federally funded ARRA project.</p> <p><u>Resolution:</u> Based on the investigation, criminal allegations regarding undocumented employees could not be substantiated.</p>
OI-SA-2012-CAC-0055	2/17/2012	4/22/2013	<p><u>Allegation:</u> An EPA employee claimed that an unknown person forged the signature on the 2011 Performance Plan and also allegedly made false statements to secure an extended period of leave from EPA.</p> <p><u>Resolution:</u> The investigation was unable to determine the identity of the person who signed the 2011 Performance Plan Coversheet and could not substantiate any false statements made to secure leave. Due to these findings, as well as the employee's resignation for unrelated reasons, this investigation was closed.</p>
OI-SA-2013-ADM-0025	1/17/2013	5/15/2013	<p><u>Allegation:</u> For many years, two EPA employees allegedly falsified their time-and-attendance records by coming into work late and exercising for long periods of time during the work day.</p> <p><u>Resolution:</u> The investigation did not find any evidence to substantiate the allegations, but both employees were counseled on time-and-attendance policies. All regional employees were sent an email reminding them of the proper timekeeping practices.</p>

<u>OI-SA-2013-CAC-0005</u>	10/19/2012	4/12/2013	<p><u>Allegation:</u> An EPA employee's residence was burglarized, and an EPA laptop computer and other personal items were stolen from the residence.</p> <p><u>Resolution:</u> The laptop did not contain any sensitive information. All possible leads were pursued, and no subject was identified. Pending the receipt of additional information, this case was closed. Details concerning the theft of the laptop have been entered into the National Crime Information Center database.</p>
<u>OI-SE-2012-CFR-0054</u>	2/17/2012	7/17/2013	<p><u>Allegation:</u> A tribal director was misspending EPA funds and EPA program deliverables were not being completed.</p> <p><u>Resolution:</u> Based on interviews and field work, the allegation that the director misused EPA grant funds was unsubstantiated and there was no evidence of criminal activity.</p>
<u>OI-SE-2013-CAC-0100</u>	6/17/2013	6/27/2013	<p><u>Allegation:</u> An EPA employee received numerous harassing telephone calls from a named individual.</p> <p><u>Resolution:</u> Upon reviewing the voicemails, no threats were identified. A security notification explaining the situation was prepared and disseminated to EPA employees in the effected location.</p>
<u>OI-SE-2013-CFR-0051</u>	3/6/2013	5/28/2013	<p><u>Allegation:</u> A construction company did a "bait and switch" on an EPA-funded project. The construction company submitted a proposal to use a Disadvantaged Business Enterprise (DBE) as a subcontractor. They were awarded the contract and submitted invoices claiming the DBE performed the work, when they performed the work themselves or used another company who did not have proper licensing and was not a DBE.</p> <p><u>Resolution:</u> The investigation determined that no false invoices were submitted by the construction company. The programmatic conditions and DBE contract goals were addressed at the local level and this case was closed.</p>

OI-WI-2012-CFR-0052	2/14/2012	5/28/2013	<p><u>Allegation:</u> A contractor overbilled the government \$100,000 on several delivery orders based on unapproved escalation rates.</p> <p><u>Resolution:</u> When the subject delivery orders were submitted, the contractor included escalation in each of the out year rates, thinking that it could successfully have that escalation added to its GSA rates. GSA did not approve the escalated rates. As a result, the contractor repaid EPA a total of \$91,705 for the overbilling of EPA work orders under the GSA contract. The contractor is fully cooperating with the EPA, and no criminal activity was discovered.</p>
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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

DEC - 9 2013

THE INSPECTOR GENERAL

The Honorable Charles Grassley
Committee on Finance
United States Senate
Washington, D.C. 20510

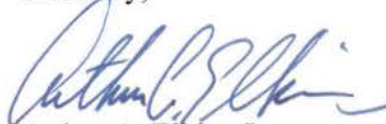
Dear Senator Grassley:

Enclosed are listings of closed investigations conducted by the U.S. Environmental Protection Agency, Office of Inspector General and the closed single audits performed by third parties on behalf of the OIG. The investigations and audits were closed between April 1, 2013, and September 30, 2013, and these audits and investigations were not disclosed to the public. We are providing these listings in response to your ongoing request for biannual reports of this information.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Thank you for your continued support of the work done by the inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, Counsel to the Inspector General, at (202) 566-2391. An identical letter is being provided to Senator Coburn.

Sincerely,



Arthur A. Elkins Jr.

Enclosures

Duplicate records of the enclosures for Letter dated December 9, 2013 not included. See pages 78 – 93.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JUN 23 2014

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security
and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed please find a listing of closed investigations conducted by the U.S. Environmental Protection Agency (EPA), Office of Inspector General (OIG), and a listing of closed single audits performed by third parties on behalf of the OIG. We are providing these listings in response to your ongoing request for biannual reports of this information. The investigations and audits were closed between October 1, 2013, and March 31, 2014. These audits and investigations were not disclosed to the public.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Your request also requires that we list any instances in which the agency resisted or objected to oversight activities, or restricted the OIG's access to information. As reported in our most recent semiannual report to Congress, the EPA has impeded the OIG's access to information. In July 2013, the Inspector General asked EPA senior leadership to issue a new all-hands memorandum stressing the need for employees to cooperate with the OIG. To date, a new memorandum has not been issued. In addition, the following instances of the agency's failure to cooperate with the OIG recently occurred:

- The OIG encountered resistance and a lack of cooperation when making numerous attempts to work collaboratively with the EPA's Office of Homeland Security on matters of mutual concern, such as employee misconduct, and threats and intrusions into the EPA's computer networks and systems.
- During fiscal years 2012 and 2013 financial statement audits of the Pesticides Reregistration and Expedited Processing Fund and the Pesticide Registration Fund, the OIG encountered several impediments to obtaining information from the EPA. Impediments caused by the Office of Financial Management within the Office of the Chief Financial Officer, and the Office of Pesticide Programs within the Office of Chemical Safety and Pollution Prevention, resulted in several delays throughout the audit process.

- During our review of internal controls subsequent to the John C. Beale investigation, an EPA Office of General Counsel (OGC) staff attorney involved with the Beale matter declined to be interviewed, as required under Section 6(a) of the Inspector General Act. As a result of this refusal, we were limited in our ability to determine the OGC's involvement in, knowledge of, and actions taken related to the Beale matter.

Thank you for your continued support of the work done by the inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, Counsel to the Inspector General, at (202) 566-2391. A similar letter is being provided to Senator Charles Grassley.

Sincerely,



Arthur A. Elkins Jr.

Enclosures

U.S. Environmental Protection Agency Office of Inspector General - Office of Audit
Reports of Single Audits Performed by Third Parties
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Not Available to the Public

Report No.	Report Title	Date Closed
Single Audit Reports		
14-3-0001	Delbarton, West Virginia, Municipality of - FY 2012	Nov 05, 2013
14-3-0002	Moundsville, West Virginia, Municipality of - FY 2012	Nov 05, 2013
14-3-0003	Sun Valley Public Service District, West Virginia - FY 2012	Nov 05, 2013
14-3-0005	Puerto Rico Aqueduct and Sewer Authority - FY 2012	Nov 06, 2013
14-3-0006	Northern Mariana Islands, Commonwealth of the - FY 2011	Nov 06, 2013
14-3-0007	Bloomington, Illinois, City of - FY 2012	Nov 12, 2013
14-3-0008	Ironton, Ohio, City of - FY 2011	Nov 12, 2013
14-3-0009	Ottawa, Illinois, City of - FY 2012	Nov 12, 2013
14-3-0010	Dayton, Ohio, University of - FY 2012	Nov 12, 2013
14-3-0011	Benton Harbor, Michigan, City of - FY 2012	Nov 12, 2013
14-3-0012	Lansing, Michigan, City of - FY 2012	Nov 12, 2013
14-3-0013	Great Lakes Commission, Michigan - FY 2012	Nov 12, 2013
14-3-0014	Blue Island, Illinois, City of - FY 2012	Nov 13, 2013
14-3-0015	Southfield, Michigan, City of - FY 2012	Nov 14, 2013
14-3-0018	Southwest Detroit Environmental Project, Michigan - FY 2012	Nov 18, 2013
14-3-0019	Park Forest, Illinois, Village of - FY 2012	Nov 18, 2013
14-3-0020	Cass County, Missouri, Public Water Supply District #10 of - FY 2012	Nov 18, 2013
14-3-0021	Marquette, Michigan, City of - FY 2012	Nov 18, 2013
14-3-0022	Clean Fuels Ohio, Ohio - FY 2012	Nov 18, 2013
14-3-0023	Grand Valley Metropolitan Council, Michigan - FY 2012	Nov 18, 2013
14-3-0024	Downriver Community Conference, Michigan - FY 2012	Nov 18, 2013
14-3-0025	Oconto Falls, Wisconsin, City of - FY 2012	Nov 18, 2013
14-3-0026	Machias, Maine, Town of - FY 2012	Nov 18, 2013
14-3-0027	Winchester, Connecticut, Town of - FY 2011	Nov 18, 2013
14-3-0028	Leominster, Massachusetts, City of - FY 2011	Nov 18, 2013
14-3-0029	Chattahoochee Valley Water Supply District, Alabama - FY 2012	Nov 18, 2013
14-3-0030	Taunton, Massachusetts, City of - FY 2012	Nov 19, 2013
14-3-0031	Clayton-Camp Point Water Commission, Illinois - FY 2012	Nov 19, 2013
14-3-0034	New Haven, West Virginia, Municipality of - FY 2012	Dec 10, 2013
14-3-0035	New Bedford Harbor Development Commission, Massachusetts - FY 2012	Dec 10, 2013
14-3-0043	Cape Charles, Virginia, Municipal Corporation of - FY 2012	Dec 17, 2013
14-3-0045	Brawley, California, City of - FY 2012	Dec 20, 2013
14-3-0046	Fort Bend Fresh Water Supply District No. 1, Texas - FY 2012	Dec 20, 2013
14-3-0047	Neosho, Missouri, City of - FY 2012	Dec 20, 2013
14-3-0048	Missouri System, Missouri, University of - FY 2012	Dec 20, 2013
14-3-0049	Gardner, Kansas, City of - FY 2012	Dec 30, 2013
14-3-0050	Pearl River, Louisiana, Town of - FY 2012	Dec 30, 2013
14-3-0051	Stockton, Missouri, City of - FY 2012	Dec 30, 2013
14-3-0052	Trenton, New Jersey, City of - FY 2012	Dec 30, 2013
14-3-0053	Boston Redevelopment Authority, Massachusetts - FY 2012	Dec 30, 2013
14-3-0054	Los Angeles Department of Water and Power - Water, California - FY 2012	Dec 30, 2013
14-3-0055	Titusville, Florida, City of - FY 2012	Dec 30, 2013
14-3-0056	Jackson County Commission, Alabama - FY 2011	Dec 30, 2013
14-3-0057	Portland, Indiana, City of - FY 2012	Dec 30, 2013
14-3-0058	Saint Paul Regional Water Services, Minnesota, Board of Water Commissioners of the - FY 2012	Dec 30, 2013
14-3-0059	Central Iron Range Sanitary Sewer District, Minnesota - FY 2012	Dec 30, 2013
14-3-0060	Unadilla, New York, Village of - FY 2011	Dec 30, 2013
14-3-0061	Cayuga County Water and Sewer Authority, New York - FY 2012	Dec 30, 2013
14-3-0062	Paynesville, Minnesota, City of - FY 2012	Dec 30, 2013

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14-3-0063	Montville, Connecticut, City of - FY 2012	Dec 31, 2013
14-3-0064	Conservation Law Foundation, Inc., Massachusetts - FY 2012	Dec 31, 2013
14-3-0065	St. Croix Chippewa Indians of Wisconsin, Wisconsin - FY 2012	Dec 31, 2013
14-3-0066	Alexander City, Alabama, City of - FY 2011	Dec 31, 2013
14-3-0067	Valley, Alabama, City of - FY 2011	Dec 31, 2013
14-3-0068	Houghton-Keweenaw Conservation District, Michigan - FY 2012	Dec 31, 2013
14-3-0069	Howey-in-the-Hills, Florida, Town of - FY 2012	Dec 31, 2013
14-3-0070	Moultrie, Georgia, City of - FY 2012	Dec 31, 2013
14-3-0071	Two Harbors, Minnesota, City of - FY 2012	Dec 31, 2013
14-3-0072	Jones County, Georgia - FY 2012	Dec 31, 2013
14-3-0073	Somerset, Kentucky, City of - FY 2012	Dec 31, 2013
14-3-0074	Western Mason Water District, Kentucky FY 2010	Dec 31, 2013
14-3-0075	Wadena, Minnesota, City of - FY 2012	Dec 31, 2013
14-3-0076	Bayfield, Wisconsin, City of - FY 2012f	Dec 31, 2013
14-3-0077	Freeport, Illinois, City of - FY 2012	Dec 31, 2013
14-3-0078	Lewisburg, West Virginia, Municipality of - FY 2012	Jan 03, 2014
14-3-0079	Southwestern Water District, West Virginia - FY 2012	Jan 03, 2014
14-3-0080	Branch County, Michigan - FY 2012	Jan 06, 2014
14-3-0081	Tulare, California, City of - FY 2012	Jan 06, 2014
14-3-0082	Allegan, Michigan, City of - FY 2012	Jan 06, 2014
14-3-0083	Greater Portland Council of Governments, Maine - FY 2011	Jan 06, 2014
14-3-0084	Leelanau County, Michigan - FY 2012	Jan 06, 2014
14-3-0085	Emory University, Georgia - FY 2012	Jan 06, 2014
14-3-0086	Barrackville, West Virginia, Town of - FY 2012	Jan 07, 2014
14-3-0087	Southwestern Pennsylvania Water Authority, Pennsylvania - FY 2012	Jan 07, 2014
14-3-0089	Oakdale, Louisiana, City of - FY 2012	Jan 14, 2014
14-3-0090	Pleasant Point Passamaquoddy Tribal Council, Maine - FY 2011	Jan 14, 2014
14-3-0091	Melbourne, Florida, City of - FY 2012	Jan 14, 2014
14-3-0092	Cullman, Alabama, City of - FY 2012	Jan 14, 2014
14-3-0093	Partners Healthcare Systems, Inc., and Affiliates, Inc., Massachusetts - FY 2012	Jan 15, 2014
14-3-0094	Duluth, Minnesota, City of - FY 2012	Jan 27, 2014
14-3-0095	Madison Lake, Minnesota, City of - FY 2012	Jan 27, 2014
14-3-0096	Mountain Lake, Minnesota, City of - FY 2012	Jan 27, 2014
14-3-0097	New Richland, Minnesota, City of - FY 2012	Jan 27, 2014
14-3-0098	Newfolden, Minnesota, City of - FY 2012	Jan 27, 2014
14-3-0099	Puerto Rico, Environmental Quality Board, Commonwealth of - FY 2012	Jan 27, 2014
14-3-0100	Commonwealth Utilities Corporation, CNMI - FY 2012	Jan 27, 2014
14-3-0101	United States Virgin Islands, - FY 2011	Jan 27, 2014
14-3-0102	New Albany, Indiana, City of - FY 2011	Jan 28, 2014
14-3-0103	Allegan County, Michigan - FY 2012	Jan 28, 2014
14-3-0104	Bogalusa, Louisiana, City of - FY 2012	Jan 29, 2014
14-3-0105	Canby, Minnesota, City of - FY 2012	Jan 29, 2014
14-3-0106	Clinton, Iowa, City of - FY 2012	Jan 29, 2014
14-3-0107	Huntingdon, Pennsylvania, Borough of - FY 2012	Jan 31, 2014
14-3-0108	Passenger Vessel Association, Inc. - FY 2014	Jan 31, 2014
14-3-0110	Maryland Coastal Bays Foundation, Inc., Maryland - FY 2012	Feb 07, 2014
14-3-0111	Smyrna, Delaware, Town of - FY 2012	Feb 07, 2014
14-3-0112	Stewart, Minnesota, City of - FY 2012	Feb 14, 2014
14-3-0113	Virginia, Minnesota, City of - FY 2012	Feb 14, 2014
14-3-0114	Community Action Duluth, Minnesota - FY 2012	Feb 14, 2014
14-3-0115	Brooke County Public Service District-Sewer, West Virginia - FY 2012	Feb 14, 2014
14-3-0116	Friends of the Cheat, Inc., West Virginia - FY 2012	Feb 14, 2014
14-3-0117	Whitemarsh Township, Pennsylvania - FY 2010	Feb 18, 2014

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14-3-0118	Winnebago, Minnesota, City of - FY 2012	Feb 18, 2014
14-3-0119	Austin, Texas, City of - FY 2012	Feb 19, 2014
14-3-0120	Cornell, Wisconsin, City of - FY 2012	Feb 19, 2014
14-3-0121	Illinois, Illinois, University of - FY 2012	Feb 20, 2014
14-3-0124	Caddo Mills, Texas, City of - FY 2012	Feb 26, 2014
14-3-0125	Lake Livingston Water Supply and Sewer Service Corporation, Texas - FY 2012	Feb 26, 2014
14-3-0126	Baxter, Iowa, City of - FY 2012	Feb 26, 2014
14-3-0127	Topeka, Kansas, City of - FY 2011	Feb 26, 2014
14-3-0133	UNO Research and Technology Foundation, Inc., Louisiana - FY 2012	Mar 17, 2014
14-3-0134	Oconto County, Wisconsin - FY 2012	Mar 17, 2014
14-3-0135	Necedah, Wisconsin, Village of - FY 2012	Mar 17, 2014
14-3-0136	Grand Portage Band of Chippewa Indians, Minnesota - FY 2012	Mar 19, 2014
14-3-0137	Lower Sioux Indian Community, Minnesota - FY 2012	Mar 19, 2014
14-3-0138	Highland County, Ohio - FY 2012	Mar 19, 2014
14-3-0139	New Lisbon, Wisconsin, City of - FY 2012	Mar 19, 2014
14-3-0140	Forest County Potawatomi Community, Wisconsin - FY 2012	Mar 19, 2014
14-3-0141	Questa, New Mexico, Village of - FY 2012	Mar 19, 2014
14-3-0144	Logansport, Louisiana, City of - FY 2012	Mar 24, 2014
14-3-0145	Rensselaer, Indiana, City of - FY 2012	Mar 24, 2014
14-3-0146	Redevelopment Authority of Montgomery County, Pennsylvania - FY 2012	Mar 25, 2014
14-3-0147	Marysville, Pennsylvania, Borough of - FY 2012	Mar 25, 2014
14-3-0148	Freedom Township Water and Sewer Authority, Pennsylvania - FY 2012	Mar 25, 2014
14-3-0149	Loyalsock Township, Pennsylvania - FY 2012	Mar 25, 2014
14-3-0150	Mansfield, Louisiana, City of - FY 2012	Mar 26, 2014
14-3-0151	Minneapolis Park and Recreation Board, Minnesota - FY 2012	Mar 26, 2014
14-3-0152	North Koochiching Area Sanitary District, Minnesota - FY 2012	Mar 26, 2014
14-3-0153	Batesville, Arkansas, City of - FY 2012	Mar 28, 2014
14-3-0156	Mid-Atlantic Regional Air Management Association, Inc., Maryland - FY 2012	Mar 31, 2014

Report No.	Report Title	Date Closed
Grants and Contracts Reports		
14-4-0040	Dozier Technologies, Inc. Failed to Comply With Financial and Management Requirements of Its Support Services Contract	Dec 17, 2013

U.S. Environmental Protection Agency Office of Inspector General
List of Closed Investigations
October 1, 2013 - March 31, 2014

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-CH-2011-CAC-2760	2/27/2011	12/19/2013	<p><u>Allegation:</u> A contractor violated the Buy American Act (BAA) provisions of the American Recovery and Reinvestment Act (ARRA) by purchasing foreign-made sluice/slide gates and purchasing cast and ductile iron imported from China and/or Mexico.</p> <p><u>Resolution:</u> The investigation determined there was no violation of the BAA provisions of ARRA and the case was closed.</p>
OI-CH-2011-CFR-2797	5/13/2011	1/9/2014	<p><u>Allegation:</u> Two contractors allegedly colluded in the award of a water treatment plant contract. A sub-contractor allegedly provided proprietary information to one of the prime contractors and to town employees. The town employees then allegedly colluded with one of the prime contractors to obtain kickbacks.</p> <p><u>Resolution:</u> The allegations were unsupported and the case was closed.</p>
OI-CI-2011-CFR-2809	6/7/2011	1/9/2014	<p><u>Allegations:</u> An Environmental Protection Agency (EPA) contractor at an EPA laboratory manipulated/fabricated laboratory data submitted to the EPA.</p> <p><u>Resolution:</u> The allegations were unsubstantiated and the case was closed.</p>
OI-SE-2013-CAC-0116	8/1/2013	12/24/2013	<p><u>Allegation:</u> A former EPA employee threatened EPA personnel and may have misrepresented himself in an official capacity by attempting to obtain, without authorization, a copy of an EPA employee's college transcripts.</p> <p><u>Resolution:</u> The former employee sent emails to the EPA and state employees trying to discredit another EPA employee. The former employee also routinely yelled and insulted the other employee for the last two years. The employee never reported the incidents because the former employee was a senior EPA employee and she was fearful of him. Prior to his retirement, the former employee presented two</p>

			female managers with (b) (6), (b) (7)(C) certificates during a meeting. No information was obtain to support the allegation that the former employee attempted to obtain the other employee's college transcripts. A bar notice was issued based on the information that the former employee had displayed alarming behavior towards other employees. To date, the former employee has had no further contact with EPA facilities or personnel and the case was closed.
OI-SE-2013-CAC-0101	6/17/2013	12/9/2013	<p><u>Allegation:</u> Without authority, a private citizen entered an EPA facility to make a complaint about High Frequency Active Auroral Research Program (HAARP) antennae located on the roof of the EPA building.</p> <p><u>Resolution:</u> The citizen reported that the HAARP antennae were being used by the military and possibly the Central Intelligence Agency or the National Security Agency to communicate with him verbally through frequency modulation. He wrote complaint letters to the several government agencies but has received no response. The Federal Protective Service and the EPA security were notified of the unauthorized access and a security notice was issued. There has been no further contact by this individual and the case is closed.</p>
OI-AR-2013-CAC-0114	7/23/2013	3/17/2014	<p><u>Allegation:</u> A private citizen made threatening comments to an EPA official after a community meeting.</p> <p><u>Resolution:</u> The EPA Security Management Division issued a bar notice for the citizen. The case was declined for prosecution by the United States Attorney's Office.</p>
OI-AR-2012-ADM-0189	9/14/2012	2/4/2014	<p><u>Allegation:</u> An EPA employee made threats and possessed a dangerous weapons while in a Federal facility.</p> <p><u>Resolution:</u> The investigation determined the employee, among other things, threatened a subordinate EPA employee; took, without permission, approximately 43 pieces of Government property to his house for personal use; viewed and sent a subordinate colleague an email which contained a photograph of topless women; allowed</p>

			his wife to conduct personal business on his government issued computer; and made an inappropriate comment concerning an EPA employee's ethnicity during a meeting. There was no evidence to substantiate the allegation that the employee possessed dangerous weapons while at the facility. The employee was suspended without pay for 14 days for his inappropriate conduct.
OCI-AR-2012-CAC-0036	1/18/2012	3/20/2014	<p><u>Allegation:</u> An EPA employee's computer was compromised by unknown individuals and was communicating to known malicious websites.</p> <p><u>Resolution:</u> The investigation determined the system had been compromised (b) (7)(E) [REDACTED]</p> <p>[REDACTED] The affected systems were remediated and no damage was discovered to the EPA network. We were unable to identify the intruders.</p>
OI-SA-2012-CAC-0067	3/1/2012	3/21/2014	<p><u>Allegation:</u> An EPA grant recipient hired a contractor to build a drinking water irrigation system. A stop work order was issued because the contractor submitted a document which may have been false. In addition, an employee of the grant recipient was threatened by a contractor employee.</p> <p><u>Resolution:</u> The parties involved in the submission of the false report were suspended and criminal prosecution was declined. The contractor employee who made the threat agreed to attend anger management class.</p>

OI-DE-2012-CFR-0141	7/6/2012	1/16/2014	<p><u>Allegation:</u> A contractor failed to pay subcontractors for work done at an ARRA funded EPA project site.</p> <p><u>Resolution:</u> The investigation revealed that the contractor inappropriately bypassed a third party vendor and circumvented an agreement with its bonding company in order to pay other business debts instead of its subcontractors at the EPA project site. The Criminal and Civil Divisions of the U.S. Attorney's Office declined prosecution. A corporate officer admitted to using EPA ARRA funds to pay other contractors and to try and keep his business afloat. The corporate officer, his wife, and the company were debarred for three years from participation in federal contracts and assistance activities for their role in misusing EPA contract funds.</p>
OI-CH-2011-CFR-1286	12/10/2010	11/15/2013	<p><u>Allegation:</u> A municipality was awarded \$2,554,228 in ARRA funding to repair lateral service lines within the district. Numerous aspects of the project were alleged to be fraudulent including the contractor not having a performance bond for the project, the municipality calling illegal public meetings and improper objections to public petitions.</p> <p><u>Resolution:</u> The contractor for the project did obtain a performance bond through a third party guarantor. The EPA advised the local ordinance which contradicted a previous municipal ordinance had no bearing on the award to the municipality. The state Attorney General and the County Attorney both (b) (5)</p> <p>The possible election law violation of the municipality paying for legal fees for an individual who objected to public petitions has an expired statute of limitations. There is no information indicating the contractor violated the terms of the contract, nor caused the EPA to incur an 18 percent surcharge for services under the grant.</p>

OI-DE-2013-CAC-0066	4/8/2013	1/30/2014	<p><u>Allegation:</u> One or more contractors on a Superfund remediation site may have contaminated a creek, which is a potential drinking water source for local residents, during the course of its remediation activities.</p> <p><u>Resolution:</u> A sampling comparison did not disclose the contamination level to have increased from the early 1990s when the remediation started. The EPA continues to sample the creek based on a scope of need for sampling. The allegation has been unsubstantiated and the case is closed.</p>
OI-DE-2013-CAC-0065	4/8/2013	11/29/2013	<p><u>Allegation:</u> One or more of the contractors at a Superfund remediation site purchased asbestos contaminated wood chips – knowing it to be contaminated – and used the bark as landscaping on remediation projects on both residential and commercial properties. The bark was allegedly contaminated with asbestos, was never tested, was purchased by EPA contractors and used for remediation landscaping. This could cause cross contamination in areas that have been properly remediated and declared “clean.”</p> <p><u>Resolution:</u> The Federal Government only regulates commercial product containing asbestos at greater than 1 percent. Although the EPA requested the sale and distribution of the wood chips cease based on community concerns, unless the EPA was able to confirm the wood chips posed an unacceptable risk or an imminent and substantial endangerment to public health or the environment they do not have the authority to stop the sale and distribution of said material. Further, since the activity-based sampling results showed no measured human exposure associated with the residential scenarios tested, the EPA will not be tracking the areas to which the material was previously sold/shipped.</p>

OI-CH-2011-CAC-2830	7/22/2011	3/20/2014	<p><u>Allegation:</u> A \$100,000 lead awareness grant was awarded to a municipality. The EPA project officer deemed the final report to be inadequate as a result of the municipality not addressing or following several required parameters. These included applicable work activities, outcomes, blood lead testing and training procedures, data collection, equipment purchases, and financial status reports.</p> <p><u>Resolution:</u> The investigation revealed deficiencies related to grant administration and satisfactory completion of the statement of work, but did not disclose definitive evidence of criminal activity relating to the EPA grant. Additionally, there was no specific information demonstrating the EPA was subject to a monetary loss as a result of the activities. The EPA administratively closed the grant without adverse action.</p>
OI-CH-2012-CAC-0023	12/12/2011	2/20/2014	<p><u>Allegation:</u> A city misrepresented itself by making a supplemental federally-funded loan request to a state for unforeseen soil conditions relating to the construction of a reservoir. The soil conditions were allegedly not fully understood by the state and it was alleged the city did not disclose their full knowledge of geologic conditions at the reservoir site.</p> <p><u>Resolution:</u> The state and other regulatory agencies providing oversight to the project were aware of the geologic challenges associated with the reservoir construction. The investigation disclosed no evidence of fraud against the EPA and it was determined federal funding was not used.</p>
OI-DA-2014-CAC-0011	11/18/2013	1/24/2014	<p><u>Allegation:</u> A suspicious male attempted to gain access to a commercial building which also houses an EPA regional office.</p> <p><u>Resolution:</u> A local police officer denied the man access to the building and the man departed the location without further incident. Coordination was made with applicable local, state and federal law enforcement offices. Attempts to identify the suspect were unsuccessful. A bar notice was issued for the man.</p>

2010-CS-0032	12/22/2009	12/2/2013	<p><u>Allegation:</u> A Canadian company supplied steel gates to multiple projects that received funding under ARRA. The company may have violated ARRA's BAA provision.</p> <p><u>Resolution:</u> The investigation examined 81 sites where sluice, slide or weir gates may have been used. Non-compliance with the BAA provisions was established in 11 of the sites. The company donated or replaced non-compliant gates valued at approximately \$150,000 at four sites. The EPA issued waivers to two other sites and non-compliance letters to five other sites. While investigative activity revealed instances of non-compliance, it did not reveal that this non-compliance was the product of criminal intent. The company took steps to ensure future compliance with the BAA provisions of ARRA including instituting a training program for company personnel and hiring an additional contract administrator to assist in reviewing bidding documents and purchase orders. The EPA advised that it would not pursue the status of any additional sites or issue any additional non-compliance letters.</p>
OI-HQ-2014-CAC-0026	1/13/2014	3/11/2014	<p><u>Allegation:</u> The (b) (7)(E) website servers were compromised for a second time.</p> <p><u>Resolution:</u> The offending internet protocol (IP) address has now been blocked on the production firewall. Several suspect files were found in the system including providing backdoor access and a text file. A second IP address was later identified and was blocked by the firewall. The Federal Bureau of Investigation (FBI) task force was notified of the intrusion and the identity of the actors and did not provide any response. This case was closed as no significant harm was done to the EPA, no data was infiltrated and the EPA quickly mitigated the threat with prompt remediation.</p>
OI-SE-2013-CFR-0108	6/28/2013	3/21/2014	<p><u>Allegation:</u> A grantee forged signatures on federal claims for reimbursement.</p> <p><u>Resolution:</u> The documents in question were dated in 2007, which is outside the federal statute of limitations. The EPA requested the grantee repay \$42,689.56 in unallowable expenditures. Based on</p>

			the EPA's administrative action and the expired statute of limitation, the case was closed.
OI-SE-2010-CFR-0588	9/16/2010	12/6/2013	<p><u>Allegation:</u> An independent review of a grantee's spending of a \$4 million state grant and a \$400,000 EPA Brownfields loan determined the grantee misspent nearly \$2 million and violated various state contract terms.</p> <p><u>Resolution:</u> After the state grant money had been spent, it was discovered many of the contractors on the job were not fully paid and the project was not completed. The grantee placed all the grant money into the general operating account and used much of the state grant money to pay general expenses or fund other projects. An audit also determined that the grantee submitted some of the same contractor invoices to both the state grant and the EPA Brownsfield loan program causing double payments to be made. All the EPA Brownsfields loan payments were also deposited directly into the general operating account and the money was used to fund other projects and pay expenses not related to the grant. An accounting analysis revealed 11 duplicate invoices were submitted to both the state and EPA loan program, resulting in a reimbursement overage of \$141,771.06. The U.S. Attorney's Office declined this case for criminal prosecution as well as civil action. The grantee and all responsible officials were debarred for 3 years.</p>
OI-HQ-2014-CFR-0015	11/21/2013	2/24/2014	<p><u>Allegation:</u> An unknown male called an EPA employee on her EPA desk phone and attempted to fraudulently obtain her EPA purchase card information.</p> <p><u>Resolution:</u> Analysis of the suspicious phone number provided no user/owner information and the phone number is no longer in service. There have been no further unsolicited suspicious calls for purchase card information since the initial report. The EPA sent a mass mailer email to all purchase cardholders and approving officials regarding purchase card number safety.</p>

<u>OI-NE-2011-CFR-2855</u>	9/6/2011	3/4/2014	<p><u>Allegation:</u> Two companies were contracted by a local housing authority to demolish an apartment complex and remove all of the asbestos from the location. Inspectors and monitors from both companies were allegedly creating false inspection reports, in which they were certifying the removal of asbestos. These inspection reports are submitted to EPA in accordance with the terms of the EPA funded grant.</p> <p><u>Resolution:</u> The investigation did not find any evidence to prove or disprove the allegations related to the two subject inspectors submitting false reports and the case was closed. Other criminal actions not related to the OIG portion of the investigation resulted from a larger multi-agency investigation.</p>
<u>OI-RTP-2013-CAC-0082</u>	5/16/2013	11/4/2013	<p><u>Allegation:</u> An EPA employee sent an email to his first and second line supervisors that was perceived by them to be a threat.</p> <p><u>Resolution:</u> The allegations were substantiated and the employee was placed on unpaid leave for 14 days.</p>
<u>OI-RTP-2013-CAC-0055</u>	3/20/2013	12/19/2013	<p><u>Allegation:</u> An unknown male drove his vehicle to an EPA facility and made contact with security guards at the main gate. The man indicated he wished to speak with the EPA Administrator regarding a petition he wanted signed. EPA security denied the man access at which time he proceeded onto the campus without permission.</p> <p><u>Resolution:</u> The man failed to cooperate with EPA security and attempted to enter further into the EPA facility. The local sheriff's office eventually arrived on scene and placed the man under arrest for disorderly conduct and trespassing. He failed to appear for trial and a bench warrant was issued for his arrest. The warrant is currently outstanding. The case is closed pending the arrest of the suspect.</p>

OI-AT-2010-CFR-0310	6/30/2010	11/12/2013	<p><u>Allegation:</u> A municipality was awarded an ARRA grant to provide a Brownfields job training program. The municipality deviated from its work plan which affected the ability of the participants to receive certifications which will affect the program participants' ability to gain employment in this field of work.</p> <p><u>Resolution:</u> The investigation determined that some of the first training cycle classes were not provided which may have affected the ability of the participants to gain employment. The municipality rectified this issue and provided the additional training. The EPA (b) (6), (b) (7)(C) failed to notify her supervisor or the OIG of the mismanagement of the grant once she was made aware of the issues. The (b) (6), (b) (7)(C) also obstructed our investigation by informing municipal employees of the pending investigation. The investigation determined that the municipality was providing preferential consideration for future brownfields training program contracts for firms that volunteered services during the first training cycle. The investigation also revealed that the municipality paid contractors for contracts that were not publicly advertised, in violation of the grant. The investigation further revealed the municipality's request for proposals for the second cycle instructor contracts did not address specific contract provisions and clauses required under this grant. Lastly, a municipal employee obstructed this investigation by providing false and misleading information. The United States Attorney's Office declined to prosecute due to (b) (5)</p> <p>(b) (5) This investigation was also presented to the State Attorney's Office who also declined prosecution due to (b) (5)</p> <p>(b) (5) The EPA Office of Suspension & Debarment debarred the municipal employee for three years. The EPA received \$10,800 reimbursement from the municipality for the ineligible costs.</p>
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OI-SE-2013-CAC-0097	6/14/2013	1/31/2014	<p><u>Allegation:</u> A private citizen contacted a potential roommate he located through Craigslist. The individual claimed he worked for the EPA. When he requested verification of employment, he received a suspicious email that appeared to be from former EPA Administrator Lisa P. Jackson.</p> <p><u>Resolution:</u> The email account used in the communications was initially setup from a residence located in Bend, Oregon, and was only used to setup the email account. Additional log-ins into the email account originated from Nigeria. Attempts to locate and/or contact the person who established the email account were unsuccessful. All contact from the suspect email has ceased and no additional incidents have been reported. The case is closed.</p>
OI-SE-2013-CAC-0018	12/6/2012	12/5/2013	<p><u>Allegation:</u> A private citizen made threatening telephonic calls to EPA contract employees.</p> <p><u>Resolution:</u> Attempts to locate and interview the caller were unsuccessful. A bar notice was issued for the caller as a result of the investigative findings. All contact and calls have ceased and no additional incidents have been reported. The case was closed.</p>
OI-DE-2013-CAC-0127	9/30/2013	12/13/2013	<p><u>Allegation:</u> An EPA employee received a suspicious voice mail as well as suspicious emails.</p> <p><u>Resolution:</u> The suspect and the EPA employee (b) (6), (b) (7)(C) The suspect was a (b) (6), (b) (7)(C) and has harbored resentment toward the EPA employee since that incident. The suspect claimed he is not a threat, apologized and said he would never contact the EPA employee again. The US Attorney's Office declined prosecution.</p>
OI-SE-2013-CAC-0053	3/12/2013	1/16/2014	<p><u>Allegation:</u> An unknown individual was sending "aggressive" emails to EPA employees.</p> <p><u>Resolution:</u> Two suspects with access to the email account were identified. We were unable to develop evidence as to who authored the email. A bar notice was issued for both individuals. All contact from the suspect email account has ceased since the initiation of this investigation and no additional incidents have been reported. The case was closed.</p>

<u>OI-DA-2012-CFR-0164</u>	8/13/2012	1/24/2014	<p><u>Allegation:</u> A city purchased two Bobcat utility vehicles using ARRA grant funds which were intended for a waste water treatment plant expansion.</p> <p><u>Resolution:</u> The investigation determined (b) (6), (b) (7)(C) concealed the cost of two Bobcat utility vehicles in payment requests made to the state by reducing the cost savings realized through an engineering change order totaling \$38,033. State officials determined, although there is a process by which such items can be approved and reimbursed under a grant/loan, they would not allow the costs in this instance because procedures were not followed. The state determined \$38,033 would be withheld from the next reimbursement request from the city. Further, (b) (6), (b) (7)(C) was admonished for failing to follow corporate policy and a letter of counseling was placed in his file. Finally, because the (b) (5) the United States Attorney's Office declined to take further action. Additionally, the EPA Office of Grants and Debarment, Suspension and Debarment Division also declined to take action. This case was closed.</p>
<u>OI-AR-2011-CAC-0677</u>	11/12/2010	2/6/2014	<p><u>Allegation:</u> Threatening newsletters were sent to several high level EPA managers.</p> <p><u>Resolution:</u> Forensic analysis was completed on a previous newsletter which met with negative results. (b) (7)(E) The author of the newsletter could not be identified and the case was closed.</p>
<u>2009-CS-0106</u>	6/15/2009	3/13/2014	<p><u>Allegation:</u> A former tribal official embezzled funds, including EPA grant funds, awarded to the tribe.</p> <p><u>Resolution:</u> The investigation determined the tribal official embezzled more than \$60,000 by increasing her hourly rate of pay without authorization, taking additional paychecks, and paying herself for excessive amounts of annual leave. This case was declined for prosecution by the U.S. Department of Justice due to (b) (5) (b) (5) (b) (5) This case was presented locally and the</p>

			tribal official was charged with one felony count of grant theft. The tribal official was suspended by the EPA Suspension and Debarment Division. However, prior to trial, the tribal official died and the case was dismissed.
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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JUN 23 2014

THE INSPECTOR GENERAL

The Honorable Charles Grassley
Committee on Finance
United States Senate
Washington, D.C. 20510

Dear Senator Grassley:

Enclosed please find a listing of closed investigations conducted by the U.S. Environmental Protection Agency (EPA), Office of Inspector General (OIG), and a listing of closed single audits performed by third parties on behalf of the OIG. We are providing these listings in response to your ongoing request for biannual reports of this information. The investigations and audits were closed between October 1, 2013, and March 31, 2014. These audits and investigations were not disclosed to the public.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

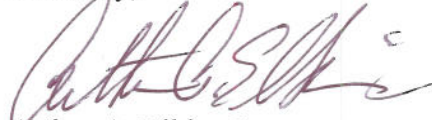
Your request also requires that we list any instances in which the agency resisted or objected to oversight activities, or restricted the OIG's access to information. As reported in our most recent semiannual report to Congress, the EPA has impeded the OIG's access to information. In July 2013, the Inspector General asked EPA senior leadership to issue a new all-hands memorandum stressing the need for employees to cooperate with the OIG. To date, a new memorandum has not been issued. In addition, the following instances of the agency's failure to cooperate with the OIG recently occurred:

- The OIG encountered resistance and a lack of cooperation when making numerous attempts to work collaboratively with the EPA's Office of Homeland Security on matters of mutual concern, such as employee misconduct, and threats and intrusions into the EPA's computer networks and systems.
- During fiscal years 2012 and 2013 financial statement audits of the Pesticides Reregistration and Expedited Processing Fund and the Pesticide Registration Fund, the OIG encountered several impediments to obtaining information from the EPA. Impediments caused by the Office of Financial Management within the Office of the Chief Financial Officer, and the Office of Pesticide Programs within the Office of Chemical Safety and Pollution Prevention, resulted in several delays throughout the audit process.

- During our review of internal controls subsequent to the John C. Beale investigation, an EPA Office of General Counsel (OGC) staff attorney involved with the Beale matter declined to be interviewed, as required under Section 6(a) of the Inspector General Act. As a result of this refusal, we were limited in our ability to determine the OGC's involvement in, knowledge of, and actions taken related to the Beale matter.

Thank you for your continued support of the work done by inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, Counsel to the Inspector General, at (202) 566-2391. A similar letter is being provided to Senator Tom Coburn.

Sincerely,

A handwritten signature in dark ink, appearing to read "Arthur A. Elkins Jr.", with a stylized flourish at the end.

Arthur A. Elkins Jr.

Enclosures

Duplicate records of the enclosures for Letter dated June 23, 2014 not included. See pages 98 – 113.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

DEC 18 2014

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed please find a listing of closed investigations conducted by the U.S. Environmental Protection Agency (EPA), Office of Inspector General (OIG), and a listing of closed single audits performed by third parties on behalf of the OIG. We are providing these listings in response to your ongoing request for biannual reports of this information. The investigations and audits were closed between April 1 and September 30, 2014. These audits and investigations were not disclosed to the public.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed, because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Your request also requires that we list any instances in which the agency resisted or objected to oversight activities, or restricted OIG access to information. We reported, in our most recent semiannual report to Congress, examples of when the EPA resisted our oversight or failed to cooperate with the OIG. There have been further discussions with the agency on these matters subsequent to the end of the period about which we are reporting to you in this letter. The items below reflect the status of the issues as of September 30, 2014:

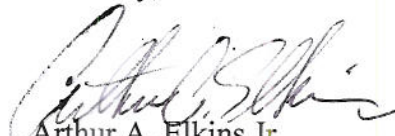
- The EPA's Office of Homeland Security (OHS) is an administratively created component of the EPA, and serves as the agency's central liaison with intelligence and homeland security agencies. OHS continues to impede the OIG by withholding critical information about activities conducted by OHS, withholding information in the possession of OHS, and withholding information about matters within the purview of the OIG. Prior to and throughout this semiannual reporting period, OHS refused OIG access to information when requested, and failed to refer certain information to the OIG. These matters include classified threat information against EPA employees and facilities, employee misconduct, cyber intrusions, and matters that OHS defines as "intelligence" or national security information, even though OIG employees have requisite security clearances to access that information.¹

¹ On October 15, 2014, after the end of this most recent semiannual reporting period, the EPA Administrator notified the Inspector General that the agency will change its position regarding OIG access to intelligence information shared between the Federal Bureau of Investigation and OHS. Some collaboration has since occurred, but the extent of this change remains unclear because the agency has yet to issue implementation instructions.

- In 2012, EPA Region 4 was the victim of the theft of 72 computers valued at \$84,842. However, upon discovery of the missing computers, Region 4 failed to notify the OIG. In 2013, an anonymous complainant informed the OIG that Region 4 failed to report the large computer theft to the OIG. As a result, the OIG launched several criminal investigations. Region 4's failure to report the computer theft to the OIG caused delay in the OIG launching an investigation. If not for the anonymous complainant, Region 4 could have precluded the investigation altogether, as well as a subsequent camera theft investigation.

Thank you for your continued support of the work done by inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, Counsel to the Inspector General, at (202) 566-2391. A similar letter is being provided to Senator Charles Grassley.

Sincerely,



Arthur A. Elkins Jr.

Enclosures

U.S. Environmental Protection Agency Office of Inspector General - Office of Audit
Reports of Single Audits Performed by Third Parties
Issued April 1, 2014 – September 30, 2014
Not Available to the Public

Report No.	Report Title	Date Closed
Single Audit Reports		
14-3-0157	McKeesport, The Municipal Authority of the City of, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0158	Siloam Springs, Arkansas, City of - FY 2012	Apr 01, 2014
14-3-0159	Johnsonburg Municipal Authority, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0160	Mifflin County Conservation District, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0161	Montour County, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0162	Philadelphia Authority for Industrial Development, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0163	St. Joseph's Hospital Health Center, New York - FY 2012	Apr 07, 2014
14-3-0164	Community Transportation Association of America, District of Columbia - FY 2012	Apr 07, 2014
14-3-0165	Lackawanna, Pennsylvania, County of - FY 2012	Apr 07, 2014
14-3-0166	West Pittston, Pennsylvania, Borough of - FY 2011	Apr 07, 2014
14-3-0167	Connections, of McKinley County, Inc., New Mexico - FY 2012	Apr 07, 2014
14-3-0168	Iowa Regional Utilities Association, Iowa - FY 2012	Apr 07, 2014
14-3-0169	Edgerton, Kansas, City of - FY 2012	Apr 07, 2014
14-3-0170	Dickinson County, Kansas - FY 2012	Apr 07, 2014
14-3-0173	Thralls Station Regional Sewer District, Indiana - FY 2012	Apr 09, 2014
14-3-0174	Garrison Rural Water District, North Dakota - FY 2012	Apr 09, 2014
14-3-0175	McKenzie County, North Dakota - FY 2011	Apr 09, 2014
14-3-0176	Brownsburg, Indiana, Town of - FY 2012	Apr 09, 2014
14-3-0177	Dell Rapids, South Dakota, Municipality of - FY 2011	Apr 09, 2014
14-3-0178	Faulkton, South Dakota, City of - FY 2012	Apr 09, 2014
14-3-0179	Martin, South Dakota, City of - FY 2012	Apr 09, 2014
14-3-0180	Herreid, South Dakota, City of - FY 2012	Apr 09, 2014
14-3-0182	Vallejo, California, City of - FY 2012	Apr 11, 2014
14-3-0183	Woodland, California, City of - FY 2012	Apr 11, 2014
14-3-0185	Chesterfield, Indiana, Town of - FY 2012	Apr 14, 2014
14-3-0186	Fortville, Indiana, Town of - FYs 2011 & 2012	Apr 14, 2014
14-3-0187	Lowell, Indiana, Town of - FY 2012	Apr 14, 2014
14-3-0188	Plainfield, Indiana, Town of - FY 2012	Apr 15, 2014
14-3-0189	South Whitley, Indiana, Town of - FYs 2011 & 2012	Apr 15, 2014
14-3-0190	Vigo County, Indiana - FY 2012	Apr 15, 2014
14-3-0192	Joplin, Missouri, City of - FY 2012	Apr 15, 2014
14-3-0193	Shawnee County, Kansas - FY 2012	Apr 15, 2014
14-3-0194	Wentzville, Missouri, City of - FY 2012	Apr 15, 2014
14-3-0195	Little Traverse Bay Bands of Odawa Indians, Michigan - FY 2012	Apr 16, 2014
14-3-0196	Michigan Infrastructure & Transportation Association, Michigan - FY 2012	Apr 16, 2014
14-3-0197	Muskegon Conservation District, Michigan - FY 2012	Apr 16, 2014
14-3-0198	Tip of the Mitt Watershed Council, Michigan - FY 2012	Apr 16, 2014
14-3-0199	Arlington, Minnesota, City of - FY 2012	Apr 16, 2014
14-3-0200	Olivia, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0201	Caledonia, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0202	Pelican Rapids, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0203	Rushford, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0204	Ironton, Ohio, City of - FY 2012	Apr 17, 2014
14-3-0205	Delaware, Ohio, City of - FY 2012	Apr 17, 2014
14-3-0206	Fairborn, Ohio, City of - FY 2012	Apr 17, 2014
14-3-0207	Cuyahoga Soil & Water Conservation District, Ohio - FY 2012	Apr 17, 2014
14-3-0208	Scioto Water, Inc., Ohio - FY 2012	Apr 21, 2014
14-3-0209	Bad River Band of Lake Superior Tribe of Chippewa Indians, Wisconsin - FY 2012	Apr 21, 2014
14-3-0210	Ashland, Wisconsin, City of - FY 2012	Apr 21, 2014

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14-3-0211	Baraboo, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0212	Burlington, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0213	Columbus, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0214	Cudahy, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0215	Elroy, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0216	Horicon, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0217	Kenosha, Wisconsin, City of - FY 2014	Apr 23, 2014
14-3-0218	Menasha, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0219	Mosinee, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0220	Park Falls, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0221	Rhineland, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0222	Frederick, Oklahoma, City of - FY 2011	Apr 24, 2014
14-3-0223	Cockrell Hill, Texas, City of - FY 2012	Apr 24, 2014
14-3-0224	Edgewood, Texas, City of - FY 2012	Apr 24, 2014
14-3-0225	Kermit, Texas, City of - FY 2012	Apr 24, 2014
14-3-0226	Robstown, Texas, City of - FY 2012	Apr 24, 2014
14-3-0227	Zapata County, Texas - FY 2012	Apr 24, 2014
14-3-0228	Ramona Band of Cahuilla, California - FY 2012	Apr 24, 2014
14-3-0229	Redwood Valley Little River Band of Pomo Indians, California - FY 2012	Apr 24, 2014
14-3-0230	Robinson Rancheria Band of Pomo Indians of California, California - FY 2012	Apr 24, 2014
14-3-0231	Santa Rosa Rancheria Tachi Yokut Tribe, California - FY 2012	Apr 24, 2014
14-3-0232	Crystal River, Florida, City of - FY 2014	Apr 24, 2014
14-3-0233	Hollywood, Florida, City of - FY 2012	Apr 24, 2014
14-3-0234	Marco Island, Florida, City of - FY 2012	Apr 24, 2014
14-3-0235	Daleville, Alabama, City of - FY 2012	Apr 24, 2014
14-3-0236	Millbrook, Alabama, City of - FY 2012	Apr 24, 2014
14-3-0237	Montgomery, Alabama, City of - FY 2012	Apr 25, 2014
14-3-0238	Apalachicola, Florida, City of - FY 2012	Apr 25, 2014
14-3-0240	Huntsville, Alabama, City of - FY 2012	Apr 24, 2014
14-3-0241	Jasper County, Missouri - FY 2012	Apr 28, 2014
14-3-0248	Richmond, California, City of - FY 2012	May 08, 2014
14-3-0249	Passaic Valley Water Commission, New Jersey - FY 2012	May 08, 2014
14-3-0250	Nassau County, New York - FY 2012	May 08, 2014
14-3-0251	Arcadia, Florida, City of - FY 2012	May 13, 2014
14-3-0252	Dixon, Illinois, City of - FY 2012	May 13, 2014
14-3-0253	Rockford, Illinois, City of - FY 2012	May 13, 2014
14-3-0254	Peoria County, Illinois - FY 2012	May 13, 2014
14-3-0255	Karnes City, Texas, City of - FY 2012	May 13, 2014
14-3-0256	Leominster, Massachusetts, City of - FY 2012	May 13, 2014
14-3-0257	Exeter, New Hampshire, Town of - FY 2012	May 13, 2014
14-3-0258	Bradford, Vermont, Town of - FY 2012	May 13, 2014
14-3-0259	Somerset Township Municipal Authority, Pennsylvania - FY 2012	May 13, 2014
14-3-0260	Howard University - FY 2012	May 13, 2014
14-3-0261	Johnstown, Pennsylvania, The Redevelopment Authority of the City of - FY 2012	May 13, 2014
14-3-0263	West Central Indiana Economic Development District, Inc., Indiana - FY 2012	May 19, 2014
14-3-0264	Ishpeming, Michigan, City of - FY 2012	May 19, 2014
14-3-0265	Long Prairie, Minnesota, City of - FY 2012	May 19, 2014
14-3-0266	Mount Vernon, South Dakota, Municipality of - FY 2012	May 19, 2014
14-3-0267	Potlatch, Idaho, City of - FY 2012	May 19, 2014
14-3-0268	Ponderosa Community Club, Inc., Washington - FY 2012	May 19, 2014
14-3-0269	Elsa, Texas, City of - FY 2012	May 20, 2014
14-3-0271	R&T Water Supply Commerce Authority, North Dakota - FY 2012	May 29, 2014
14-3-0273	Lexington-Fayette Urban County Government, Kentucky - FY 2012	May 30, 2014

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14-3-0274	Winchester, Kentucky, City of - FY 2012	May 30, 2014
14-3-0275	California Air Pollution Control Officers Association, California - FY 2012	May 30, 2014
14-3-0276	Agriculture and Land Based Training Association, California - FY 2012	May 30, 2014
14-3-0277	Driggs, Idaho, City of - FY 2012	May 30, 2014
14-3-0279	Bayou Des Cannes Water System, Louisiana - FY 2012	Jun 05, 2014
14-3-0280	Chicago Park District, Illinois - FY 2012	Jun 05, 2014
14-3-0281	Liberty Hill, Texas, City of - FY 2012	Jun 05, 2014
14-3-0282	West Branch Regional Authority, Pennsylvania - FY 2012	Jun 05, 2014
14-3-0283	Anderson, Indiana, City of - FY 2012	Jun 06, 2014
14-3-0284	Auburn, Indiana, City of - FY 2012	Jun 06, 2014
14-3-0285	Bellwood, Illinois, Village of - FY 2012	Jun 06, 2014
14-3-0286	Tesuque, New Mexico, Pueblo of - FY 2012	Jun 06, 2014
14-3-0287	Puerto Rico, Puerto Rico, University of - FY 2012	Jun 10, 2014
14-3-0288	New Mexico Finance Authority, New Mexico - FYs 2011 & 2012	Jun 10, 2014
14-3-0289	South Suburban Mayors and Managers Association, Illinois - FY 2012	Jun 11, 2014
14-3-0290	Stephenson County, Illinois - FY 2012	Jun 11, 2014
14-3-0291	Clean Energy Coalition, Michigan - FY 2012	Jun 11, 2014
14-3-0292	Ottawa, Michigan, County of - FY 2012	Jun 11, 2014
14-3-0293	Boonville, Indiana, City of - FY 2012	Jun 11, 2014
14-3-0294	Brazil, Indiana, City of - FY 2012	Jun 11, 2014
14-3-0295	Columbus, Indiana, City of - FY 2012	Jun 12, 2014
14-3-0296	Connersville, Indiana, City of - FY 2012	Jun 12, 2014
14-3-0297	Goshen, Indiana, City of - FY 2012	Jun 12, 2014
14-3-0298	Luce Township Regional Sewer District, Indiana - FYs 2011 & 2012	Jun 12, 2014
14-3-0299	Carlsbad, New Mexico, City of - FY 2012	Jun 12, 2014
14-3-0300	Norman Water and Sewer System, Arkansas, Town of - FY 2012	Jun 13, 2014
14-3-0301	Care New England Health System and Affiliates, Rhode Island - FY 2012	Jun 13, 2014
14-3-0303	New York and New Jersey, New Jersey, The Port Authority of - FY 2012	Jun 17, 2014
14-3-0304	Huntington, Indiana, City of - FY 2012	Jun 17, 2014
14-3-0305	Portland, Tennessee, City of - FY 2012	Jun 18, 2014
14-3-0306	Anaconda Deer Lodge County, Montana - FY 2012	Jun 18, 2014
14-3-0307	Valparaiso, Indiana, City of - FY 2012	Jun 20, 2014
14-3-0308	DeKalb County, Indiana - FY 2012	Jun 23, 2014
14-3-0309	Delaware County, Indiana - FY 2012	Jun 23, 2014
14-3-0310	Nappanee, Indiana, City of - FY 2012	Jun 26, 2014
14-3-0311	Mount Vernon, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0312	Ligonier, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0313	Lawrence, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0314	La Porte, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0315	Seymour, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0326	Guam, Government of - FY 2013	Jul 28, 2014
14-3-0327	Virgin Islands Water and Power Authority, Virgin Islands, Water System of the - FY 2012	Jul 28, 2014
14-3-0328	Northern Mariana Islands, Commonwealth of - FY 2013	Jul 30, 2014
14-3-0329	Jackson County, Michigan - FY 2012	Jul 30, 2014
14-3-0330	Grand Traverse Bay Watershed Initiative, Inc., Michigan - FY 2012	Jul 30, 2014
14-3-0331	Conservation Law Foundation, Inc., Massachusetts - FY 2012	Jul 30, 2014
14-3-0333	Sauk-Suiattle Indian Tribe, Washington - FY 2012	Aug 15, 2014
14-3-0334	King County, Washington - FY 2012	Aug 15, 2014
14-3-0335	PUD 1 of Klickitat County, Washington - FY 2012	Aug 19, 2014
14-3-0336	Jefferson County, Washington - FY 2012	Aug 19, 2014
14-3-0337	Rock Island, Washington, City of - FY 2012	Aug 19, 2014
14-3-0339	Hammond, Indiana, City of - FY 2012	Aug 25, 2014
14-3-0340	Sweetwater, Florida, City of - FY 2012	Aug 25, 2014

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14-3-0341	North Bay Village, Florida - FY 2012	Aug 25, 2014
14-3-0342	Southwest Florida Regional Planning Council, Florida - FY 2012	Aug 25, 2014
14-3-0343	Rocky Ford, Colorado, City of - FY 2012	Aug 26, 2014
14-3-0344	Loveland, Colorado, City of - FY 2012	Aug 26, 2014
14-3-0345	Manitou Springs, Colorado, City of - FY 2012	Aug 26, 2014
14-3-0346	Hillsboro Beach, Florida, Town of - FY 2012	Aug 27, 2014
14-3-0351	Coalition for the Upper South Platte, Colorado - FY 2012	Sep 09, 2014
14-3-0352	Colorado Rural Water Association, Colorado - FY 2012	Sep 09, 2014
14-3-0353	Nunn, Colorado, Town of - FY 2012	Sep 10, 2014
14-3-0354	Decatur, Illinois, City of - FY 2013 (8-month period May 1 to December 31, 2013)	Sep 10, 2014
14-3-0356	Kansas, State of - FY 2013	Sep 11, 2014
14-3-0361	Nevada Irrigation District, California - FY 2012	Sep 25, 2014
14-3-0362	SRC, Inc., New York - FY 2012	Sep 25, 2014
14-3-0365	Northern Cheyenne Tribe, Montana - FY 2012	Sep 29, 2014
14-3-0366	Eureka, Montana, Town of - FY 2012	Sep 29, 2014

Report No.	Report Title	Date Closed
Grants and Contracts Report		
14-4-0320	Apex Logistics, LLC, Lacked Support for Labor Costs and Failed to Comply With Certain Contract Requirements Related to Operations at the Landover Warehouse	Jul 16, 2014

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Report No.	Report Title	Date Closed
Single Audit Reports		
14-3-0157	McKeesport, The Municipal Authority of the City of, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0158	Siloam Springs, Arkansas, City of - FY 2012	Apr 01, 2014
14-3-0159	Johnsonburg Municipal Authority, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0160	Mifflin County Conservation District, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0161	Montour County, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0162	Philadelphia Authority for Industrial Development, Pennsylvania - FY 2012	Apr 01, 2014
14-3-0163	St. Joseph's Hospital Health Center, New York - FY 2012	Apr 07, 2014
14-3-0164	Community Transportation Association of America, District of Columbia - FY 2012	Apr 07, 2014
14-3-0165	Lackawanna, Pennsylvania, County of - FY 2012	Apr 07, 2014
14-3-0166	West Pittston, Pennsylvania, Borough of - FY 2011	Apr 07, 2014
14-3-0167	Connections, of McKinley County, Inc., New Mexico - FY 2012	Apr 07, 2014
14-3-0168	Iowa Regional Utilities Association, Iowa - FY 2012	Apr 07, 2014
14-3-0169	Edgerton, Kansas, City of - FY 2012	Apr 07, 2014
14-3-0170	Dickinson County, Kansas - FY 2012	Apr 07, 2014
14-3-0173	Thralls Station Regional Sewer District, Indiana - FY 2012	Apr 09, 2014
14-3-0174	Garrison Rural Water District, North Dakota - FY 2012	Apr 09, 2014
14-3-0175	McKenzie County, North Dakota - FY 2011	Apr 09, 2014
14-3-0176	Brownsburg, Indiana, Town of - FY 2012	Apr 09, 2014
14-3-0177	Dell Rapids, South Dakota, Municipality of - FY 2011	Apr 09, 2014
14-3-0178	Faulkton, South Dakota, City of - FY 2012	Apr 09, 2014
14-3-0179	Martin, South Dakota, City of - FY 2012	Apr 09, 2014
14-3-0180	Herreid, South Dakota, City of - FY 2012	Apr 09, 2014
14-3-0182	Vallejo, California, City of - FY 2012	Apr 11, 2014
14-3-0183	Woodland, California, City of - FY 2012	Apr 11, 2014
14-3-0185	Chesterfield, Indiana, Town of - FY 2012	Apr 14, 2014
14-3-0186	Fortville, Indiana, Town of - FYs 2011 & 2012	Apr 14, 2014
14-3-0187	Lowell, Indiana, Town of - FY 2012	Apr 14, 2014
14-3-0188	Plainfield, Indiana, Town of - FY 2012	Apr 15, 2014
14-3-0189	South Whitley, Indiana, Town of - FYs 2011 & 2012	Apr 15, 2014
14-3-0190	Vigo County, Indiana - FY 2012	Apr 15, 2014
14-3-0192	Joplin, Missouri, City of - FY 2012	Apr 15, 2014
14-3-0193	Shawnee County, Kansas - FY 2012	Apr 15, 2014
14-3-0194	Wentzville, Missouri, City of - FY 2012	Apr 15, 2014
14-3-0195	Little Traverse Bay Bands of Odawa Indians, Michigan - FY 2012	Apr 16, 2014
14-3-0196	Michigan Infrastructure & Transportation Association, Michigan - FY 2012	Apr 16, 2014
14-3-0197	Muskegon Conservation District, Michigan - FY 2012	Apr 16, 2014
14-3-0198	Tip of the Mitt Watershed Council, Michigan - FY 2012	Apr 16, 2014
14-3-0199	Arlington, Minnesota, City of - FY 2012	Apr 16, 2014
14-3-0200	Olivia, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0201	Caledonia, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0202	Pelican Rapids, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0203	Rushford, Minnesota, City of - FY 2012	Apr 17, 2014
14-3-0204	Ironton, Ohio, City of - FY 2012	Apr 17, 2014
14-3-0205	Delaware, Ohio, City of - FY 2012	Apr 17, 2014
14-3-0206	Fairborn, Ohio, City of - FY 2012	Apr 17, 2014
14-3-0207	Cuyahoga Soil & Water Conservation District, Ohio - FY 2012	Apr 17, 2014
14-3-0208	Scioto Water, Inc., Ohio - FY 2012	Apr 21, 2014
14-3-0209	Bad River Band of Lake Superior Tribe of Chippewa Indians, Wisconsin - FY 2012	Apr 21, 2014
14-3-0210	Ashland, Wisconsin, City of - FY 2012	Apr 21, 2014

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14-3-0211	Baraboo, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0212	Burlington, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0213	Columbus, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0214	Cudahy, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0215	Elroy, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0216	Horicon, Wisconsin, City of - FY 2012	Apr 21, 2014
14-3-0217	Kenosha, Wisconsin, City of - FY 2014	Apr 23, 2014
14-3-0218	Menasha, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0219	Mosinee, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0220	Park Falls, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0221	Rhineland, Wisconsin, City of - FY 2012	Apr 23, 2014
14-3-0222	Frederick, Oklahoma, City of - FY 2011	Apr 24, 2014
14-3-0223	Cockrell Hill, Texas, City of - FY 2012	Apr 24, 2014
14-3-0224	Edgewood, Texas, City of - FY 2012	Apr 24, 2014
14-3-0225	Kermit, Texas, City of - FY 2012	Apr 24, 2014
14-3-0226	Robstown, Texas, City of - FY 2012	Apr 24, 2014
14-3-0227	Zapata County, Texas - FY 2012	Apr 24, 2014
14-3-0228	Ramona Band of Cahuilla, California - FY 2012	Apr 24, 2014
14-3-0229	Redwood Valley Little River Band of Pomo Indians, California - FY 2012	Apr 24, 2014
14-3-0230	Robinson Rancheria Band of Pomo Indians of California, California - FY 2012	Apr 24, 2014
14-3-0231	Santa Rosa Rancheria Tachi Yokut Tribe, California - FY 2012	Apr 24, 2014
14-3-0232	Crystal River, Florida, City of - FY 2014	Apr 24, 2014
14-3-0233	Hollywood, Florida, City of - FY 2012	Apr 24, 2014
14-3-0234	Marco Island, Florida, City of - FY 2012	Apr 24, 2014
14-3-0235	Daleville, Alabama, City of - FY 2012	Apr 24, 2014
14-3-0236	Millbrook, Alabama, City of - FY 2012	Apr 24, 2014
14-3-0237	Montgomery, Alabama, City of - FY 2012	Apr 25, 2014
14-3-0238	Apalachicola, Florida, City of - FY 2012	Apr 25, 2014
14-3-0240	Huntsville, Alabama, City of - FY 2012	Apr 24, 2014
14-3-0241	Jasper County, Missouri - FY 2012	Apr 28, 2014
14-3-0248	Richmond, California, City of - FY 2012	May 08, 2014
14-3-0249	Passaic Valley Water Commission, New Jersey - FY 2012	May 08, 2014
14-3-0250	Nassau County, New York - FY 2012	May 08, 2014
14-3-0251	Arcadia, Florida, City of - FY 2012	May 13, 2014
14-3-0252	Dixon, Illinois, City of - FY 2012	May 13, 2014
14-3-0253	Rockford, Illinois, City of - FY 2012	May 13, 2014
14-3-0254	Peoria County, Illinois - FY 2012	May 13, 2014
14-3-0255	Karnes City, Texas, City of - FY 2012	May 13, 2014
14-3-0256	Leominster, Massachusetts, City of - FY 2012	May 13, 2014
14-3-0257	Exeter, New Hampshire, Town of - FY 2012	May 13, 2014
14-3-0258	Bradford, Vermont, Town of - FY 2012	May 13, 2014
14-3-0259	Somerset Township Municipal Authority, Pennsylvania - FY 2012	May 13, 2014
14-3-0260	Howard University - FY 2012	May 13, 2014
14-3-0261	Johnstown, Pennsylvania, The Redevelopment Authority of the City of - FY 2012	May 13, 2014
14-3-0263	West Central Indiana Economic Development District, Inc., Indiana - FY 2012	May 19, 2014
14-3-0264	Ishpeming, Michigan, City of - FY 2012	May 19, 2014
14-3-0265	Long Prairie, Minnesota, City of - FY 2012	May 19, 2014
14-3-0266	Mount Vernon, South Dakota, Municipality of - FY 2012	May 19, 2014
14-3-0267	Potlatch, Idaho, City of - FY 2012	May 19, 2014
14-3-0268	Ponderosa Community Club, Inc., Washington - FY 2012	May 19, 2014
14-3-0269	Elsa, Texas, City of - FY 2012	May 20, 2014
14-3-0271	R&T Water Supply Commerce Authority, North Dakota - FY 2012	May 29, 2014
14-3-0273	Lexington-Fayette Urban County Government, Kentucky - FY 2012	May 30, 2014

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14-3-0274	Winchester, Kentucky, City of - FY 2012	May 30, 2014
14-3-0275	California Air Pollution Control Officers Association, California - FY 2012	May 30, 2014
14-3-0276	Agriculture and Land Based Training Association, California - FY 2012	May 30, 2014
14-3-0277	Driggs, Idaho, City of - FY 2012	May 30, 2014
14-3-0279	Bayou Des Cannes Water System, Louisiana - FY 2012	Jun 05, 2014
14-3-0280	Chicago Park District, Illinois - FY 2012	Jun 05, 2014
14-3-0281	Liberty Hill, Texas, City of - FY 2012	Jun 05, 2014
14-3-0282	West Branch Regional Authority, Pennsylvania - FY 2012	Jun 05, 2014
14-3-0283	Anderson, Indiana, City of - FY 2012	Jun 06, 2014
14-3-0284	Auburn, Indiana, City of - FY 2012	Jun 06, 2014
14-3-0285	Bellwood, Illinois, Village of - FY 2012	Jun 06, 2014
14-3-0286	Tesuque, New Mexico, Pueblo of - FY 2012	Jun 06, 2014
14-3-0287	Puerto Rico, Puerto Rico, University of - FY 2012	Jun 10, 2014
14-3-0288	New Mexico Finance Authority, New Mexico - FYs 2011 & 2012	Jun 10, 2014
14-3-0289	South Suburban Mayors and Managers Association, Illinois - FY 2012	Jun 11, 2014
14-3-0290	Stephenson County, Illinois - FY 2012	Jun 11, 2014
14-3-0291	Clean Energy Coalition, Michigan - FY 2012	Jun 11, 2014
14-3-0292	Ottawa, Michigan, County of - FY 2012	Jun 11, 2014
14-3-0293	Boonville, Indiana, City of - FY 2012	Jun 11, 2014
14-3-0294	Brazil, Indiana, City of - FY 2012	Jun 11, 2014
14-3-0295	Columbus, Indiana, City of - FY 2012	Jun 12, 2014
14-3-0296	Connersville, Indiana, City of - FY 2012	Jun 12, 2014
14-3-0297	Goshen, Indiana, City of - FY 2012	Jun 12, 2014
14-3-0298	Luce Township Regional Sewer District, Indiana - FYs 2011 & 2012	Jun 12, 2014
14-3-0299	Carlsbad, New Mexico, City of - FY 2012	Jun 12, 2014
14-3-0300	Norman Water and Sewer System, Arkansas, Town of - FY 2012	Jun 13, 2014
14-3-0301	Care New England Health System and Affiliates, Rhode Island - FY 2012	Jun 13, 2014
14-3-0303	New York and New Jersey, New Jersey, The Port Authority of - FY 2012	Jun 17, 2014
14-3-0304	Huntington, Indiana, City of - FY 2012	Jun 17, 2014
14-3-0305	Portland, Tennessee, City of - FY 2012	Jun 18, 2014
14-3-0306	Anaconda Deer Lodge County, Montana - FY 2012	Jun 18, 2014
14-3-0307	Valparaiso, Indiana, City of - FY 2012	Jun 20, 2014
14-3-0308	DeKalb County, Indiana - FY 2012	Jun 23, 2014
14-3-0309	Delaware County, Indiana - FY 2012	Jun 23, 2014
14-3-0310	Nappanee, Indiana, City of - FY 2012	Jun 26, 2014
14-3-0311	Mount Vernon, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0312	Ligonier, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0313	Lawrence, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0314	La Porte, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0315	Seymour, Indiana, City of - FY 2012	Jul 02, 2014
14-3-0326	Guam, Government of - FY 2013	Jul 28, 2014
14-3-0327	Virgin Islands Water and Power Authority, Virgin Islands, Water System of the - FY 2012	Jul 28, 2014
14-3-0328	Northern Mariana Islands, Commonwealth of - FY 2013	Jul 30, 2014
14-3-0329	Jackson County, Michigan - FY 2012	Jul 30, 2014
14-3-0330	Grand Traverse Bay Watershed Initiative, Inc., Michigan - FY 2012	Jul 30, 2014
14-3-0331	Conservation Law Foundation, Inc., Massachusetts - FY 2012	Jul 30, 2014
14-3-0333	Sauk-Suiattle Indian Tribe, Washington - FY 2012	Aug 15, 2014
14-3-0334	King County, Washington - FY 2012	Aug 15, 2014
14-3-0335	PUD 1 of Klickitat County, Washington - FY 2012	Aug 19, 2014
14-3-0336	Jefferson County, Washington - FY 2012	Aug 19, 2014
14-3-0337	Rock Island, Washington, City of - FY 2012	Aug 19, 2014
14-3-0339	Hammond, Indiana, City of - FY 2012	Aug 25, 2014
14-3-0340	Sweetwater, Florida, City of - FY 2012	Aug 25, 2014

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14-3-0341	North Bay Village, Florida - FY 2012	Aug 25, 2014
14-3-0342	Southwest Florida Regional Planning Council, Florida - FY 2012	Aug 25, 2014
14-3-0343	Rocky Ford, Colorado, City of - FY 2012	Aug 26, 2014
14-3-0344	Loveland, Colorado, City of - FY 2012	Aug 26, 2014
14-3-0345	Manitou Springs, Colorado, City of - FY 2012	Aug 26, 2014
14-3-0346	Hillsboro Beach, Florida, Town of - FY 2012	Aug 27, 2014
14-3-0351	Coalition for the Upper South Platte, Colorado - FY 2012	Sep 09, 2014
14-3-0352	Colorado Rural Water Association, Colorado - FY 2012	Sep 09, 2014
14-3-0353	Nunn, Colorado, Town of - FY 2012	Sep 10, 2014
14-3-0354	Decatur, Illinois, City of - FY 2013 (8-month period May 1 to December 31, 2013)	Sep 10, 2014
14-3-0356	Kansas, State of - FY 2013	Sep 11, 2014
14-3-0361	Nevada Irrigation District, California - FY 2012	Sep 25, 2014
14-3-0362	SRC, Inc., New York - FY 2012	Sep 25, 2014
14-3-0365	Northern Cheyenne Tribe, Montana - FY 2012	Sep 29, 2014
14-3-0366	Eureka, Montana, Town of - FY 2012	Sep 29, 2014

Report No.	Report Title	Date Closed
Grants and Contracts Report		
14-4-0320	Apex Logistics, LLC, Lacked Support for Labor Costs and Failed to Comply With Certain Contract Requirements Related to Operations at the Landover Warehouse	Jul 16, 2014

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List of Closed Investigations
April 1, 2014 – September 30, 2014

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-SA-2014-ADM-0006	11/4/2013	4/3/2014	<p><u>Allegation:</u> An EPA employee misused his EPA-issued travel card for services not related to government travel and attempted to mislead EPA officials regarding how the travel card was used.</p> <p><u>Resolution:</u> Management initiated removal of the employee; however, when he was given the opportunity, the employee resigned.</p>
OI-SE-2011-CAC-2854	9/6/2011	4/8/2014	<p><u>Allegation:</u> The former Council President of a Native American village was voted out of office after allegations that (b) (6), (b) (7)(C) was supplying illegal pharmaceuticals to village residents. However, (b) (6), (b) (7)(C) refused to vacate the position. (b) (6), (b) (7)(C) embezzled between \$100,000 and \$500,000 of village funds, a portion of which appeared to be EPA grant funding. (b) (6), (b) (7)(C) brother was also indicted for being involved in this scheme.</p> <p><u>Resolution:</u> The former Council President agreed to plead guilty and was sentenced for one count of Conversion and Misapplication from an Indian tribal organization. (b) (6), (b) (7)(C) received 18 months of imprisonment, 3 years of supervised release, and a \$100 special assessment penalty. (b) (6), (b) (7)(C) was also sentenced to pay \$150,000 in restitution to the Native American village. The former Council President's brother was sentenced to one count of Failure to File Tax Return and received 8 months of imprisonment, 1 year of supervised release, and a \$25 special assessment penalty. He also paid a \$2,000 fine.</p>
OI-AR-2014-CAC-0024	12/19/2013	4/9/2014	<p><u>Allegation:</u> An imposter Facebook account, associated with a former EPA Administrator, was alleged to exist.</p> <p><u>Resolution:</u> It was found that the alleged imposter Facebook account was deactivated and no longer in use. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-DA-2012-CAC-0170	8/24/2012	4/17/2014	<p>Allegation: Contractors for the city of Fort Worth, Texas, knowingly hired undocumented workers and subsequently paid their salaries with federal funds received.</p> <p>Resolution: Since (b) (5) [REDACTED] ability to prove the contractor knowingly hired and paid undocumented workers was limited. The U.S. Attorney's Office determined (b) (5) (b) (5) [REDACTED] Therefore, this case was closed.</p>
OI-AR-2013-CFR-0119	8/5/2013	4/22/2014	<p>Allegation: An EPA employee attempted to steer an EPA grant, in the amount of \$60,000, to a specific company.</p> <p>Resolution: The Assistant U.S. Attorney for Fraud and Public Corruption declined prosecution. There were no administrative findings, and the case was initiated based on an anonymous complaint. Therefore, this case was closed.</p>
OI-HQ-2013-ADM-0075	4/25/2013	4/28/2014	<p>Allegation: An EPA employee fraudulently input, verified and approved time-and-attendance records for a subordinate employee.</p> <p>Resolution: The investigation determined that the accused had not fraudulently reported time and attendance for the subordinate employee. This case was closed.</p>
OI-HQ-2013-ADM-0074	4/25/2013	4/28/2014	<p>Allegation: An EPA employee fraudulently input, verified and approved time-and-attendance records for a subordinate employee.</p> <p>Resolution: The investigation determined that the accused had not fraudulently reported time-and-attendance for the subordinate employee. This case was closed.</p>
OI-HQ-2013-ADM-0073	4/25/2013	4/28/2014	<p>Allegation: An EPA employee fraudulently input, verified and approved time-and-attendance records for two subordinate employees.</p> <p>Resolution: The investigation determined that the accused was not fraudulently reporting time and attendance for the subordinate employees. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-HQ-2013-ADM-0030	1/30/2013	4/28/2014	<p><u>Allegation:</u> An EPA employee engaged in time-and-attendance fraud.</p> <p><u>Resolution:</u> The investigation determined that the employee appropriately accounted for his time and attendance. This case was closed.</p>
OI-HQ-2013-CAC-0039	2/5/2013	5/2/2014	<p><u>Allegation:</u> A former EPA intern was observed removing money from unsecured purses at EPA.</p> <p><u>Resolution:</u> An arrest warrant was issued for the suspect on a misdemeanor charge for petty larceny. The suspect subsequently surrendered to the local police. The suspect received a 6-month consent decree from the court, and an agency bar notice was issued. Once the criminal case was adjudicated and the individual was no longer an EPA employee, this case was closed.</p>
OI-AT-2014-CAC-0079	4/21/2014	5/5/2014	<p><u>Allegation:</u> An EPA contractor made false statements to the EPA by requesting funds for food services not rendered.</p> <p><u>Resolution:</u> The allegation was unsubstantiated. Instead, the investigation revealed that the contractor submitted an invoice for an unallowable cost under the contract. The EPA denied the claim for reimbursement for food services, and this case was closed.</p>
OI-AT-2010-CFR-0339	7/30/2010	5/5/2014	<p><u>Allegation:</u> EPA grant funds awarded to an environmental research center associated with a university were allegedly being mismanaged and misused. There were 12 related allegations.</p> <p><u>Resolution:</u> The investigation supported allegations that a university employee: 1) worked two full-time outside jobs, one of which was in conflict with his position at the university; 2) was an EPA grant recipient; 3) performed work for two outside companies while claiming hours worked for the university; and 4) used resources paid for with EPA grant money to perform the outside jobs. An allegation that nepotism was prevalent in hiring practices was also substantiated. The investigation disproved or did not substantiate seven other allegations. The employee resigned before proposed termination could take effect and was suspended for 3 years by EPA's Suspension and Debarment Office. Two other university employees received written reprimands, and no action was taken against a fourth employee. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-DA-2012-CAC-0197	9/18/2012	5/7/2014	<p><u>Allegation:</u> Contractors who received federal funds under a contract with a Texas water supply entity knowingly hired undocumented workers and then paid salaries using those federal funds.</p> <p><u>Resolution:</u> Since (b) (5) [REDACTED] ability to prove the contractor knowingly hired and paid undocumented workers was limited. Additionally, the U.S. Attorney's Office determined (b) (5) [REDACTED] (b) (5) This case was closed.</p>
OI-DA-2012-CAC-0171	8/24/2012	5/7/2014	<p><u>Allegation:</u> Contractors who received federal funds under an EPA contract with a county water supply district knowingly hired undocumented workers and paid salaries with federal funds.</p> <p><u>Resolution:</u> Since (b) (5) [REDACTED] ability to prove the contractor knowingly hired and paid undocumented workers was limited. Additionally, the U.S. Attorney's Office determined (b) (5) [REDACTED] (b) (5) This case was closed.</p>
OI-RTP-2012-CAC-0026	12/20/2011	5/14/2014	<p><u>Allegation:</u> Two individuals sold false identification cards, documents and licenses, including false South Carolina Department of Health and Environmental Control asbestos identification cards, out of a private residence.</p> <p><u>Resolution:</u> This investigation was coordinated with the Federal Bureau of Investigation (FBI) and the South Carolina Law Enforcement Division (SLED). Both the FBI and SLED (b) (7)(E) [REDACTED] Neither the FBI nor SLED—nor their contacts—were able to identify the suspects involved in the sale of fraudulent identification cards. No further viable leads regarding these individuals arose; therefore, this investigation was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-AR-2011-CFR-2845	8/16/2011	6/10/2014	<p><u>Allegation:</u> An EPA employee and an EPA contractor exchanged emails containing procurement-sensitive information relative to (b) (6), (b) (7)(C) support contract valued at \$220 million. The emails constituted a violation of the Procurement Integrity Act, which prohibits the disclosure of contractor bid or proposal information and source selection information.</p> <p><u>Resolution:</u> The investigation confirmed that the EPA employee engaged in conversation, via email, with the contractor, revealing sensitive procurement information that was shared with the contracting company. The email exchange took place during the open procurement period for the contract. The allegation regarding violation of the act was proven. The EPA issued a warning to the employee and counseled him concerning his improper communications and warned that such behavior is unacceptable and would not be tolerated. He was relieved of his role as a Contractor Officer Representative. He was instructed to take interpersonal skills training. This investigation was closed.</p>
OI-PH-2012-CFR-0116	5/24/2012	6/23/2014	<p><u>Allegation:</u> An EPA inspector accepted cash to "push back" the paperwork regarding violations at a service station.</p> <p><u>Resolution:</u> The allegation that an EPA inspector was taking cash payments from the service station was not supported. The inspections were conducted by Pennsylvania Department of Environmental Protection (DEP) employees. The investigation was unable to substantiate that a Pennsylvania DEP inspector was taking cash payments from the service station. All allegations were addressed. Since there were no additional investigative leads, this investigation was closed.</p>
OCI-AR-2011-CAC-2825	7/8/2011	6/23/2014	<p><u>Allegation:</u> Malicious email received by senior EPA management and forwarded to multiple internal recipients contained malware and caused suspicious compromises.</p> <p><u>Resolution:</u> Due to the sensitive and/or classified nature of the investigation and the lack of identification of the intruder(s), the investigation was not referred for prosecution or any course of legal action. All identified malware was removed from the EPA's information technology systems.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-AR-2011-ADM-2820	6/24/2011	6/25/2014	<p><u>Allegation:</u> An EPA employee misused an EPA-issued mobile device by placing personal, international calls.</p> <p><u>Resolution:</u> The investigation found that the employee incurred \$4,529 in international roaming charges when the mobile device was used in a foreign country while the employee was on leave. The employee and all division staff were counseled by management on the appropriate use of EPA-issued mobile devices. This case was declined for criminal prosecution and closed.</p>
OI-AR-2012-CAC-0195	9/18/2012	6/25/2014	<p><u>Allegation:</u> A contract for a wastewater treatment plant project was awarded to a company and was funded using American Recovery and Reinvestment Act assistance through the Virginia Clean Water Revolving Loan Fund. A subcontractor allegedly did not pay the appropriate prevailing wage to an employee, which was a violation of compliance with Davis-Bacon Act payroll review of the contract.</p> <p><u>Resolution:</u> The investigation determined that the subcontractor employee was not paid in accordance with the contract. The contractor took action to correct the discrepancy, and no further investigative activity was conducted. The investigation did not indicate that a crime was committed; therefore, it was not presented for criminal prosecution and was closed.</p>
OI-CH-2012-ADM-0093	4/23/2012	6/25/2014	<p><u>Allegation:</u> An EPA employee reported that an EPA laptop was stolen during a burglary of the employee's residence. This theft was not reported in a timely manner—more than 3 months passed between the time the laptop was allegedly stolen and the time the employee was asked to return it.</p> <p><u>Resolution:</u> The employee was suspended for 2 days without pay for failure to immediately report that a government-issued laptop was stolen. The employee also agreed to receive 8 hours of counseling. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-DA-2013-CFR-0090	5/29/2013	6/28/2014	<p><u>Allegation:</u> A utility entity received EPA Recovery Act grant funds and spent in excess of \$600,000 on financial advisory and bond counsel fees to assist with grant administrative work. Per 40 CFR Part 31, these fees are unallowable, unreasonable and unnecessary.</p> <p><u>Resolution:</u> An Assistant U.S. Attorney declined to prosecute the case because (b) (5) (b) (5) This case was closed.</p>
OI-HQ-2013-ADM-0024	1/17/2013	6/30/2014	<p><u>Allegation:</u> An EPA employee was accused of receiving kickbacks from a former employer that had a contract with the EPA.</p> <p><u>Resolution:</u> It was determined that the EPA employee had never been employed by the contracting company, and the employee maintained that no personal relationship existed between them. The employee ensured that the EPA complied with all contract rules. The employee sent emails to the OIG outlining the steps taken to correct the possible ethics violations regarding a relationship with the company. The investigation revealed that the allegation against the employee was unsubstantiated. This case was closed.</p>
OI-DE-2014-CAC-0055	3/13/2014	6/30/2014	<p><u>Allegation:</u> An EPA contractor attempted to bring a handgun into a regional EPA building. The weapon was discovered during the screening process to enter the building.</p> <p><u>Resolution:</u> The allegation was substantiated and the contract employee plead guilty to one count of Possession of Firearms and Dangerous Weapons in Federal Facilities. The contracting company terminated employment of the employee. All possible criminal actions and administrative remedies were addressed. No further investigative activity was warranted, and this case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-HQ-2012-CAC-0075	3/16/2012	7/1/2014	<p>Allegation: An EPA employee was accused of using the office purchase card to pay off a personal debt to a university.</p> <p>Resolution: This case was prosecuted by an Assistant U.S. Attorney. The employee plead guilty to one count of First Degree Fraud Misdemeanor and entered into a Deferred Sentencing Agreement. The conditions of the agreement were for the employee to perform 48 hours of community service and agree to not be rearrested in the next 6 months with any incident where probable cause can be established. This case was closed.</p>
OI-GL-2010-CAC-0596	9/29/2010	7/2/2014	<p>Allegation: An individual who conducted business as a consulting service obtained a financial bonus through violating state environmental law and submitting false statements.</p> <p>Resolution: An Assistant U.S. Attorney accepted this case for prosecution. The individual plead guilty to an Information on a Mail Fraud charge. The individual was sentenced to 5 years of probation, 8 months of Home Confinement and \$45,000 restitution. The EPA's Suspension and Debarment Division accepted this case for administrative actions. The individual and the firm were suspended and later disbarred from participation in federal contracts and assistance programs for 3 years. This case was closed.</p>
OC-DE-2010-ADM-0567	8/12/2010	7/7/2014	<p>Allegation: An EPA employee violated the Freedom of Information Act, the Federal Records Act and the Administrative Procedures Act by deleting emails and directing other employees to delete emails pertaining to a Superfund site.</p> <p>Resolution: The investigation supported the allegation. The EPA issued a proposal for removal of the employee from federal service. The employee retired prior to a decision being issued on that removal. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-DA-2014-CFR-0064	3/20/2014	7/9/2014	<p><u>Allegation:</u> Four EPA employees appeared to have been paid minimal amounts by an EPA contractor for hours worked. The relationships between the employees and the contractor may not have been at an appropriate professional distance.</p> <p><u>Resolution:</u> All allegations were unsupported based upon subject and witness interviews. This case was closed.</p>
OI-HQ-2013-ADM-0038	2/4/2013	7/10/2014	<p><u>Allegation:</u> An EPA employee made physical and verbal threats to EPA employees for approximately 2 years.</p> <p><u>Resolution:</u> The investigation found the allegation to be unfounded. The interviews indicated the basis of the complaint was an email in which the employee used several expletives. The employee received a verbal reprimand from the supervisor regarding the email. This case was closed based on (b) (5) [REDACTED]</p>
OI-SA-2011-CAC-0826	11/17/2010	7/15/2014	<p><u>Allegation:</u> A contractor performing work on a truck stop electrification system, funded by the EPA through the Recovery Act, removed labeling from the products bearing foreign country of manufacture identification. The use of foreign-made iron, steel and manufactured products in Recovery Act projects is a violation of the Buy American provisions of the Recovery Act.</p> <p><u>Resolution:</u> During the investigation, the EPA grantee directed the contractor to remove and replace all non-Recovery Act-compliant equipment and replace such equipment with Recovery Act-compliant equipment. Both the (b) (6), (b) (7)(C) [REDACTED] Attorney General's Office and the EPA's Suspension and Debarment Division declined to further consider the case. This case was closed.</p>
OI-AT-2014-CAC-0092	6/12/2014	7/16/2014	<p><u>Allegation:</u> An unknown subject sent a threat letter to the EPA Region (b) (6), (b) (7)(C) [REDACTED]</p> <p><u>Resolution:</u> Interviews with three individuals of a clean-water activist group provided no further leads to trace the anonymous threat letter. This case was closed due to a lack of investigative leads.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-AR-2014-ADM-0051	3/5/2014	7/21/2014	<p><u>Allegation:</u> An EPA employee improperly incurred international roaming charges on an EPA-issued mobile device.</p> <p><u>Resolution:</u> The employee agreed to pay back \$1,725 in roaming charges. The employee also was verbally reprimanded and counseled on the appropriate use of government-issued equipment and the EPA's international travel policies. The employee's manager also indicated that all staff would be made aware of the EPA's policy on government equipment and international travel. This case was closed.</p>
OI-SE-2013-CAC-0043	2/13/2013	7/22/2014	<p><u>Allegation:</u> An individual was victim to an online scam from a person claiming to be an EPA employee.</p> <p><u>Resolution:</u> Investigators traced the origin of the email account, but the host denied involvement with any fraudulent activities involving the online scam. Attempts to gather additional evidence implicating the individual and/or other co-conspirators were unsuccessful. All contact from the suspect email ceased since the initiation of the investigation, and no additional incidents were reported or discovered. Based on the absence of additional investigative leads, this case was closed.</p>
OI-CI-2012-CFR-0154	7/30/2012	7/29/2014	<p><u>Allegation:</u> An individual who owns a writing services company falsely misled a school by advising that a grant had been awarded to the school through the EPA's Office of Environmental Education in the amount of \$75,000.</p> <p><u>Resolution:</u> The EPA's Office of Grants and Debarment debarred the individual and the company from participation in federal procurement and non-procurement programs for a period of 3 years. Once all actions were implemented, this case was closed.</p>
OI-DA-2014-CFR-0054	3/11/2014	8/1/2014	<p><u>Allegation:</u> EPA grant funds awarded to a city were allegedly misspent when city employees billed hours for which they did not work. The Mayor allegedly may have been responsible for this public corruption.</p> <p><u>Resolution:</u> The investigation was conducted in conjunction with the FBI. This effort did not find any inappropriately allocated EPA grant funds. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-WI-2014-CAC-0080	4/23/2014	8/7/2014	<p><u>Allegation:</u> Three employees of a city water department allegedly manipulated and prevented data recording devices to operate normally. This activity may have resulted in false data being reported to the state and the EPA by the city's water department.</p> <p><u>Resolution:</u> The OIG's Office of Counsel reviewed the allegations and determined that the OIG's Office of Investigations did not have jurisdiction in the matter. The investigation was referred to the state's Department of Environment and Conservation, and the case was closed.</p>
OI-CI-2014-CAC-0103	7/14/2014	8/15/2014	<p><u>Allegation:</u> There was an allegation of the unauthorized use of the EPA logo near a solid-waste injection well. Signs bearing the logo indicated an area closed by order of the EPA.</p> <p><u>Resolution:</u> Interviews of EPA personnel who have oversight of underground injection wells confirmed that the signs were fictitious. No leads were developed regarding the identification of the person(s) responsible. No additional or repeat activity was reported. Due to a lack of witnesses and additional physical evidence, this case was closed.</p>
OI-WI-2012-CFR-0039	1/20/2012	8/19/2014	<p><u>Allegation:</u> A state Department of Environment and Conservation employee mischarged expenses related to an EPA grant valued at over \$2 million.</p> <p><u>Resolution:</u> The department acknowledged that the management controls of the EPA grant were inadequate. The department also provided the administrative changes made to correct the issue and the changes in employee time reporting to prevent future mishandling of federal funds. It detailed costs expended on the EPA lead-based paint grant and adequately addressed each of the OIG's questions. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-HQ-2014-ADM-0077	4/18/2014	8/21/2014	<p><u>Allegation:</u> A suspicious package containing a letter and a block of substance was received by a high-level EPA official.</p> <p><u>Resolution:</u> The substance was identified as asbestos. The letter requested that the substance be tested by the EPA. The lab analysis request, which was from a South Korean national, was determined to be legitimate. The OIG's Office of Counsel informed the individual that EPA labs are for government business. The EPA disposed of the substance, and this case was closed.</p>
OI-DA-2014-CAC-0042	2/10/2014	8/27/2014	<p><u>Allegation:</u> Eight transit subsidy checks valued at \$688 were reported missing by an EPA employee.</p> <p><u>Resolution:</u> The investigation could not determine who stole the checks. Two reviews of the regional transit authority database, which were 3 months apart, showed the checks as outstanding; they had not been submitted for payment during that time. This case was closed due to a lack of additional investigative leads.</p>
OI-BO-2013-ADM-0049	2/26/2013	9/2/2014	<p><u>Allegation:</u> A state police officer notified the OIG that an EPA employee was living at one address and insuring a motor vehicle at another address in order to pay a reduced auto-insurance premium on the vehicle.</p> <p><u>Resolution:</u> The investigation found that the allegation against the EPA employee was unsubstantiated. No federal ethics issues were violated, since the employee did not use a government position or status during the alleged actions. The OIG had no jurisdiction to further a state investigation. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-AR-2012-CAC-0148	7/25/2012	9/2/2014	<p><u>Allegation:</u> During an investigation at a Superfund site, potential Buy American violations were identified when a contractor allegedly used funds awarded via the Recovery Act. Various items were determined to have been manufactured in other countries, such as China and Mexico. The investigation also identified potential employment violations when at least five workers at the site used Social Security numbers not issued to them.</p> <p><u>Resolution:</u> The investigation identified that violations of the Buy American provisions existed; however, it did not determine criminal intent on the part of contractor or subcontractor. The prime contractor made corrections to ensure compliance, and no further investigative activity was warranted concerning that discrepancy. The investigation also identified that unauthorized workers may have been used on this project by the second-tier subcontractors; however, no information was developed to suggest the prime contractor or subcontractor were aware of this. As the contract had ended and no indication existed that the subcontractors were aware of the possible use of unauthorized workers, this case was closed.</p>
OI-SA-2014-CFR-0010	11/13/2013	9/3/2014	<p><u>Allegation:</u> A state's health authority provided the EPA with false statements in its applications for a State Lead Program grant. Specifically, it falsely certified the completion of important tasks and deliverables in its applications for an EPA grant amendment for additional funding.</p> <p><u>Resolution:</u> It was determined that there was evidence of false statements made by the health authority. However, the investigation indicated a communication breakdown rather than intent to defraud. In addition, the signatories of the grant applications, who certified all statements were true, complete and accurate, did not actually prepare the applications. It was also determined that the staff was incorrectly charging hours to EPA grants. The case was not prosecuted due (b) (5) and the case was closed. The timekeeping issues were referred to the OIG's Office of Audit for further review.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-SE-2014-CAC-0014	11/20/2013	9/8/2014	<p><u>Allegation:</u> A potential assault and security-risk incident occurred at an EPA (b) (6), (b) (7)(C) company contracted by the agency to provide janitorial services.</p> <p><u>Resolution:</u> The contractor approached a lab security guard and engaged in a verbal confrontation that included profanity. The contractor then intentionally made physical contact when walking past the security guard. After this incident, a stop work order was placed on the janitorial contract. Subsequently, the contract expired, and the contractor will not be receiving further contracts for services at the EPA (b) (6), (b) (7)(C). A bar notice was issued for the contractor. This case was closed.</p>
OI-HQ-2013-ADM-0014	11/19/2012	9/9/2014	<p><u>Allegation:</u> A GS-15 level EPA employee viewed pornographic material on an EPA-issued computer while on duty status.</p> <p><u>Resolution:</u> The employee admitted to the allegation, and a forensic analysis of the hard drive substantiated that the employee had watched pornography regularly at work for the past several years. The employee was suspended without pay for 5 working days, is no longer allowed to telework, and is not allowed to attach any unauthorized external drive devices to a government computer. This case was closed.</p>
OI-AR-2012-CFR-0002	10/4/2011	9/9/2014	<p><u>Allegation:</u> The asbestos National Emission Standards for Hazardous Air Pollutants and Occupational Safety and Health Administration regulations were violated during the conduct of the Alternative Asbestos Control Method research project. There were 14 allegations involving potential Occupational Safety and Health Administration violations; 11 allegations concerning potential violation of various environmental regulations; and seven allegations concerning other issues.</p> <p><u>Resolution:</u> The EPA Assistant Administrator for Research and Development concurred with a memorandum to end attempts to resolve "technical deficiencies" with certain Alternative Asbestos Control Methods, and these reports were not published. This investigation did not find any evidence of criminal or employee misconduct, there was no indication that the research would resume, and demolition was completed approximately 6½ years ago. Therefore, this case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-NE-2013-ADM-0069	4/16/2013	9/10/2014	<p><u>Allegation:</u> An individual misused his position as an EPA employee. The U.S. Army Corps of Engineers was performing a cleanup of property in connection with debris from Hurricane Sandy when the employee used his EPA position to have an officer from the (b) (6), (b) state Department of Environmental Conservation come to the property to stop the cleanup out of concern for stray cats in the area. The employee was allegedly a shareholder and former board member of the property owners' corporation.</p> <p><u>Resolution:</u> During this investigation, numerous interviews were conducted involving individuals who either interacted with the EPA employee or had knowledge of the response to the cleanup efforts at the property. The evidence did not support the allegations that the employee's attempts were in bad faith or outside his duties or that he used nonpublic EPA information to have state employees stop the work at the property. It was not determined that he misused his position for financial gain. Based on the investigative findings, this case was closed.</p>
OI-AR-2011-ADM-2838	8/2/2011	9/11/2014	<p><u>Allegation:</u> An EPA employee worked in office space belonging to a nonprofit organization approximately two days a week. The employee worked in a program area that awarded grants to this nonprofit. The employee previously worked for this nonprofit before being hired by the EPA.</p> <p><u>Resolution:</u> The investigation failed to identify any involvement of the EPA employee in the selection or awarding process of grants to the nonprofit organization. The employee formerly worked at the nonprofit, but consent to work with the organization was given by the authorized EPA designee for granting exemptions for employees from any covered relationship with their previous employers. This case was closed.</p>

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATION AND RESOLUTION
OC-KA-2011-ADM-2757	2/16/2011	9/12/2014	<p><u>Allegation:</u> There appeared to be a conflict of interest between an EPA employee and a contractor when the employee involved himself with the initial contract task order.</p> <p><u>Resolution:</u> The investigation substantiated the allegation, but the case was declined for criminal prosecution by the U.S. Attorney's office in lieu of administrative action deemed necessary by the EPA. The EPA's administrative proposal recommended the removal of the employee, but the employee retired before the proposal was finalized. This case was closed.</p>
OI-HQ-2013-CAC-0003	10/17/2012	9/12/2014	<p><u>Allegation:</u> A GS-15 level EPA employee engaged in outside employment during normal work hours and used EPA materials and property for personal gain. The employee allowed personal use of an EPA-issued computer by the employee's father.</p> <p><u>Resolution:</u> The investigation determined that the EPA employee did not engage in outside employment during normal work hours. It did find that the employee provided a computer ID and password to the employee's father and permitted the father to use the government computer. The employee was suspended for 14 days. This case was closed.</p>
OI-AR-2014-ADM-0037	1/30/2014	9/25/2014	<p><u>Allegation:</u> An EPA employee incurred \$18,002 in international roaming charges on an EPA-issued mobile device. In addition, the employee did not disclose all foreign travel and foreign activities on the most recent SF-86 submitted. The employee claimed regular work hours while on vacation in or in transit to the foreign destination.</p> <p><u>Resolution:</u> The EPA employee resigned before any administrative action could be taken. This case was closed.</p>



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

DEC 18 2014

THE INSPECTOR GENERAL

The Honorable Charles Grassley
Committee on Finance
United States Senate
Washington, D.C. 20510

Dear Senator Grassley:

Enclosed please find a listing of closed investigations conducted by the U.S. Environmental Protection Agency (EPA), Office of Inspector General (OIG), and a listing of closed single audits performed by third parties on behalf of the OIG. We are providing these listings in response to your ongoing request for biannual reports of this information. The investigations and audits were closed between April 1 and September 30, 2014. These audits and investigations were not disclosed to the public.

The OIG makes every effort to publicly disclose its work within the parameters of the law and when practical. The single audit reports listed were not disclosed, because the audits were conducted by other organizations. For the investigations listed, we also included summary information identifying the allegations received and the resolutions. Names and other identifying information have been redacted to comply with the Privacy Act. This format is similar to our last report to you.

Your request also requires that we list any instances in which the agency resisted or objected to oversight activities, or restricted OIG access to information. We reported, in our most recent semiannual report to Congress, examples of when the EPA resisted our oversight or failed to cooperate with the OIG. There have been further discussions with the agency on these matters subsequent to the end of the period about which we are reporting to you in this letter. The items below reflect the status of the issues as of September 30, 2014:

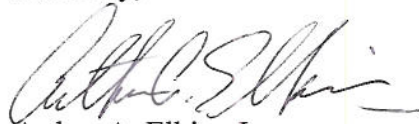
- The EPA's Office of Homeland Security (OHS) is an administratively created component of the EPA, and serves as the agency's central liaison with intelligence and homeland security agencies. OHS continues to impede the OIG by withholding critical information about activities conducted by OHS, withholding information in the possession of OHS, and withholding information about matters within the purview of the OIG. Prior to and throughout this semiannual reporting period, OHS refused OIG access to information when requested, and failed to refer certain information to the OIG. These matters include classified threat information against EPA employees and facilities, employee misconduct, cyber intrusions, and matters that OHS defines as "intelligence" or national security information, even though OIG employees have requisite security clearances to access that information.¹

¹ On October 15, 2014, after the end of this most recent semiannual reporting period, the EPA Administrator notified the Inspector General that the agency will change its position regarding OIG access to intelligence information shared between the Federal Bureau of Investigation and OHS. Some collaboration has since occurred, but the extent of this change remains unclear because the agency has yet to issue implementation instructions.

- In 2012, EPA Region 4 was the victim of the theft of 72 computers valued at \$84,842. However, upon discovery of the missing computers, Region 4 failed to notify the OIG. In 2013, an anonymous complainant informed the OIG that Region 4 failed to report the large computer theft to the OIG. As a result, the OIG launched several criminal investigations. Region 4's failure to report the computer theft to the OIG caused delay in the OIG launching an investigation. If not for the anonymous complainant, Region 4 could have precluded the investigation altogether, as well as a subsequent camera theft investigation.

Thank you for your continued support of the work done by inspectors general. If you would like additional information on any audit or investigation listed, please contact Alan Larsen, Counsel to the Inspector General, at (202) 566-2391. A similar letter is being provided to Senator Tom Coburn.

Sincerely,



Arthur A. Elkins Jr.

Enclosures

Duplicate records of the enclosures for Letter dated December 18, 2014 not included. See pages 119 – 142.